

# Axiomatizing complex algebras by games

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## Abstract

Given a variety  $\mathbf{V}$ , we provide an axiomatization  $\Phi(\mathbf{V})$  of the class  $\text{SCmV}$  of *complex algebras* of algebras in  $\mathbf{V}$ .  $\Phi(\mathbf{V})$  can be obtained effectively from the axiomatization of  $\mathbf{V}$ ; in fact, if this axiomatization is recursively enumerable, then  $\Phi(\mathbf{V})$  is recursive.

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## 1 Introduction

The construction of complexes of structures is a standard procedure in mathematics. Probably the oldest and best known example is found in group theory: given a group, consider the algebra whose carrier is the power set of the group elements and whose operations are the power *lifts* of the group operations, for instance,

$$X \circ Y = \{x \circ y \mid x \in X, y \in Y\}.$$

In lattice theory it is well known that the set of ideals of a distributive lattice  $\mathcal{L}$  again forms a lattice, of which the meet and join coincide with the lifted meet and join operations of  $\mathcal{L}$ , respectively:

$$\begin{aligned} I_1 \vee I_2 &= \{a_1 \vee a_2 \mid a_1 \in I_1, a_2 \in I_2\}, \\ I_1 \wedge I_2 &= \{a_1 \wedge a_2 \mid a_1 \in I_1, a_2 \in I_2\}. \end{aligned}$$

And as a last example we mention formal language theory, where we may see the product of two languages as the lift of word concatenation:

$$L_1; L_2 = \{w_1 w_2 \mid w_1 \in L_1, w_2 \in L_2\}.$$

Obviously, this construction can be carried out for an arbitrary operation, giving rise to the *power algebra* of an algebra (formal definitions are found in the next section). Since the universe of such an algebra is a power *set* algebra, it is natural to include the Boolean operations into the similarity type; thus we obtain the *full complex algebra*  $\mathcal{L}^+$  of an algebra  $\mathcal{L}$ . If instead of *all* subsets of  $\mathcal{L}$  we take as carrier of the algebra some non-empty collection of subsets of  $\mathcal{L}$  that is closed under the Boolean operations and under the lifted operations, we get an arbitrary *complex algebra* over  $\mathcal{L}$ ; formulated more concisely, a complex algebra over  $\mathcal{L}$  is any subalgebra of  $\mathcal{L}^+$ .

For some notation, given a class  $\mathbf{K}$  of algebras, we denote the class of full complex algebras over (algebras in)  $\mathbf{K}$  by  $\mathbf{CmK}$ ;  $\mathbf{SCmK}$  denotes the class of isomorphism types of complex algebras over  $\mathbf{K}$ , and  $\mathbf{Var}_{\mathbf{K}}$ , the variety generated by  $\mathbf{CmK}$ .

The construction gives rise to various questions of a universal algebraic nature, for instance concerning the relation between a class  $\mathbf{K}$  of algebras and the class  $\mathbf{SCmK}$  of associated complex algebras. For a survey of known results and references to the literature we refer the reader to Brink [1] and Goldblatt [4] (the second paper takes a more general perspective, considering complex algebras of arbitrary *relational* structures).

In this paper, we are interested in finding an axiomatization of the class of complex algebras of a given variety  $\mathbf{V}$ . It seems that in the general case, not much is known. There are some known results relating the validity of an equation in an algebra to its validity in the power algebra. For instance, a result by Gautam [3] states that the validity of an equation is preserved under moving to the power algebra if and only if every variable in the equation occurs exactly once on each side of the equation. This makes it improbable that an equational axiomatization of a variety  $\mathbf{V}$  will be of *direct* use in finding an axiomatization of  $\mathbf{SCmV}$ .

Recently, Goranko and Vakarelov [5] have given complete axiomatizations of the modal logic of various classes of relational structures, including varieties of algebras. Translated into algebraic terms, their result yields a derivation system for the set  $\mathit{Equ}(\mathbf{CmV})$  of equations valid in the class  $\mathbf{CmV}$  for an arbitrary variety  $\mathbf{V}$ . Their result crucially involves the extension of the lifted algebraic language with a so-called difference operator, and an extension

of the derivation system with an non-structural derivation rule. However, for some varieties  $\mathbf{V}$ , including groups and (thus) Boolean algebras, this difference operator is term-definable over the class  $\mathbf{CmV}$ . Hence, for such a variety  $\mathbf{V}$ , the result of Goranko and Vakarelov provides a derivation system for the equational theory  $Equ(\mathbf{CmV})$  *within* the language of the complex algebras — but since this system has a non-structural rule, it is not an equational axiomatization in the traditional sense, or an equational characterization of the variety  $\mathbf{Var}_{\mathbf{V}}$ . Independently, Venema [17] obtained the same result for the case of groups.

In the case of groups, some other results are known. Complex algebras of groups appear in the literature on algebraic logic as *group relation algebras*, GRAs. Tarski [15] showed that GRA is axiomatizable by a set of equations over the class of integral relation algebras, while McKenzie [13] proved that no finite axiomatization of GRA can be found. McKenzie [13, p.282] writes:

“It would certainly be of interest to have a reasonably elegant system of first-order axioms characterizing [GRA].”

The aim of this paper is to give such a characterization, not just for group relation algebras but in general for the class of complex algebras of any (recursively axiomatizable) variety of algebras. We will use two-player games in the characterization, and translate the existence of a winning strategy for one of the players into a set of first-order axioms; thus, we find, for an arbitrary class of the form  $\mathbf{SCmV}$ , an axiomatization with strong intuitive content. Similar techniques were used to construct axiomatizations in [16, 6, 8, 7, 14]. The method is implicitly used in the much earlier [12], although games are not mentioned per se.

Formulated precisely, in this paper we will prove the following Theorem.

**Theorem 1.1** *Let  $\mathbf{V}$  be a variety of  $\Sigma$ -algebras, where  $\Sigma$  is a finite functional similarity type. There is a set  $\Phi(\mathbf{V})$  of universal first-order sentences in the language of complex algebras over  $\mathbf{V}$  such that whenever  $\mathcal{A}$  is a Boolean algebra with  $\Sigma$ -operators,  $\mathcal{A} \models \Phi(\mathbf{V})$  if and only if  $\mathcal{A}$  is representable as a complex algebra over  $\mathbf{V}$ .  $\Phi(\mathbf{V})$  can be obtained effectively from the axiomatization of  $\mathbf{V}$ ; in fact, if this axiomatization is recursively enumerable, then  $\Phi(\mathbf{V})$  is recursive.*

There is no special reason to restrict ourselves to either a finite similarity type or to complex algebras over a variety. Similar techniques serve to axiomatize the class of complex algebras over any universally axiomatized class of relational structures and indeed, over any elementary class (for instance, by using Skolem functions to reduce to universal case). This covers representable relation algebras, and representable cylindric algebras of finite or countable dimension. In a more model-theoretic vein, any pseudo-elementary class of structures (see, e.g., [2]) that is closed under substructures can also be universally axiomatized by games [9].

We have mentioned the universal form of the axiomatization explicitly because of the following. Suppose that we are (also) interested in an equational axiomatization of the *variety*  $\mathbf{Var}_{\mathbf{K}}$ . Now if we have a *discriminator term* at our disposal for the class  $\mathbf{CmK}$  (which is the case for, e.g., group relation algebras), then the universal axiomatization  $\Phi(\mathbf{K})$  can be effectively converted into an equational axiomatization for the variety  $\mathbf{Var}_{\mathbf{K}}$ . This can be seen as follows. Let  $c(x)$  be a unary discriminator term over the class  $\mathbf{CmK}$ . It is well-known (cf. Jipsen [11]) that there is a set of equations  $D_c$  such that (i) the variety  $\mathbf{V}_c$  of Boolean algebras with operators defined by  $D_c$  is generated by the algebras for which  $c$  is a discriminator term,

and (ii)  $c$  is a unary discriminator term in all subdirectly irreducible members of  $\mathbf{V}_c$ . It is equally well-known that given a unary discriminator term  $c$ , there is an effective translation  $(\cdot)^c$  mapping universal formulas to equations such that  $\varphi^c$  is equivalent to  $\varphi$  in every algebra for which  $c$  is a discriminator term. From this it is straightforward to show that  $\mathbf{Var}(\mathbf{K})$  is axiomatized by the set of equations  $D_c \cup \{\varphi^c \mid \varphi \in \Phi(\mathbf{K})\}$ , together with the set of equations axiomatizing Boolean algebras with operators of this similarity type. (Also, in such a case it follows that  $\mathbf{Var}_{\mathbf{K}}$  is identical to the class  $\mathbf{SPCmK}$  consisting of isomorphic copies of subalgebras of products elements of  $\mathbf{CmK}$ .)

The paper is organized as follows. In the next section, we recall the basic definitions. In section 3, we introduce a two-player game, and in section 4, a game characterization is given for representability as a complex algebra. In the last section, we turn this into a first-order axiomatization.

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## 2 Preliminaries

A *similarity type* is a set of function symbols, each of which comes with a non-negative *arity*; we denote the arity of a symbol  $\nabla$  as  $ar(\nabla)$ . Throughout the paper, we will abbreviate  $q = ar(\nabla)$  in order to ease some notational burden. Given a similarity type  $\Sigma$ , a  $\Sigma$ -*algebra* is a pair  $\mathcal{L} = (L, I)$ , where  $L$  is some non-empty set and  $I$  is a function interpreting each function symbol  $\nabla$  in  $\Sigma$  as an operation  $I_{\nabla} : L^q \rightarrow L$ .

As the similarity type of Boolean algebras we take the set  $BA = \{+, -, 0\}$  where ‘+’ denotes the join operation (union  $\cup$  in fields of sets), ‘-’ denotes complementation, and ‘0’ represents the least element (the empty set  $\emptyset$  in set algebras). The other function symbols such as  $\cdot$  and  $1$  are taken as abbreviations. Operations interpreting the Boolean function symbols are denoted by the function symbols themselves (for instance, we do not write  $I_+$ , but rather  $+$  or  $\cup$ ). We assume that the reader is familiar with Boolean algebras and various notions pertaining to them, such as ultrafilters; see, e.g., [2].

