

On q^{-1} -Al-Salam-Chihara polynomials

Tom H. Koornwinder, `thk@science.uva.nl`

Informal note, version of January 29, 2004

In [2, (3.3)] and [4] the Al-Salam-Chihara polynomials $Q_n^{ASC}(x; q; a, b, c)$ are considered, which can be defined as solutions of the recurrence relation (with usual starting values)

$$(1 - q^{n+1})Q_{n+1}(x) = (x - aq^n)Q_n(x) - (c - bq^{n-1})Q_{n-1}(x). \quad (1)$$

Note that the three parameters involve one scale parameter:

$$\lambda^{-n}Q_n^{ASC}(\lambda x; q; \lambda a, \lambda^2 b, \lambda^2 c) = Q_n^{ASC}(x; q; a, b, c). \quad (2)$$

In particular:

$$i^{-n}Q_n^{ASC}(ix; q; ia, -b, -c) = Q_n^{ASC}(x; q; a, b, c). \quad (3)$$

We suppose that $c \neq 0$ (for $c = 0$ we obtain Al-Salam-Carlitz polynomials, see [2, §3.7]). So, it is sufficient to consider for the parameter triples (a, b, c) with $c \neq 0$ and $x \in \mathbb{R}$ the cases $(a, b, 1)$ and $(a, b, -1)$. In [8, §3.8] the Al-Salam-Chihara polynomials are notated in a different way; in terms of the Askey-Wilson polynomials $p_n(x; a, b, c, d | q)$:

$$Q_n^{KS}(x; a, b | q) = p_n(x; a, b, 0, 0 | q). \quad (4)$$

In this notation the recurrence relation (see [8, (3.8.4)]) becomes

$$2xQ_n(x) = Q_{n+1}(x) + (a + b)q^n Q_n(x) + (1 - q^n)(1 - abq^{n-1})Q_{n-1}(x), \quad (5)$$

I also introduce the polynomials

$$\tilde{Q}_n^{KS}(x; a, b | q) := i^{-n} Q_n^{KS}(ix; ia, ib | q). \quad (6)$$

for which the recurrence relation becomes

$$2x\tilde{Q}_n(x) = \tilde{Q}_{n+1}(x) + (a + b)q^n \tilde{Q}_n(x) - (1 - q^n)(1 + abq^{n-1})\tilde{Q}_{n-1}(x). \quad (7)$$

The relationship between Q_n^{ASC} and Q_n^{KS} (and \tilde{Q}_n^{KS}) is:

$$Q_n^{ASC}(x; q; a, b, c) = \frac{(\sqrt{c})^n}{(q; q)_n} Q_n^{KS}\left(\frac{x}{2\sqrt{c}}; \frac{a+\sqrt{a^2-4b}}{2\sqrt{c}}, \frac{a-\sqrt{a^2-4b}}{2\sqrt{c}} | q\right), \quad (8)$$

or the other way around:

$$Q_n^{KS}(x; a, b | q) = (q; q)_n Q_n^{ASC}(2x; q; a + b, ab, 1), \quad (9)$$

$$\tilde{Q}_n^{KS}(x; a, b | q) = (q; q)_n Q_n^{ASC}(2x; q; a + b, ab, -1). \quad (10)$$

If we consider the polynomials $Q_n^{ASC}(x; q; a, b, \pm 1)$ for $q > 1$ then the necessary and sufficient conditions for orthogonality of the polynomials with respect to a positive measure on \mathbb{R} become $a \in \mathbb{R}$ together with $b > c > 0$ or $b \geq 0 > c$ (see [2, (3.69)]). For the polynomials $Q_n^{KS}(x; a, b | q)$ with $q > 1$ these conditions are $ab > 1$ together with $a, b \in \mathbb{R}$ or $b = \bar{a}$. For the polynomials $\tilde{Q}_n^{KS}(x; a, b | q)$ with $q > 1$ these conditions are $ab \geq 0$ together with $a, b \in \mathbb{R}$ or $b = \bar{a}$. Note that the $a, b \rightarrow 0$ limit to continuous q -Hermite polynomials with $q > 1$ while remaining in the parameter domain allowing a positive orthogonality measure, is possible for the polynomials $\tilde{Q}_n^{KS}(x; a, b | q)$ but not for the polynomials $Q_n^{KS}(x; a, b | q)$. Continuous q -Hermite polynomials with $q > 1$ were studied in [1] and [7].