Given a similarity type  $\Sigma$ , let  $\Sigma_{BA}$  be the similarity type consisting of the disjoint union of  $\Sigma$  and the Boolean function symbols. (So if  $\Sigma$  should already contain the Boolean symbols, we add new copies of them; these are not identified with the ones already in  $\Sigma$ ; this avoids confusion in the case we are dealing with complex algebras of Boolean algebras.) In order to distinguish  $\Sigma_{BA}$ -algebras from  $\Sigma$ -algebras, we will usually denote the interpretation function of a  $\Sigma_{BA}$ -algebra by a diamond symbol; for example, in an abstractly given  $\Sigma_{BA}$ -algebra we denote by  $\diamond_{\nabla}$  the operation interpreting the symbol  $\nabla$ .

We define the notion of a complex algebra over a  $\Sigma$ -algebra  $\mathcal{L} = (L, I)$  as follows. For any function symbol  $\nabla$ , the operation  $I_{\nabla}^{\diamond}$  is defined as the *lift* of the operation  $I_{\nabla}$ . That is, for subsets  $X_1, \dots, X_q$  of  $L$  we define

$$I_{\nabla}^{\diamond}(X_1, \dots, X_q) = \{I_{\nabla}(x_1, \dots, x_q) \mid x_i \in X_i \text{ for all } i\}.$$

Now the *power algebra* of  $\mathcal{L}$  is defined as the algebra

$$(\mathcal{P}(L), I_{\nabla}^{\diamond})_{\nabla \in \Sigma},$$

where  $\mathcal{P}(\cdot)$  denotes power set, whereas the *full complex algebra*  $\mathcal{L}^+$  of  $\mathcal{L}$  is given as

$$\mathcal{L}^+ = (\mathcal{P}(L), \cup, -, \emptyset, I_{\nabla}^{\diamond})_{\nabla \in \Sigma}.$$

Any subalgebra of  $\mathcal{L}^+$  is called a *complex algebra* over  $\mathcal{L}$ . For a class  $\mathbf{K}$  of  $\Sigma$ -algebras, we let  $\mathbf{CmK}$  denote the class of full complex algebras of algebras in  $\mathbf{K}$ . Since we use  $\mathbf{S}$  as the class operation giving isomorphic copies of subalgebras, this means that  $\mathbf{SCmK}$  denotes the class of isomorphism types of complex algebras over  $\mathbf{K}$ . We say that a  $\Sigma_{BA}$ -algebra  $\mathcal{A}$  is *representable* over a class of  $\Sigma$ -algebras  $\mathbf{K}$  if it belongs to this class  $\mathbf{SCmK}$ . If the class  $\mathbf{K}$  is in fact a set consisting of one algebra  $\mathcal{L}$ , we also say that  $\mathcal{A}$  is representable over  $\mathcal{L}$ ; observe that this is equivalent to saying that there is a *representation of  $\mathcal{A}$  over  $\mathcal{L}$* , that is, an embedding  $\text{rep} : \mathcal{A} \rightarrow \mathcal{L}^+$ .

Complex algebras are the prime examples of Boolean algebras with operators. An *operator* on a Boolean algebra  $(A, +, -, 0)$  is an operation on  $A$  that is normal (meaning that its value equals 0 whenever one of its arguments equals 0) and additive (that is, it distributes over  $+$  in each of its arguments). Given a similarity type  $\Sigma$ , a *Boolean algebra with  $\Sigma$ -operators* is a  $\Sigma_{BA}$ -algebra  $(A, +, -, 0, \diamond)$  such that each operation  $\diamond_{\nabla}$  ( $\nabla \in \Sigma$ ) is an operator on the Boolean algebra  $(A, +, -, 0)$ .

We will need the following fact.

**Theorem 2.1** *For any variety  $\mathbf{V}$  of  $\Sigma$ -algebras,  $\mathbf{SCmV}$  is an elementary class of Boolean algebras with  $\Sigma$ -operators.*

PROOF. It is easy to see that complex algebras are Boolean algebras with operators. In order to prove that  $\mathbf{SCmV}$  is an elementary class, by the Keisler–Shelah theorem it suffices to show that it is closed under ultraproducts and ultraroots.

The latter is straightforward: take any  $\Sigma_{BA}$ -type algebra  $\mathcal{A}$  and an ultrapower  $\mathcal{A}^J/U$  of it such that  $\mathcal{A}^J/U$  belongs to  $\mathbf{SCmV}$ . Since  $\mathcal{A}$  can be embedded in  $\mathcal{A}^J/U$  via the diagonal embedding, it is immediate that  $\mathcal{A}$  belongs to  $\mathbf{SSCmV} = \mathbf{SCmV}$ .

Now suppose that  $(\mathcal{A}_j)_{j \in J}$  is a family of  $\Sigma_{BA}$ -algebras in  $\mathbf{SCmV}$ . That is, for each  $j \in J$  there is an  $\mathcal{L}_j$  in  $\mathbf{V}$  such that  $\mathcal{A}_j \rightarrow \mathcal{L}_j^+$ . Consider an ultraproduct  $\mathcal{A} = (\prod_{j \in J} \mathcal{A}_j)/U$ . It is a well-known fact (cf. [4], Lemma 3.6.5) that

$$(\prod_{j \in J} \mathcal{L}_j^+)/U \rightarrow ((\prod_{j \in J} \mathcal{L}_j)/U)^+.$$

But  $(\prod_{j \in J} \mathcal{L}_j)/U$  belongs to  $\mathbf{V}$ , since  $\mathbf{V}$  is a variety and hence closed under ultraproducts. Thus the structure  $((\prod_{j \in J} \mathcal{L}_j)/U)^+$  is in  $\mathbf{CmV}$ ; it therefore follows from  $\mathcal{A} \rightarrow (\prod_{j \in J} \mathcal{L}_j^+)/U$  that  $\mathcal{A}$  belongs to  $\mathbf{SCmV}$ , as required. QED

This result actually holds for any elementary class  $\mathbf{V}$ .

### 3 Games

Let us fix, for the rest of the paper, a finite<sup>1</sup> similarity type  $\Sigma$ , and a variety  $\mathbf{V}$  of  $\Sigma$ -algebras. We will also fix an enumeration  $(\varepsilon_i : i < \omega)$  of a set of equations defining  $\mathbf{V}$ .

<sup>1</sup>This is for simplicity; our results hold for any recursive similarity type  $\Sigma$ . See Remark 5.7 for more details.

It is our aim in this section to define the game that we will use to characterize complex algebras. The key concept employed in our game — the playing board as it were — is that of a *network*. In order to define this, we use the notion of a *partial algebra*.

**Definition 3.1** A *partial  $\Sigma$ -algebra* is a structure  $\mathcal{N} = (N, I)$  such that  $I$  is a function interpreting each function symbol  $\nabla$  in  $\Sigma$  as a partial operation  $I_\nabla$  on  $N$  of arity  $q$ . We write ' $I_\nabla(\bar{x}) = \uparrow$ ' to denote that  $I_\nabla(\bar{x})$  is undefined; this convention also applies if not every element of  $\bar{x}$  is in  $N$ .

Analogously to the case of total  $\Sigma$ -algebras, a  $\Sigma$ -term  $\tau(x_1, \dots, x_n)$  can be evaluated in a partial  $\Sigma$ -algebra  $\mathcal{N} = (N, I)$  under any assignment  $\theta$  of its free variables to values in  $N$ ; we denote the resulting value by  $\tau^\theta$ . The evaluation is partial in that  $\tau^\theta$  need not always exist. Now we say that an equation  $\sigma \approx \tau$  *holds* in a partial  $\Sigma$ -algebra  $\mathcal{N}$ , or that  $\mathcal{N}$  *satisfies* the equation, if for every assignment  $\theta$  of the free variables of  $\sigma$  and  $\tau$ , if both  $\sigma^\theta$  and  $\tau^\theta$  exist then they are equal. A partial  $\Sigma$ -algebra  $\mathcal{N}$  is called a *partial  $\mathbf{V}$ -algebra* if it satisfies all the equations of  $\mathbf{V}$ , and a *partial  $\mathbf{V}$ -algebra of grade  $r$*  (where  $r \leq \omega$ ) if it satisfies the equations  $\{\varepsilon_i : i < r\}$ .

Let  $(N, I)$  and  $(N', I')$  be two partial  $\Sigma$ -algebras. Then we say that  $(N, I)$  is a *partial subalgebra* of  $(N', I')$  if  $N \subseteq N'$ , and for any  $\nabla \in \Sigma$  and  $k_1, \dots, k_q \in N$ , if  $I_\nabla(k_1, \dots, k_q)$  is defined then  $I'_\nabla(k_1, \dots, k_q)$  is also defined and  $I'_\nabla(k_1, \dots, k_q) = I_\nabla(k_1, \dots, k_q)$ .  $\triangleleft$

Observe that in particular, the constant (zero-ary) function symbols need not obtain an interpretation in a partial algebra.

**Definition 3.2** Given a  $\Sigma_{BA}$ -algebra  $\mathcal{A} = (A, +, -, 0, \diamond)$ , a *network over  $\mathcal{A}$*  is a structure  $\mathcal{N} = (N, I, \lambda)$  such that  $(N, I)$  is a finite partial  $\Sigma$ -algebra and  $\lambda$  is a map:  $N \rightarrow A$ . Elements of  $N$  are called *nodes*, and  $\lambda$  is called the *labelling* of the network. The *empty network*  $(\emptyset, \emptyset, \emptyset)$  is denoted as  $\mathcal{N}_\emptyset$ .

A network  $(N, I, \lambda)$  is called a  *$\mathbf{V}$ -network (of grade  $r$ )* if  $(N, I)$  is a partial  $\mathbf{V}$ -algebra (of grade  $r$ ).  $(N, I, \lambda)$  is said to be *coherent* if  $\lambda(k) \neq 0$  for each node  $k \in N$ , and in addition,  $\lambda$  satisfies the following condition, for each function symbol  $\nabla$  and all nodes  $k_1, \dots, k_q \in N$  such that  $I_\nabla(k_1, \dots, k_q)$  is defined:

$$\lambda(I_\nabla(k_1, \dots, k_q)) \cdot \diamond_\nabla(\lambda(k_1), \dots, \lambda(k_q)) \neq 0.$$

Where  $\mathcal{A}$  can be recovered from the context, we will simply say 'network' instead of 'network over  $\mathcal{A}$ '.  $\triangleleft$

In order to get some intuition concerning this notion, we make the following definition, which will also be needed later.

**Definition 3.3** Let *rep* be a representation of the  $\Sigma_{BA}$ -algebra  $\mathcal{A}$  over the algebra  $\mathcal{L}$  in  $\mathbf{V}$ . We say that the network  $\mathcal{N} = (N, I, \lambda)$  *matches* with *rep* if (i)  $(N, I)$  is a partial subalgebra of  $\mathcal{L}$ , and (ii)  $k \in \text{rep}(\lambda(k))$  for all nodes  $k \in N$ .  $\triangleleft$

Suppose that  $\mathcal{A}$  is representable over  $\mathbf{V}$ , say, via the representation *rep* over the algebra  $\mathcal{L}$  in  $\mathbf{V}$ . Further, suppose that the network  $\mathcal{N} = (N, I, \lambda)$  matches with *rep*. Then  $\mathcal{N}$  is a coherent  $\mathbf{V}$ -network — as an easy calculation shows. Such a network can be seen as a finite approximation of the representation *rep*: the network only provides partial information concerning the representation. However, not every network matches with a representation;

the proper intuition behind the concept is that the existence of a coherent network over an algebra  $\mathcal{A}$  is an indication of the ‘representability potential of  $\mathcal{A}$ ’. The more details the network provides, the higher this potential is.

We are interested in certain relations between networks, like one coherent V-network approximating a representation better than another. In general, we need to define when one network *extends* or provides more information than another.

**Definition 3.4** A network  $\mathcal{N}' = (N', I', \lambda')$  over an algebra  $\mathcal{A}$  is said to *extend* or to be an *extension of* a network  $\mathcal{N} = (N, I, \lambda)$ , notation:  $\mathcal{N} \triangleleft \mathcal{N}'$ , if  $(N, I)$  is a partial subalgebra of  $(N', I')$  and  $\lambda'$  is a *tightening* of  $\lambda$ : that is,  $\mathcal{A} \models \lambda'(k) \leq \lambda(k)$  for all  $k \in N$ .  $\triangleleft$

Note that if  $\mathcal{N} \triangleleft \mathcal{N}'$  and  $\mathcal{N}'$  is a coherent V-network of grade  $r$  then so is  $\mathcal{N}$ .

In the sequel, we will be interested in a number of ways to extend a network, in particular, the following three:

1. adding new points, that is, enlarging the network,
2. tightening the labelling,
3. providing more values for the partial operations interpreting the function symbols.

**Definition 3.5** Let  $\mathcal{N} = (N, I, \lambda)$  be a network over the  $\Sigma_{BA}$ -algebra  $\mathcal{A}$ .

1. For an object  $n$  (either being a node of the network or not),  $\mathcal{N}(n)$  is defined to be the network  $(N \cup \{n\}, I, \lambda')$ , where the labelling  $\lambda'$  is given by

$$\lambda'(x) = \begin{cases} 1 & \text{if } x = n \notin N, \\ \lambda(x) & \text{otherwise.} \end{cases}$$

Roughly speaking, the network  $\mathcal{N}(n)$  is the network  $\mathcal{N}$  in case  $n$  already belongs to  $\mathcal{N}$ , while it is the extension of  $\mathcal{N}$  with  $n$  as a new node otherwise.

2. For a node  $k \in N$  and an element  $a \in A$ ,  $\mathcal{N}(k : a)$  denotes the network  $(N, \lambda', I)$ , where the labelling  $\lambda'$  is given by

$$\lambda'(x) = \begin{cases} \lambda(x) \cdot a & \text{if } x = k, \\ \lambda(x) & \text{otherwise.} \end{cases}$$

In words,  $\mathcal{N}(k : a)$  is the network we obtain by tightening the label of  $k$  so that it is below  $a$ .

3. For an operator symbol  $\nabla \in \Sigma$  and nodes  $k_0, k_1, \dots, k_q$  of  $\mathcal{N}$ , the network  $\mathcal{N}(\nabla, \bar{k} \mapsto k_0)$  (where  $\bar{k}$  is the tuple  $(k_1, \dots, k_q)$ ) is defined to be  $(N, I', \lambda)$ , where  $I'_{\heartsuit} = I_{\heartsuit}$  for all function symbols  $\heartsuit$  different from  $\nabla$ , while the interpretation of  $\nabla$  is given by

$$I'_{\nabla}(\bar{x}) = \begin{cases} k_0 & \text{if } \bar{x} = \bar{k} \text{ and } I_{\nabla}(\bar{x}) = \uparrow, \\ I_{\nabla}(\bar{x}) & \text{otherwise.} \end{cases}$$

So  $\mathcal{N}(\nabla, \bar{k} \mapsto k_0)$  is obtained by giving  $I_{\nabla}(\bar{k})$  the value  $k_0$ , unless  $I_{\nabla}(\bar{k})$  was already defined by  $\mathcal{N}$ , in which case  $\mathcal{N}(\nabla, \bar{k} \mapsto k_0) = \mathcal{N}$ .

We are now ready to define the games.

**Definition 3.6** Let  $\mathcal{A}$  be some  $\Sigma_{BA}$ -algebra, let  $\mathcal{N}$  be some network over  $\mathcal{A}$  and let  $\alpha \leq \omega$  be an ordinal. We define a *game*  $G_\alpha(\mathcal{N}, \mathcal{A}, \mathcal{V})$  between two players:  $\forall$  (male) and  $\exists$  (female).

A *match* of the game consists of  $\alpha$  *rounds*, numbered  $0, 1, \dots, i, \dots$  for  $i < \alpha$ . The match starts with the network  $\mathcal{N}_0 = \mathcal{N}$ ; during the match, the players build a sequence of networks  $(\mathcal{N}_{i+1})_{i < \alpha}$ . All networks are over  $\mathcal{A}$ . Each round consists of a *move* made by  $\forall$  and a *response* move made by  $\exists$ . In round  $i$ , for each  $i < \alpha$ , the playing board consists of the network  $\mathcal{N}_i$ . The actions of the players during the round define a new network  $\mathcal{N}_{i+1}$  which forms the playing board for the next round,  $i + 1$ ; and so on.

The moves of the players are subject to the following constraints. In each round of the game,  $\forall$  has a choice between four kinds of move, listed below. Suppose that he is about to make a move in round  $i$  of the game and that  $\mathcal{N}_i = (N, I, \lambda)$  is the network forming the playing board. His move can be seen as a proposal to extend  $\mathcal{N}_i$  in some way; in her response,  $\exists$  can choose either to *accept* or *reject* his proposal. There is some fixed infinite set  $Q$  at  $\exists$ 's disposal from which to draw new nodes, if her response entails enlarging  $\mathcal{N}_i$ .

- ( $\alpha$ ) (*asking for label refinement*) In the first type of move,  $\forall$  chooses a node  $k$  of the network  $\mathcal{N}_i$  and an element  $a$  of the algebra  $\mathcal{A}$ . If  $\exists$  accepts this move,  $\mathcal{N}_{i+1}$  is defined as the network  $\mathcal{N}_i(k : a)$ ; otherwise, it is  $\mathcal{N}_i(k : -a)$ .
- ( $\beta$ ) (*asking for witnesses*) The second type of move consists of  $\forall$  choosing a function symbol  $\nabla \in \Sigma$  of arity  $q$ , say, elements  $a_1, \dots, a_q$  of  $\mathcal{A}$ , and a node  $k$  of  $\mathcal{N}_i$ . In this case, rejection by  $\exists$  gives the network

$$\mathcal{N}_{i+1} = \mathcal{N}_i(k : -\diamond_{\nabla}(a_1, \dots, a_q)).$$

If, on the other hand, she accepts  $\forall$ 's proposal, she must choose objects  $m_1, \dots, m_q$  which may or may not be nodes of  $\mathcal{N}$ . In the case that these objects already exist and that  $I_{\nabla}(m_1, \dots, m_q)$  is defined, then we require that  $I_{\nabla}(m_1, \dots, m_q) = k$ . The new network is defined as

$$\mathcal{N}_{i+1} = \mathcal{N}_i(\nabla, k, \overline{m}, \overline{a}),$$

where  $\overline{a} = (a_1, \dots, a_q)$ ,  $\overline{m} = (m_1, \dots, m_q)$  and

$$\mathcal{N}_i(\nabla, k, \overline{m}, \overline{a}) = \mathcal{N}_i(m_1) \cdots (m_q)(\nabla, \overline{m} \mapsto k)(m_1 : a_1) \cdots (m_q : a_q). \quad (1)$$

(Note that by part 3 of Definition 3.5, this takes care of the case that  $I_{\nabla}(m_1, \dots, m_q)$  was already defined on the old network.)

- ( $\gamma$ ) (*asking for function values*) In the third kind of move,  $\forall$  points out a function symbol  $\nabla \in \Sigma$  and nodes  $k_1, \dots, k_q$  of  $\mathcal{N}_i$ . In this case,  $\exists$  has no other choice but to accept, and she does so by choosing a point  $m$  (which may or may not be a node of the old network). The new network is defined as

$$\mathcal{N}_{i+1} = \mathcal{N}_i(m)(\nabla, (k_1, \dots, k_q) \mapsto m).$$

- ( $\delta$ ) (*asking for elements*) Finally, in the fourth kind of move,  $\forall$  simply picks a non-zero element  $a$  of the algebra  $\mathcal{A}$ .  $\exists$  has to accept, by providing an object  $k$ ; the new network is defined as

$$\mathcal{N}_{i+1} = \mathcal{N}_i(k)(k : a).$$

$\exists$  is said to *win* the match if  $\mathcal{N}_0$  and all  $\mathcal{N}_{i+1}$ 's ( $i < \alpha$ ) are coherent  $\mathbb{V}$ -networks of grade  $\alpha$ ; if she does not win, then  $\forall$  does.  $\triangleleft$

It is in a sense the aim of the first player,  $\forall$ , in the game  $G_\alpha(\mathcal{N}, \mathcal{A}, \mathbb{V})$  to show that the starting network  $\mathcal{N}$  is *not* an approximation of a representation of the algebra  $\mathcal{A}$  over some  $\mathbb{V}$ -algebra, while the second player  $\exists$  wants to show the contrary. Less confrontationally, we can view  $\exists$  as a doctoral student in the Faculty of Representability of Algebras, and  $\forall$  as examiner of her dissertation on  $\mathcal{A}$  [10]. The best perspective on the role of the networks is that for each network  $\mathcal{N}$  arising during the game,  $\exists$  claims the existence of a matching representation, *rep*. The idea of a move in the game is then that  $\forall$  challenges  $\exists$  to provide more information about *rep*.  $\forall$  makes a type  $(\alpha)$  move to find out whether  $\exists$  wants a node  $k$  to belong to  $\text{rep}(a)$  or to its complement  $\text{rep}(-a)$ . Concerning the type  $\beta$  moves, in a real representation, if  $k$  belongs to  $\text{rep}(\diamond_{\forall}(a_1, \dots, a_q))$  then there must be witnesses  $m_1, \dots, m_q$  such that  $I_{\forall}(m_1, \dots, m_q) = k$  and each  $m_i$  belongs to  $\text{rep}(a_i)$ . A move of type  $(\beta)$  tests this; just like in a type  $(\alpha)$  move, rejection by  $\exists$  means that she believes  $k$  not to belong to  $\text{rep}(\diamond_{\forall}(a_1, \dots, a_q))$ . If she accepts, however, she must provide the witnessing points, adding them to the network in case they were not there already, put  $k$  as the value of  $I_{\forall}(m_1, \dots, m_q)$ , and tighten, for each  $i$ , the label of  $k_i$  with  $a_i$  (for those  $k_i$  that are new to the network, this means in effect initializing  $\lambda(k_i) := a_i$ ). All of this is expressed by (1). Furthermore, any function  $I_{\forall}$  must be defined on all tuples of points of appropriate length, and this is tested in type  $(\gamma)$  moves. Moves of type  $(\delta)$  test injectivity of the representation, as we will see later on.