If the conditions of the previous paragraph are satisfied, then the necessary and sufficient conditions for the determinacy of the moment problem associated with the polynomials $Q_n^{ASC}(x; q; a, b, c)$ are

$$a^2 > 4b \quad \text{and} \quad \frac{4b}{\left(a + \sqrt{a^2 - 4b}\right)^2} \leq q^{-1}. \quad (11)$$

See [2, (3.77)]. For the polynomials $Q_n^{KS}(x; a, b | q)$ and $\tilde{Q}_n^{KS}(x; a, b | q)$ these conditions become:

$$a, b \in \mathbb{R} \quad \text{and} \quad ba^{-1} \leq q^{-1}. \quad (12)$$

The indeterminate case $q > 1, a^2 \leq 4b$ of the polynomials $Q_n^{ASC}(x; q; a, b, c)$ is studied in [4]. I assume that the authors intended this under the additional condition $b > c > 0$ or $b \geq 0 > c$ (not explicitly mentioned there) for existence of a positive orthogonality measure.

The special indeterminate case $q > 1, c < 0 = a \leq b$ of the polynomials $Q_n^{ASC}(x; q; a, b, c)$, i.e., the special indeterminate case $q > 1, b = -a \in i\mathbb{R}$ of the polynomials $\tilde{Q}_n^{KS}(x; a, b | q)$, is studied in [5].

Dual little q -Jacobi polynomials

Rosengren [9] (somewhat implicitly), Groenevelt [6, Remark 3.1], and Atakishiyev & Klimyk [3] observed that q^{-1} -Al-Salam-Chihara polynomials are duals of little q -Jacobi polynomials:

$$\begin{aligned} & \frac{(-1)^n q^{\frac{1}{2}n(n-1)} b^{-n}}{((ab)^{-1}; q)_n} Q_n^{KS} \left(\frac{1}{2}(aq^{-k} + a^{-1}q^k); a, b | q^{-1} \right) \\ &= \frac{(-ab^{-1})^k (qa^{-1}b; q)_k}{q^{\frac{1}{2}k(k+1)} ((ab)^{-1}; q)_k} p_k(q^n; a^{-1}b, (qab)^{-1}; q), \quad q > 1, n, k = 0, 1, 2, \dots, \end{aligned} \quad (13)$$

$$\begin{aligned} & \frac{(-1)^n q^{\frac{1}{2}n(n-1)} b^{-n}}{(-(ab)^{-1}; q)_n} \tilde{Q}_n^{KS} \left(\frac{1}{2}(aq^{-k} - a^{-1}q^k); a, b | q^{-1} \right) \\ &= \frac{(-ab^{-1})^k (qa^{-1}b; q)_k}{q^{\frac{1}{2}k(k+1)} (-(ab)^{-1}; q)_k} p_k(q^n; a^{-1}b, -(qab)^{-1}; q), \quad q > 1, n, k = 0, 1, 2, \dots \end{aligned} \quad (14)$$

Then, the orthogonality relations for little q -Jacobi polynomials give dually the orthogonality relations for q^{-1} -Al-Salam-Chihara polynomials:

$$\begin{aligned} & \sum_{y=0}^{\infty} \frac{1 - q^{2y} a^{-2}}{1 - a^{-2}} \frac{(a^{-2}, (ab)^{-1}; q)_y}{(q, a^{-1}bq; q)_y} (a^{-1}b)^y q^{y^2} (Q_n^{KS} Q_m^{KS}) \left(\frac{1}{2}(aq^{-y} + a^{-1}q^y); a, b \mid q^{-1} \right) \\ &= \frac{(qa^{-2}; q)_{\infty}}{(a^{-1}bq; q)_{\infty}} (q, (ab)^{-1}; q)_n (ab)^n q^{-n^2} \delta_{n,m}, \quad 0 < q < 1, ab > 1, a^{-1}b < q^{-1}, \end{aligned} \quad (15)$$