**Definition 3.7**  $\exists$  is said to have a *winning strategy* for the game  $G_\alpha(\mathcal{N}, \mathcal{A}, \mathbb{V})$  if there is a set of rules that tells her how to respond to  $\forall$  in each round of a match, depending on play so far, such that she wins any match in which she follows these rules.  $\triangleleft$

The notion of a winning strategy can be formalized by certain functions but it is not helpful here to do so.

In the sequel, we will make tacitly use of the observation that if  $\exists$  uses a winning strategy in a match of the game  $G_\omega(\mathcal{N}, \mathcal{A}, \mathbb{V})$ , then at each round  $i$  she has a winning strategy for the game  $G_\omega(\mathcal{N}_i, \mathcal{A}, \mathbb{V})$ . A similar observation holds for the finite-length games, except that we must remember that games of different finite lengths require networks of different grades (the reason for this requirement will be seen in section 5). Observe furthermore that it follows from the definitions that the sequence of networks obtained in any match of the game is in fact a *chain*:  $\mathcal{N}_0 \triangleleft \mathcal{N}_1 \triangleleft \dots$ .

**Remark 3.8** From Definition 3.6, it may seem that the game  $G_\alpha(\mathcal{N}, \mathcal{A}, \mathbb{V})$  is not well-defined, in that it depends on the set  $Q$  of ‘new’ nodes available to  $\exists$ . It may also seem that to some moves of  $\forall$ ,  $\exists$  has an *infinite* choice of networks to respond with; it is crucial for our later results that this infinite choice in fact boils down to a finite one. These two issues are closely related. We felt that dealing with them more formally in the definition of the game would have gone at the cost of transparency. Nevertheless, in section 5 we need more precision concerning this issue, so let us discuss it now in some detail.

As to the first point, it is easily seen that if  $\exists$  has a winning strategy in the game  $G_\alpha(\mathcal{N}, \mathcal{A}, \mathbb{V})$  using *some* set  $Q$  of spare nodes, even a finite one, then she has a winning strategy with  $Q = \omega$ , because it does not matter what the elements of  $Q$  actually are, and

during the  $\alpha$  rounds of any match she will only need at most  $\omega$  new nodes. So we will formally take  $Q = \omega$  in the game definition, but sometimes allow other sets  $Q$  in practice.

In more detail, and addressing the second point, consider the situation arising after  $\forall$  has made a type  $(\gamma)$  move, choosing the function symbol  $\nabla$  and the nodes  $k_1, \dots, k_q$  (recall that  $q$  denotes the arity of  $\nabla$ ), and suppose that  $I_\nabla(k_1, \dots, k_q)$  is undefined for the old network  $\mathcal{N} = (N, I, \lambda)$ . Then  $\exists$  is forced to give a value for the new interpretation function  $I'_\nabla$  on the tuple  $(k_1, \dots, k_q)$ . It is obvious that there are only finitely many old candidates, but if she chooses to enlarge the network with a new object, isn't there the whole infinite set  $Q$  to choose from? The formal answer to this question is of course affirmative, but the point is that *if*  $\exists$  chooses to enlarge the network, it does not matter at all *which* object from the set  $Q$  she chooses. We might as well have required that whenever  $\exists$  needs to extend a network  $\mathcal{N}$  with a new node, she takes some *canonically chosen* object  $\#_N$  from  $Q \setminus N$ . In fact, we may (and later on, will) assume that  $\#$  is a recursive function on sets of nodes of networks. For instance, we may require that the nodes of a network are always taken from the set of natural numbers, and indeed that the set of nodes of any network form an initial subset of  $\omega$ , i.e., a set of the form  $\{0, \dots, i\}$ . This would mean that we could take  $\#_N$  to be the *size* of the network — this example in fact inspired our notation. In this alternative but equivalent set-up, it is clear that to any type  $(\gamma)$  move of  $\forall$ , the only choice that  $\exists$  has is which object to pick from the finite set  $N^* = N \cup \{\#_N\}$ .

Obviously, the same applies to the other kinds of move for the first player. Concerning  $\exists$ 's response to a type  $(\beta)$  move of  $\forall$ , it is convenient to introduce some notation. Recall that in case  $\exists$  accepts  $\forall$ 's move, she has to choose  $q$  witnesses (where  $q$  denotes the arity of the function symbol involved) which may but need not be nodes of the old network. Assume that she chooses the nodes  $m_1, \dots, m_q$  in this order, and that when she chooses a *new* node  $m_i$  then this will canonically be the object  $\#_{N \cup \{m_1, \dots, m_{i-1}\}}$ . In other words, let  $K^q(N)$  be the set of those  $q$ -tuples  $(m_1, \dots, m_q)$  such that each  $m_i$  belongs to  $(N \cup \{m_1, \dots, m_{i-1}\})^*$ . Then an affirmative answer of  $\exists$  to a type  $(\beta)$  move of  $\forall$  on the network  $\mathcal{N}$  consists of choosing a tuple from the finite set  $K^q(N)$ .

## 4 A game characterization

It is our aim in this section to prove the following result:

**Theorem 4.1** *Let  $\mathcal{A}$  be a countable Boolean algebra with  $\Sigma$ -operators. Then  $\exists$  has a winning strategy for the game  $G_\omega(\mathcal{N}_\emptyset, \mathcal{A}, \mathbb{V})$  if and only if  $\mathcal{A}$  belongs to  $\text{SCmV}$ .*

Later on we will see that this theorem in fact holds for algebras of arbitrary cardinality. For the soundness part of the game characterization (that is, the right to left direction of the theorem), we may lift the restriction to countable algebras straight away.

**Proposition 4.2** *Let  $\mathcal{A}$  be an algebra in  $\text{SCmV}$ . Then  $\exists$  has a winning strategy for the game  $G_\omega(\mathcal{N}_\emptyset, \mathcal{A}, \mathbb{V})$ .*

PROOF. If  $\mathcal{A} = (A, \diamond)$  belongs to  $\text{SCmV}$ , then there is some algebra  $\mathcal{L} = (L, f)$  and a representation map  $\text{rep} : A \rightarrow \mathcal{P}(L)$  which embeds  $\mathcal{A}$  into  $\mathcal{L}^+$ .

By Remark 3.8, it suffices to prove the proposition under the assumption that the set  $Q$  of spare nodes available to  $\exists$  during play is in fact the carrier set  $L$  of  $\mathcal{L}$ . The idea of the

winning strategy for  $\exists$  is that during a match of the game, she will maintain the condition that the current network matches with  $rep$ . As we said before, it is not hard to show that any such network is a coherent  $\mathcal{V}$ -network. So in order to show that this strategy works, it is sufficient to prove that the initial, empty network matches with  $rep$ , and that the strategy sees  $\exists$  through one single round of the game. The first task is rather trivial since  $\mathcal{N}_\emptyset$  matches with any representation. In order to establish the other fact, we have to prove that if  $\mathcal{N} = (N, I, \lambda)$  is a network matching with  $rep$ , then  $\exists$  can counter any move of  $\forall$  on this network by proposing a new network  $\mathcal{N}' = (N', I', \lambda')$  that also matches with  $rep$ . We only treat the case in which  $\forall$  makes a type  $(\beta)$  move, asking for witnesses. Say that  $\forall$  picks the function symbol  $\nabla$ , the node  $m$ , and the elements  $a_1, \dots, a_q$  of  $A$  (here,  $q$  denotes the arity of  $\nabla$ ). The first thing that  $\exists$  does is to check whether  $m$  belongs to  $rep(\diamond_{\nabla}(\bar{a}))$ ; if this is *not* the case, then (naturally) she rejects the proposal, whence the new network is defined as  $\mathcal{N}' = \mathcal{N}(m : -\diamond_{\nabla}(\bar{a}))$ . Since the only difference between  $\mathcal{N}$  and  $\mathcal{N}'$  concerns the new label of  $m$ , in order to show that  $\mathcal{N}'$  matches with  $rep$  it suffices to check that  $m \in rep(\lambda'(m))$ . But  $\lambda'(m) = \lambda(m) \cdot -\diamond_{\nabla}(\bar{a})$ , whence  $rep(\lambda'(m)) = rep(\lambda(m)) \setminus rep(\diamond_{\nabla}(\bar{a}))$ . By our assumption on  $\mathcal{N}$  we have that  $m \in rep(\lambda(m))$  and by our case assumption we have that  $m \notin rep(\diamond_{\nabla}(\bar{a}))$ . Thus we find that indeed,  $m \in rep(\lambda'(m))$ .

Now suppose that on the other hand,  $m$  does belong to  $rep(\diamond_{\nabla}(\bar{a}))$ . Note that since  $rep$  is a homomorphism, we have that

$$rep(\diamond_{\nabla}(\bar{a})) = f_{\nabla}^{\diamond}(rep(a_1), \dots, rep(a_q));$$

recall that  $f_{\nabla}^{\diamond}$  is the lift of the  $\mathcal{L}$ -operation  $f_{\nabla}$ . Thus by definition of  $f_{\nabla}^{\diamond}$ , there must be elements  $k_1, \dots, k_q \in L$  such that  $m = f_{\nabla}(\bar{k})$  and  $k_i \in rep(a_i)$  for each  $i$ . Naturally,  $\exists$  picks such objects  $k_1, \dots, k_q$  as her response to  $\forall$ 's move. The new network  $\mathcal{N}'$  is defined as  $\mathcal{N}(\nabla, m, \bar{k}, \bar{a})$ , see (1). It is obvious from the definitions that  $\mathcal{N}'$  satisfies property (ii) of Definition 3.3, so let us check now that the underlying partial algebra  $(N', I')$  of  $\mathcal{N}'$  is a partial subalgebra of  $\mathcal{L}$ . But since  $(N, I)$  is a partial subalgebra of  $\mathcal{L}$  and  $I'$  is like  $I$  save perhaps for its value on  $\bar{k}$ , this follows from our assumption that  $f_{\nabla}(\bar{k}) = m$ . This shows that indeed,  $\mathcal{N}'$  matches with  $rep$ .

So it turns out that no matter which type  $(\beta)$  move  $\forall$  makes,  $\exists$  manages to reach the end of the round with a network that matches the representation. The proof for the other move types is very similar. QED

The completeness part of Theorem 4.1 is the hard direction.

**Proposition 4.3** *Let  $\mathcal{A}$  be a countable Boolean algebra with  $\Sigma$ -operators, and suppose that  $\exists$  has a winning strategy for the game  $G_{\omega}(\mathcal{N}_{\emptyset}, \mathcal{A}, \mathcal{V})$ . Then  $\mathcal{A}$  is representable as a complex algebra over  $\mathcal{V}$ .*

**PROOF.** We will consider a match of the game in which both players play according to a special strategy. Basically, the strategy of  $\forall$  will consist of (i) listing all moves that become possible during the match and (ii) actually making each one of these moves at some stage of the play; obviously,  $\exists$  will use her winning strategy. We will prove that we can ‘read off’ a representation of the algebra  $\mathcal{A}$  from the chain of networks arising during this particular match of the game.

For notational simplicity, we assume that the similarity type  $\Sigma$  has only one function symbol  $\nabla$ , of arity  $q$ . Let  $Q$  be some (countable) set of objects from which the nodes of the

networks are taken during play. First of all, we define the set of all *potential moves* for  $\forall$  in this context. For instance, any type  $(\alpha)$  move involves a node of a network and an element of the algebra; since the nodes of the network will always be elements of  $Q$ , the set of potential moves of type  $(\alpha)$  is the set  $Q \times A$ . Likewise,  $A^q \times Q$ ,  $Q^q$  and  $A$  are the sets of potential moves of type  $(\beta)$ ,  $(\gamma)$  and  $(\delta)$ , respectively. The four sets are pairwise disjoint. Thus the (countable) set of all potential moves can be defined as follows:

$$P := (Q \times A) \cup (A^q \times Q) \cup Q^q \cup A.$$

For any given network  $\mathcal{N}$  with nodes in  $Q$ , a given potential move may be *possible* or not, according to whether the elements of  $Q$  that occur in the potential move are nodes of  $\mathcal{N}$  or not.

We may assume that the strategy of  $\forall$  is thus that whenever a potential move  $p$  becomes possible during the match (in the sense that a network arises during play in which  $p$  is a possible move), then  $\forall$  will actually make this move at some stage during the game. (This could be done by enumerating the set of potential moves as  $P = \{p_0, p_1, p_2, \dots\}$ , and requiring  $\forall$  at each round to make the move  $p$  that has the least index among all possible moves that he has not made yet.) Observe that such an assumption can only be justified for a countable algebra  $\mathcal{A}$ . We also assume that  $\exists$  plays according to a winning strategy.

Consider the chain of networks arising in such a match of the game:  $\mathcal{N}_0 \triangleleft \mathcal{N}_1 \triangleleft \dots$ . We will use this chain to define a partial  $\Sigma$ -algebra  $\mathcal{L} = (N, I)$ . Its carrier  $N$  is given as the union of all the  $N_i$ :

$$N = \bigcup_{i \in \omega} N_i.$$

Recall, for the definition of the function  $I_{\nabla} : {}^q N \rightarrow N$ , that the sequence of networks associated with any match of the game form a chain. In particular, the underlying algebra of a network will be a partial subalgebra of the underlying algebra of any network arising later; hence, if  $I_{\nabla}^i(\bar{k})$  is defined at some stage  $i$ , we have that  $I_{\nabla}^i(\bar{k}) = I_{\nabla}^j(\bar{k})$  for all stages  $j$  at which  $I_{\nabla}^j(\bar{k})$  is defined. This means that the following is an unambiguous definition for the interpretation  $I_{\nabla}$  of the function symbol  $\nabla$  on  $N$  in  $\mathcal{L}$ :

$$I_{\nabla}(\bar{k}) = \begin{cases} I_{\nabla}^i(\bar{k}) & \text{for any } i \text{ such that } I_{\nabla}^i(\bar{k}) \text{ is defined,} \\ \uparrow & \text{if no } I_{\nabla}^i(\bar{k}) \text{ is defined.} \end{cases}$$

Finally, we define a labelling  $\lambda : N \rightarrow \mathcal{P}(A)$  by

$$\lambda(k) = \{a \in A \mid a \geq \lambda_i(k) \text{ for some } i \in \omega\}.$$

Observe that unlike the labellings that we have seen so far,  $\lambda$  labels nodes with *subsets* of the algebra  $\mathcal{A}$ , not with elements of it.

It is our ultimate aim to show that  $(N, I)$  is in fact a *total* algebra belonging to  $\mathbf{V}$ , and that the following map  $rep : \mathcal{A} \rightarrow (N, I)^+$  is a representation of  $\mathcal{A}$  over  $(N, I)$ :

$$rep : a \mapsto \{k \in N \mid a \in \lambda(k)\}.$$

To this end, we will prove the following claims. We will use the fact that, since  $\exists$  plays according to her winning strategy, she wins this match of the game; this means that each  $\mathcal{N}_i$  is a coherent  $\mathbf{V}$ -network.

**Claim 1**  $(N, I)$  is a total algebra and belongs to  $\mathbf{V}$ .

PROOF OF CLAIM We first show that  $I_{\nabla}$  is a total operation. Take some elements  $k_1, \dots, k_q$  in  $N$ ; since all elements of  $\mathcal{N}$  are drawn from the set  $Q$ , it follows that  $\bar{k}$  belongs to the set  $P$  of potential moves. By our definition of  $N$ , there must be some stage  $i$  of the match at which each object  $k_i$  is present as a node of the network  $\mathcal{N}_i$ . Hence, from stage  $i$  onwards,  $(\nabla, k_1, \dots, k_q)$  is a possible type  $(\gamma)$  move for  $\forall$ . By our assumption on his strategy, this means that at some stage  $j$  of the game,  $\forall$  will actually make this move, picking  $\nabla$  as the operator symbol together with the nodes  $k_1, \dots, k_q$ . It then follows from the rules of the game that  $I_{\nabla}^{j+1}(\bar{k})$  is defined; but then by our definition of  $I_{\nabla}$ , we have that  $I_{\nabla}$  is also defined on  $\bar{k}$ .

Then, once we know that  $(N, I)$  is a total algebra, it is trivial to show that it satisfies all equations of  $\mathbf{V}$ . For, since each  $\mathcal{N}_i$  is a  $\mathbf{V}$ -network,  $(N, I)$  is a partial  $\mathbf{V}$ -algebra, so by its totality it belongs to  $\mathbf{V}$ .  $\blacktriangleleft$

**Claim 2** For all  $k \in N$ ,  $\lambda(k)$  is an ultrafilter of  $\mathcal{A}$ .

PROOF OF CLAIM From the fact that  $\lambda_i(k) \leq \lambda_j(k)$  for  $i \geq j$  it easily follows that, for each node  $k$ ,  $\lambda(k)$  is a filter of (the Boolean reduct of)  $\mathcal{A}$ . Since each network  $\mathcal{N}_i$  ( $i < \omega$ ) is coherent, these filters  $\lambda(k)$  ( $k \in N$ ) must all be proper.

In order to show that  $\lambda$  in fact labels with ultrafilters, take some node  $k \in N$  and an element  $a$  of the algebra. It follows from our assumption on the strategy of  $\forall$  that at some stage  $i$  of the match,  $\forall$  makes the type  $(\alpha)$  move picking  $k$  and  $a$ . This means that at the next stage of the game we have either  $\lambda_{i+1}(k) \leq a$  or  $\lambda_{i+1}(k) \leq -a$ . In the first case we obtain that  $a \in \lambda(k)$ , in the second case that  $-a \in \lambda(k)$ . This proves that indeed  $\lambda(k)$  is an ultrafilter.  $\blacktriangleleft$

**Claim 3**  $rep$  is a homomorphism.

PROOF OF CLAIM Since  $\lambda(k)$  is an ultrafilter of  $\mathcal{A}$  for each node  $k \in N$ , it is straightforward to show that  $rep$  is a homomorphism with respect to the Boolean operations. Hence, we restrict ourselves to proving that  $rep$  is a homomorphism with respect to the operation interpreting  $\nabla$ . In other words, we have to show that

$$rep(\diamond_{\nabla}(a, b)) = I_{\nabla}^{\diamond}(rep(a), rep(b)) \quad \text{for all } a, b \in A$$

(in order to keep notation simple here, we assume that  $\nabla$  is binary).

We first establish the left-to-right inclusion: assume that  $k \in rep(\diamond_{\nabla}(a, b))$ . By definition, this means that  $\diamond_{\nabla}(a, b) \geq \lambda_i(k)$ , for some stage  $i \in \omega$ . It follows from our assumption on  $\forall$ 's strategy that at some stage  $j$  of the match, he makes a type  $(\beta)$  move picking  $k$ ,  $\nabla$ ,  $a$ , and  $b$ . It is clear that  $\exists$  does not reject this proposal, for if she did, we would have  $k \in rep(-\diamond_{\nabla}(a, b))$  contradicting  $k \in rep(\diamond_{\nabla}(a, b))$ . So she accepts: this means that at stage  $j+1$ , there are nodes  $k_a$  and  $k_b$  in  $N_{j+1}$  with  $I_{\nabla}^{j+1}(k_a, k_b) = k$ ,  $\lambda_{j+1}(k_a) \leq a$  and  $\lambda_{j+1}(k_b) \leq b$ . From this it easily follows that  $I_{\nabla}(k_a, k_b) = k$ ,  $k_a \in rep(a)$  and  $k_b \in rep(b)$  and thus that  $k \in I_{\nabla}^{\diamond}(rep(a), rep(b))$ .