$$\begin{aligned} & \sum_{y=0}^{\infty} \frac{1 + q^{2y} a^{-2}}{1 + a^{-2}} \frac{(-a^{-2}, -(ab)^{-1}; q)_y}{(q, a^{-1}bq; q)_y} (a^{-1}b)^y q^{y^2} (\tilde{Q}_n^{KS} \tilde{Q}_m^{KS}) \left(\frac{1}{2}(aq^{-y} - a^{-1}q^y); a, b \mid q^{-1} \right) \\ &= \frac{(-qa^{-2}; q)_{\infty}}{(a^{-1}bq; q)_{\infty}} (q, -(ab)^{-1}; q)_n (ab)^n q^{-n^2} \delta_{n,m}, \quad 0 < q < 1, ab > 0, a^{-1}b < q^{-1}. \end{aligned} \quad (16)$$

If the constraint $a^{-1}b < q^{-1}$ is narrowed to $a^{-1}b \leq q$ then these are the orthogonality relations obtained in [2, (3.82)]. For $q < a^{-1}b < q^{-1}$ the orthogonality relations (15) and (16) remain valid if a and b are interchanged. Thus for $q < a^{-1}b < q^{-1}$ and $a \neq b$ we have an explicit example of two distinct orthogonality measures.

Note that it is allowed above to take the duals of the orthogonality relations for little q -Jacobi polynomials, because the little q -Jacobi polynomials form a complete orthogonal system in the L^2 -space corresponding to their orthogonality measure, since this measure has bounded support. Then we can use the characterization of unitary operators on a Hilbert space as surjective isometric operators (see for instance [10, Theorem 12.13]).

References

- [1] R. Askey, *Continuous q -Hermite polynomials when $q > 1$* , in *q -Series and partitions*, D. Stanton (ed.), IMA Vol. Math. Appl. 18, Springer, 1989.
- [2] R. Askey and M. E. H. Ismail, *Recurrence relations, continued fractions and orthogonal polynomials*, *Memoirs Amer. Math. Soc.* 300, 1984.
- [3] N. M. Atakishiyev and A. U. Klimyk, *On q -orthogonal polynomials, dual to little and big q -Jacobi polynomials*, [arXiv:math.CA/0307250](https://arxiv.org/abs/math.CA/0307250) v3, 2003.
- [4] T. S. Chihara and M. E. H. Ismail, *Extremal measures for a system of orthogonal polynomials*, *Constr. Approx.* 9 (1993), 111–119.
- [5] J. S. Christiansen and M. E. H. Ismail, *A moment problem and a family of integral evaluations*, preprint, 2003; to appear in *Trans. Amer. Math. Soc.*
- [6] W. Groenevelt, *Bilinear summation formulas from quantum algebra representations*, [arXiv:math.QA/0201272](https://arxiv.org/abs/math.QA/0201272); to appear in *Ramanujan J.*
- [7] M. E. H. Ismail and D. R. Masson, *q -Hermite polynomials, biorthogonal rational functions, and q -beta integrals*, *Trans. Amer. Math. Soc.* 346 (1994), 63–116.

- [8] R. Koekoek and R. F. Swarttouw, *The Askey-scheme of hypergeometric orthogonal polynomials and its q -analogue*, Report 98-17, Faculty of Technical Mathematics and Informatics, Delft University of Technology, 1998; <http://aw.twi.tudelft.nl/~koekoek/askey/>.
- [9] H. Rosengren, *A new quantum algebraic interpretation of the Askey-Wilson polynomials*, in *q -Series from a contemporary perspective*, M. E. H. Ismail and D. W. Stanton (eds.), Contemporary Math. 254, Amer. Math. Soc., 2000, pp. 371–394.
- [10] W. Rudin, *Functional analysis*, McGraw-Hill, 1973.