For the other inclusion, assume that  $k \in I_{\nabla}^{\diamond}(rep(a), rep(b))$ . Using the definition of  $I_{\nabla}^{\diamond}$  as the lift of  $I_{\nabla}$  this is easily seen to imply that at some stage  $i$  of the match, there are witnesses  $k_a, k_b \in N_i$  such that  $I_{\nabla}^i(k_a, k_b) = k$ ,  $\lambda_i(k_a) \leq a$ ,  $\lambda_i(k_b) \leq b$  and either  $\lambda_i(k) \leq$

$\diamond_{\nabla}(a, b)$  or  $\lambda_i(k) \leq -\diamond_{\nabla}(a, b)$ . Since we know by coherence of  $\mathcal{N}_i$  (Definition 3.2) that  $\lambda_i(k) \cdot \diamond_{\nabla}(\lambda_i(k_a), \lambda_i(k_b)) \neq 0$ , using additivity of  $\diamond_{\nabla}$  we obtain  $\lambda_i(k) \cdot \diamond_{\nabla}(a, b) \neq 0$ . So we must have  $\lambda_i(k) \leq \diamond_{\nabla}(a, b)$ . From this it follows that  $k \in \text{rep}(\diamond_{\nabla}(a, b))$ . ◀

**Claim 4**  $\text{rep}$  is injective.

PROOF OF CLAIM It is sufficient to prove that  $\text{rep}(a) \neq 0$  for any non-zero element  $a$  of the algebra. But this is taken care of in a type  $(\delta)$  move of  $\forall$ : at some stage  $i$  of the game, he will play the element  $a$ . Since  $\mathcal{N}_{i+1}$  is defined as the network  $\mathcal{N}_i(k)(k : a)$ , with  $k$  being the object chosen by  $\exists$ , we have  $\lambda_{i+1}(k) \leq a$  and hence,  $a \in \lambda(k)$  and  $k \in \text{rep}(a)$ . ◀

It is immediate by these claims that indeed,  $\text{rep}$  is a representation embedding  $\mathcal{A}$  in the full complex algebra  $(N, I)^+$  of the  $\mathbf{V}$ -algebra  $(N, I)$ . Hence,  $\mathcal{A}$  belongs to the class  $\text{SCmV}$ . QED

## 5 The axiomatization

Recall that we fixed a variety  $\mathbf{V}$  of  $\Sigma$ -algebras, where  $\Sigma$  is a finite similarity type, and a sequence  $\langle \varepsilon_i : i < \omega \rangle$  of equations defining  $\mathbf{V}$ . It is the aim of this section to prove the main theorem of this paper. That is, we will provide a collection  $\Phi(\mathbf{V})$  of universal first-order sentences (in the algebraic language of similarity type  $\Sigma_{BA}$ ) that axiomatizes the class  $\text{SCmV}$ . We will proceed in three steps. First, we will prove that for any  $\mathcal{N}$  and  $\mathcal{A}$ ,  $\exists$  has a winning strategy in the game  $G_{\omega}(\mathcal{N}, \mathcal{A}, \mathbf{V})$  if and only if she has winning strategies for all games of finite length — the  $G_i(\mathcal{N}, \mathcal{A}, \mathbf{V})$  for  $i \in \omega$ . Second, we will recursively define a collection of  $\Sigma_{BA}$ -sentences  $(\varphi_i)_{i \in \omega}$  such that for each  $i$ ,  $\varphi_i$  holds in a  $\Sigma_{BA}$ -algebra  $\mathcal{A}$  if and only if  $\exists$  has a winning strategy for the game  $G_i(\mathcal{N}_{\emptyset}, \mathcal{A}, \mathbf{V})$ . In the third and last part of this section, we show that these two results provide sufficient material for proving the main theorem.

As we announced, we first show that for  $\exists$ , having a winning strategy in a game of infinite length is equivalent to having winning strategies in all games of finite length.

**Theorem 5.1** *For any  $\Sigma_{BA}$ -algebra  $\mathcal{A}$  and any network  $\mathcal{N}$  over  $\mathcal{A}$ ,  $\exists$  has a winning strategy in the game  $G_{\omega}(\mathcal{N}, \mathcal{A}, \mathbf{V})$  if and only if she has a winning strategy for every game  $G_i(\mathcal{N}, \mathcal{A}, \mathbf{V})$  of finite length  $i \in \omega$ .*

PROOF. The left-to-right direction of the Theorem is obvious, so we will only prove the other direction. Assume that  $\exists$  has a winning strategy for each game  $G_i(\mathcal{N}, \mathcal{A}, \mathbf{V})$  of finite length  $i$ . We have to supply her with a winning strategy for the game  $G_{\omega}(\mathcal{N}, \mathcal{A}, \mathbf{V})$ .

Call a network  $\mathcal{N}$  *safe for  $\exists$*  if for infinitely many  $j$ , she has a winning strategy in the game  $G_j(\mathcal{N}, \mathcal{A}, \mathbf{V})$ . Note that the initial network  $\mathcal{N}$  is safe for  $\exists$  by assumption, and that any safe network is a coherent  $\mathbf{V}$ -network of grade  $\alpha$  for every  $\alpha < \omega$ , and hence is a coherent  $\mathbf{V}$ -network. Now the idea of  $\exists$ 's strategy in  $G_{\omega}(\mathcal{N}, \mathcal{A}, \mathbf{V})$  is to maintain the condition that the current network is safe for her. Obviously, in order to show that this is a *winning* strategy, it suffices to show that she can survive one round of the game maintaining this condition.

Hence, suppose that we are in the  $i$ -th round of the game  $G_{\omega}(\mathcal{N}, \mathcal{A}, \mathbf{V})$ ; let  $\mathcal{N}_i$  be the network board of this round, and assume that  $\mathcal{N}_i$  is safe for  $\exists$ . Now assume that  $\forall$  makes his  $i$ -th move; as we saw in Remark 3.8,  $\exists$  has a *finite* choice of networks to respond with. Since there are infinitely many  $j$  for which she has a winning strategy in the game  $G_j(\mathcal{N}_i, \mathcal{A}, \mathbf{V})$ ,

this means that there must be at least one of these responses, say  $\mathcal{N}'$ , on which she has a winning strategy in the game  $G_{j-1}(\mathcal{N}', \mathcal{A}, \mathbb{V})$  for infinitely many  $j$ . Obviously, this means that this  $\mathcal{N}'$  is safe for her; hence, if she chooses it to be her response in the  $i$ -th round of the game of infinite length, she has maintained her condition. QED

We have now arrived at the second and hard part of the section in which we have to provide the first-order formulas characterizing SCmV. The crucial concept that we employ here is that of a *term network*.

**Definition 5.2** A *term network* is a structure  $\mathcal{N} = (N, I, \tau)$  such that  $(N, I)$  is a finite partial  $\Sigma$ -algebra and  $\tau$  is a *term labelling*, that is, a map assigning a  $\Sigma_{BA}$ -term  $\tau_k$  to each node  $k \in N$  of the network. (Alternatively, a term network can be seen as an ordinary network over the absolutely free  $\Sigma_{BA}$ -algebra.)

Given a term network  $\mathcal{N}$ ,  $\text{Var}(\mathcal{N})$  denotes the set of (algebraic) variables occurring in the term labels of  $\mathcal{N}$ . ◁

We will use notation in line with that adopted for networks to denote extensions of term networks: for instance, given a term network  $\mathcal{N} = (N, I, \tau)$ , a node  $k$  of  $\mathcal{N}$ , and a  $\Sigma_{BA}$ -term  $\sigma$ , we let  $\mathcal{N}(k : \sigma)$  denote the term network  $(N, I, \tau')$  where  $\tau'$  is defined by  $\tau'_x = \tau_x$  for  $x \in N$  such that  $x \neq k$ , while  $\tau'_k$  is the  $\Sigma_{BA}$ -term  $\tau_k \cdot \sigma$ . We assume that there is a canonical way of adding a new node to a term network (cf. Remark 3.8); this new node is denoted  $\#_N$  and we assume that  $\#$  is in fact a recursive function.

The basic idea is that term networks provide *structure to the indices* of the variables occurring in the formulas characterizing the class SCmV. Later on we will come to this point in more detail; let us first see how term networks relate to ordinary networks. The connection is that given a  $\Sigma_{BA}$ -algebra  $\mathcal{A}$ , a term network corresponds to a family of (ordinary) networks over  $\mathcal{A}$ , in a sense to be made precise in the definition below.

**Definition 5.3** Let  $\mathcal{N} = (N, I, \tau)$  be a term network and let  $\mathcal{A}$  be a  $\Sigma_{BA}$ -algebra. Given an assignment  $\theta : \text{Var}(\mathcal{N}) \rightarrow \mathcal{A}$ , we will let  $\mathcal{N}^\theta$  denote the network  $(N, I, \lambda^\theta)$  over  $\mathcal{A}$ , where  $\lambda^\theta$  is given by

$$\lambda^\theta(k) = \tau_k^\theta, \text{ for any node } k \in N.$$

◁

In words, the  $\mathcal{A}$ -network  $\mathcal{N}^\theta$  that we associate with an assignment  $\theta$  and a term network  $\mathcal{N}$  consists of the finite partial algebra underlying the term network, while the label  $\tau_k^\theta$  of a node  $k$  is obtained by interpreting the label term  $\tau_k$  of  $k$  in  $\mathcal{A}$  according to the assignment  $\theta$ . Thus the term network  $\mathcal{N}$  corresponds to the family  $\{\mathcal{N}^\theta \mid \theta : \text{Var}(\mathcal{N}) \rightarrow \mathcal{A}\}$  of ordinary networks over  $\mathcal{A}$ .

The definition of the axiomatization for SCmV is given in Figure 1. Recall that we use the abbreviations  $q = ar(\nabla)$ ,  $\bar{k} = (k_1, \dots, k_q)$ , etc. The sets  $K^q(N)$  and  $N^*$  are as defined in Remark 3.8,  $\mathcal{N} = (N, I, \lambda)$  is an arbitrary term network, and  $i$  and  $r$  are arbitrary natural numbers.

The  $\Sigma_{BA}$ -sentences  $\varphi_0, \varphi_1, \dots$  are the axioms that taken together form the axiom set  $\Phi(\mathbb{V})$  for SCmV. Observe that the definition of the  $\varphi_i$  uses a recursive definition of formulas  $\psi_i^r(\mathcal{N})$ ; this recursive definition on its turn uses auxiliary formulas  $\alpha_i^r(\mathcal{N}), \beta_i^r(\mathcal{N}), \gamma_i^r(\mathcal{N})$  and  $\delta_i^r(\mathcal{N})$ . At the base of this recursion, the formula  $\psi_0^r$  is the conjunction of the formulas  $\pi^r(\mathcal{N})$

$$\begin{aligned}
\pi^r(\mathcal{N}) &= \begin{cases} \top & \text{if } (N, I) \text{ is a partial } \mathbf{V}\text{-algebra of grade } r, \\ \perp & \text{otherwise} \end{cases} \\
\chi(\mathcal{N}) &= \bigwedge_{k \in N} \tau_k \not\approx 0 \wedge \bigwedge_{\nabla \in \Sigma} \bigwedge_{\substack{\bar{k}, k_0 \text{ in } N \\ k_0 = I_{\nabla}(\bar{k})}} \tau_{k_0} \cdot \nabla(\tau_{k_1}, \dots, \tau_{k_q}) \not\approx 0. \\
\psi_0^r(\mathcal{N}) &= \pi^r(\mathcal{N}) \wedge \chi(\mathcal{N}) \\
\psi_{i+1}^r(\mathcal{N}) &= \alpha_{i+1}^r(\mathcal{N}) \wedge \beta_{i+1}^r(\mathcal{N}) \wedge \gamma_{i+1}^r(\mathcal{N}) \wedge \delta_{i+1}^r(\mathcal{N}) \\
\alpha_{i+1}^r(\mathcal{N}) &= \forall v \bigwedge_{k \in N} (\psi_i^r(\mathcal{N}(k : v)) \vee \psi_i^r(\mathcal{N}(k : -v))), \\
&\quad \text{where } v \text{ is a new variable.} \\
\beta_{i+1}^r(\mathcal{N}) &= \bigwedge_{k \in N} \bigwedge_{\nabla \in \Sigma} \forall \bar{v} (\psi_i^r(\mathcal{N}(k : -\diamond_{\nabla}(\bar{v}))) \vee \bigvee_{\bar{m} \in M} \psi_i^r(\mathcal{N}(\nabla, k, \bar{m}, \bar{v}))), \\
&\quad \text{where } \bar{v} = v_1, \dots, v_q \text{ is a tuple of new variables} \\
&\quad \text{and } M = \{\bar{m} \in K^q(N) : I_{\nabla}(\bar{m}) \in \{\uparrow, k\}\}. \\
\gamma_{i+1}^r(\mathcal{N}) &= \bigwedge_{\nabla \in \Sigma} \bigwedge_{\bar{k} \text{ in } N} \bigvee_{m \in N^*} \psi_i^r(\mathcal{N}(\nabla, \bar{k} \mapsto m)) \\
\delta_{i+1}^r(\mathcal{N}) &= \forall v (v \not\approx 0 \rightarrow \bigvee_{m \in N^*} \psi_i^r(\mathcal{N}(m)(m : v))) \\
\varphi_i &= \psi_i^i(\mathcal{N}_{\emptyset}) \\
\Phi(\mathbf{V}) &= \{\varphi_i \mid i \in \omega\}
\end{aligned}$$

Figure 1: The Axioms

and  $\chi(\mathcal{N})$ . Each of these formulas is indexed by a term network; in general, the free variables of the formula are the variables occurring in (the term labels of) this network. Putting it differently,  $\text{Var}(\mathcal{N})$  is the set of free variables occurring in any formula of the form  $\zeta(\mathcal{N})$ . (The formula  $\pi^r(\mathcal{N})$ , having no free variables at all, is the single exception to this rule.) The basic idea underlying the meaning of such a formula  $\zeta(\mathcal{N})$  is that, when evaluated in a  $\Sigma_{BA}$ -algebra  $\mathcal{A}$  under an assignment  $\theta$ , it corresponds to some property of the network  $\mathcal{N}^\theta$ . The most important of these correspondences are listed below:

$$\begin{aligned}
\pi^r(\mathcal{N}) &\sim (N, I) \text{ is a partial } \mathbf{V}\text{-algebra of grade } r, \\
\chi(\mathcal{N}) &\sim \mathcal{N}^\theta \text{ is a coherent network,} \\
\psi_0^r(\mathcal{N}) &\sim \mathcal{N}^\theta \text{ is a coherent } \mathbf{V}\text{-network of grade } r, \\
\psi_i^z(\mathcal{N}) &\sim \exists \text{ has a winning strategy in } G_i(\mathcal{N}^\theta, \mathcal{A}, \mathbf{V}), \\
\varphi_i &\sim \exists \text{ has a winning strategy in } G_i(\mathcal{N}_\emptyset, \mathcal{A}, \mathbf{V}).
\end{aligned} \tag{2}$$

In fact, the formulas are fairly literal transcriptions of concepts pertaining to the game, as we will see further on.

Before we explain the meaning of the axioms in more detail it seems a good idea to discuss the fairly intricate role that the term networks play in the definition. To start with, it is crucial to realize that any formula of the form  $\zeta(\mathcal{N})$  is evaluated on a  $\Sigma_{BA}$ -algebra  $\mathcal{A}$  and thus *expresses a property of such an algebra*  $\mathcal{A}$  (under an assignment  $\theta : \text{Var}(\mathcal{N}) \rightarrow \mathcal{A}$ ). The formulas  $\zeta(\mathcal{N})$  *do not express properties of the network*  $\mathcal{N}$ ; rather, the role of the network is to allow a concise formulation of the axioms by providing structure on the indices of the terms that occur in the formula.

This kind of structuring is ubiquitous in axiomatizations, but usually it is far less intricate. For instance, the reader will certainly be used to examples using *natural numbers* as indices to variables, in formulas such as  $\bigwedge_{0 < i < j < 5} \nabla(v_i, v_j) > 0$ . To give a somewhat more involved example, suppose that we have a number of terms  $\tau_0, \dots, \tau_9$ , and consider the formula  $\bigwedge_{0 \leq i+j \leq 9} \nabla(\tau_i, \tau_j) \approx \tau_{i+j}$ . This is a short way of saying  $\nabla(\tau_0, \tau_0) \approx \tau_0 \wedge \dots \wedge \nabla(\tau_0, \tau_9) = \tau_9$ . It is important to note that the formula  $\bigwedge_{0 \leq i+j \leq 9} \nabla(\tau_i, \tau_j) \approx \tau_{i+j}$  is not *about* the additive structure on the set  $\{0, \dots, 9\}$ . It only *uses* this structure for a concise formulation of some connections between (the elements referred to by) the variables occurring in the terms  $\tau_0, \dots, \tau_9$ .

Likewise, consider the formula  $\chi(\mathcal{N})$ , with  $\mathcal{N} = (N, I, \tau)$  a term network based on, say, the set of nodes  $N = \{0, \dots, 9\}$ . This formula expresses some connections between (the elements referred to by) the free variables of  $\chi$ ; however, the formula is *phrased* using the terms  $\tau_0, \dots, \tau_9$ . For instance, suppose that in the partial algebra  $(N, I)$  underlying  $\mathcal{N}$  we have that  $I_\nabla(1, 2) = 0$ , and that  $\tau_0, \tau_1$  and  $\tau_2$  are the  $\Sigma_{BA}$ -terms  $v_3, -(v_2 \cdot v_1)$  and  $\nabla(v_3, 0)$ , respectively. Then the conjunct of the formula  $\chi(\mathcal{N})$  corresponding to the triple  $(1, 2, 0)$  would be the formula  $v_3 \cdot \nabla(-(v_2 \cdot v_1), \nabla(v_3, 0)) \not\approx 0$ . Again, the formula  $\chi(\mathcal{N})$  is not *about* the structure of  $\mathcal{N}$ ; it *uses* this structure to give a concise formulation of the required relation between the elements referred to by the variables occurring in the terms of the network. As a rather special example, it might be instructive to look at the formula  $\pi^r(\mathcal{N})$ . This formula is either of the form  $\perp$  or of the form  $\top$ ; *which* of the two formulas it is depends on the network  $\mathcal{N}$ , but this does not mean that it is *evaluated at* the network. (It *is* evaluated at  $\Sigma_{BA}$ -algebras, but we will come back to this issue further on.)

The following remark may be skipped on a first reading of this explanation of the axiomatization.

**Remark 5.4** It should be emphasized here that term networks are finite structures that can be coded up and serve as input to Turing machines; all operations on networks that are used in the formulation of the axioms in Figure 1 can in fact be programmed as recursive functions on such codings of networks. This means for example that if we fix a number  $r$ , we may define a Turing machine deciding whether the underlying partial algebra  $(N, I)$  of a given term network  $\mathcal{N} = (N, I, \tau)$  is a partial  $\mathbf{V}$ -algebra of grade  $r$ ; but then, such a machine could also be used to effectively produce the formula  $\pi^r(\mathcal{N})$  on a given input  $\mathcal{N}$ . Likewise, it is fairly easy to write an algorithm that, given as input a term network  $\mathcal{N}$ , produces the conjunctions  $\bigwedge_{k \in N} \tau_k \not\approx 0$  and  $\bigwedge_{\nabla \in \Sigma} \bigwedge_{\substack{\bar{k}, k_0 \text{ in } N \\ k_0 = I_{\nabla}(\bar{k})}} \tau_{k_0} \cdot \nabla(\tau_{k_1}, \dots, \tau_{k_q}) \not\approx 0$  and thus effectively produces the formula  $\chi(\mathcal{N})$ .

In fact, if we keep  $r$  fixed for the time being, we may give a computational reading of the definition of the  $\psi^r$ -formulas: it provides an algorithm for effectively constructing the formula  $\psi_i^r(\mathcal{N})$ , as output on a given input consisting of a term network  $\mathcal{N}$  and a number  $i$ . Our remarks in the previous paragraph show how the algorithm takes care of the case that  $i = 0$ :  $\psi_0^r(\mathcal{N})$  is simply defined as the conjunction of the formula  $\pi^r(\mathcal{N})$  and  $\chi(\mathcal{N})$ . For the case that  $i > 0$ , say  $i = j + 1$ , the algorithm first computes the formulas  $\alpha_{j+1}^r(\mathcal{N})$ ,  $\beta_{j+1}^r(\mathcal{N})$ ,  $\gamma_{j+1}^r(\mathcal{N})$  and  $\delta_{j+1}^r(\mathcal{N})$ ; it then renders the conjunction of these formulas as the output formula  $\psi_{j+1}^r(\mathcal{N})$ . As an example, we show how the algorithm proceeds with the definition of the formula  $\alpha_{j+1}^r(\mathcal{N})$ . Given the term network  $\mathcal{N}$ , for each node  $k$  of  $\mathcal{N}$  we may easily compute the term network  $\mathcal{N}(k:v)$  and  $\mathcal{N}(k:-v)$ ; the algorithm now recursively calls itself, asking for the formulas  $\psi_j^r(\mathcal{N}(k:v))$  and  $\psi_j^r(\mathcal{N}(k:-v))$ ; it then computes  $\alpha_{j+1}^r(\mathcal{N})$  as the formula  $\forall v \bigwedge_{k \in N} (\psi_j^r(\mathcal{N}(k:v)) \vee \psi_j^r(\mathcal{N}(k:-v)))$ .

We can now prove one of the claims made in Theorem 1.1, namely, that the axiomatization  $\varphi(\mathbf{V})$  can be effectively constructed from a given axiomatization of  $\mathbf{V}$ . For suppose that we have a set  $\{\varepsilon_j \mid j < \omega\}$  of equations defining  $\mathbf{V}$ ; that is, given a natural number  $r$ , we may look up in this list what the first  $r$  equations  $\varepsilon_0, \dots, \varepsilon_{r-1}$  are, and thus, we may construct the formula  $\pi^r(\mathcal{N})$ . But then it is easy to modify the algorithm discussed in the previous paragraph to one that produces the formula  $\psi_i^r(\mathcal{N})$  on input  $\mathcal{N}$ ,  $i$  and  $r$ . This modified algorithm can then be used to produce, one by one, the formulas  $\psi_i^i(\mathcal{N}_{\emptyset})$  that form the axiomatization  $\Phi(\mathbf{V})$ .

Finally, suppose that we start from a *recursively enumerable* axiomatization of  $\mathbf{V}$ ; that is, suppose that we have an algorithm that recursively enumerates the set  $\{\varepsilon_j \mid j < \omega\}$  of equations defining  $\mathbf{V}$ . It is not difficult to see that by putting this algorithm together with the one described in the preceding paragraph, we obtain a recursive enumeration  $\{\varphi_i \mid i < \omega\}$  of  $\Phi(\mathbf{V})$ . But since the formulas  $\psi_i^i$  grow in length when we increase  $i$ , this shows via a standard argument that the collection  $\Phi(\mathbf{V})$  is recursive, even if our axiomatization for  $\mathbf{V}$  is only recursively enumerable. This finishes Remark 5.4.

Now that the syntactic problems concerning the axiomatization are out of the way, we can start discussing the *meaning* of the axioms. Fortunately, this aspect is much easier to understand since the inductive definition of the formulas almost literally follows the definition of moves in the game. For instance, consider the formula  $\psi_{i+1}^r(\mathcal{N})$ . Its intended meaning is the following:

$$\mathcal{A} \models \psi_{i+1}^r(\mathcal{N})[\theta] \text{ iff } \exists \text{ has a winning strategy of degree } r \text{ in the game } G_{i+1}(\mathcal{N}^\theta, \mathcal{A}, \mathbf{V}). \quad (3)$$

Here and in the sequel, having a winning strategy of degree  $r$  for  $\exists$  means that she can arrange that the final network of the game is based on a partial  $\mathbf{V}$ -algebra of grade  $r$ . Observe that

$\psi_{i+1}^r(\mathcal{N})$  is a conjunction of four formulas, corresponding to the four kinds of move ( $\alpha$ - $\delta$ ) that the first player ( $\forall$ ) may make.

To see how this works, fix a  $\Sigma_{BA}$ -algebra  $\mathcal{A}$  and an assignment  $\theta$ . The first conjunct,  $\alpha_{i+1}^r(\mathcal{N})$ , of the formula  $\psi_{i+1}^r(\mathcal{N})$  corresponds to a type ( $\alpha$ ) move of  $\forall$ ; it states that for every choice of an element  $a$  of the algebra (represented by the universal quantification  $\forall v$ ) and of a node of the network (represented by the conjunction  $\bigwedge_{k \in N}$ ),  $\exists$  has a winning strategy for the game of length  $i$  on one of the networks  $\mathcal{N}^\theta(k : a)$  or  $\mathcal{N}^\theta(k : -a)$ . Recursively, the latter statement is expressed by the formula  $\psi_i^r(\mathcal{N}(k : v)) \vee \psi_i^r(\mathcal{N}(k : -v))$ , provided that this formula is evaluated on  $\mathcal{A}$  under the assignment  $\theta'$  which is like  $\theta$  except that it sends  $v$  to  $a$  — but this is taken care of by the truth definition of the universal quantifier  $\forall v$ .

The third conjunct of  $\psi_{i+1}^r(\mathcal{N})$  corresponds to a type ( $\gamma$ ) move of  $\forall$ . The formula  $\gamma_{i+1}^r(\mathcal{N})$  expresses that for every choice by  $\forall$  of a connective  $\nabla$  (represented by the conjunction  $\bigwedge_{\nabla \in \Sigma}$ ) and a sequence  $k_1, \dots, k_q$  of nodes in the network (represented by the conjunction  $\bigwedge_{\bar{k} \text{ in } N}$ ),  $\exists$  can choose a node  $m$  from the set  $N^* = N \cup \{\#_N\}$  (represented by the disjunction  $\bigvee_{m \in N^*}$ ) such that she has a winning strategy of degree  $r$  in the game  $G_i(\mathcal{N}^\theta(\nabla, \bar{k} \mapsto m), \mathcal{A}, \mathbb{V})$  (this again is recursively expressed by the formula  $\psi_i^r(\mathcal{N}(\nabla, \bar{k} \mapsto m))$ ).

The formulation of the other conjuncts of  $\psi_{i+1}^r(\mathcal{N})$  is as direct, but we do not go into detail here because it is either fairly obvious (in the case of type ( $\delta$ ) moves) or technically rather involved (in the case of type ( $\beta$ ) moves).

Finally, the formula  $\varphi_i$  is defined as the formula  $\psi_i^i(\mathcal{N}_\emptyset)$ ; since  $\text{Var}(\mathcal{N}_\emptyset) = \emptyset$ ,  $\varphi_i$  expresses that  $\exists$  has a winning strategy of degree  $i$  in the game  $G_i(\mathcal{N}_\emptyset, \mathcal{A}, \mathbb{V})$ . This is equivalent to stating that she has an ordinary winning strategy in this game (if she can arrange to *end* with a coherent  $\mathbb{V}$ -network of grade  $i$ , all intermediate networks must have been coherent  $\mathbb{V}$ -networks of grade  $i$  as well).

The reader may wonder why the equations holding in the variety  $\mathbb{V}$  do not show up explicitly in the axiomatization  $\Phi(\mathbb{V})$ . The point is that our axiomatization is about  $\Sigma_{BA}$ -algebras, not  $\Sigma$ -algebras; hence, we cannot use *variables* to refer to  $\Sigma$ -algebras, so we have to use this more roundabout method. The only way in which we *do* have access to information about  $\mathbb{V}$  is through the underlying partial algebra of a network, and this is precisely what the first conjunct of  $\psi_0^r(\mathcal{N})$  is about: the formula  $\pi^r(\mathcal{N})$  is simply set to be *false* if the underlying partial algebra of  $\mathcal{N}$  does not satisfy the first  $r$  equations defining  $\mathbb{V}$ . This formula should be seen in the context of the  $\psi$ -formulas: for instance, if to some type ( $\gamma$ ) move ( $\nabla, k_1, \dots, k_q$ ) of  $\forall$ , none of the responses of the second player in a game of length 1 over a network  $\mathcal{N}^\theta$  led to a network based on a partial  $\mathbb{V}$ -algebra of grade 1, then the formula  $\gamma_1^1(\mathcal{N})$  would evaluate to be *false* under the assignment  $\theta$ , simply because each of the disjuncts (for  $m \in N^*$ )

$$\psi_0^1(\mathcal{N}(\nabla, \bar{k} : m)) = \pi^1(\mathcal{N}(\nabla, \bar{k} \mapsto m)) \wedge \chi(\mathcal{N}(\nabla, \bar{k} \mapsto m))$$

of  $\gamma_1^1(\mathcal{N})$  would have  $\perp$  as its first conjunct.

This finishes our explanatory discussion of the axiomatization  $\Phi(\mathbb{V})$  — let us now see that this set is indeed an axiomatization of the class  $\text{SCm}\mathbb{V}$ .

**Proposition 5.5** *Let  $\mathcal{A}$  be a Boolean algebra with  $\Sigma$ -operators. Then  $\mathcal{A} \models \Phi(\mathbb{V})$  if and only if  $\exists$  has a winning strategy in the game  $G_n(\mathcal{N}_\emptyset, \mathcal{A}, \mathbb{V})$  for every  $n < \omega$ .*

PROOF. Since we have given a fairly detailed explanation of the meaning of all the formulas involved in the axiomatization  $\Phi(\mathbb{V})$ , we allow ourselves to be very sketchy about the proof of this proposition. Let  $\mathcal{N} = (N, I, \tau)$  be a term network and let  $i, r < \omega$ . We claim that

(3) holds for any  $\Sigma_{BA}$ -algebra  $\mathcal{A}$  and any assignment  $\theta : \text{Var}(\mathcal{N}) \rightarrow \mathcal{A}$ , i.e., that we have  $\mathcal{A} \models \psi_i^r(\mathcal{N})[\theta]$  if and only if  $\exists$  has a winning strategy of degree  $r$  in  $G_i(\mathcal{N}^\theta, \mathcal{A}, \mathbf{V})$ .

The proof is by induction on  $i$ . For  $i = 0$ , it is clear by definition of  $\pi^r(\mathcal{N})$  and  $\chi(\mathcal{N})$  that the following are equivalent:

- (1)  $\mathcal{A} \models \psi_0^r(\mathcal{N})[\theta]$ ;
- (2)  $\mathcal{A} \models \pi^r(\mathcal{N}) \wedge \chi(\mathcal{N})[\theta]$ ;
- (3)  $(N, I)$  is a partial  $\mathbf{V}$ -algebra of grade  $r$  and  $\mathcal{N}^\theta$  is coherent;
- (4)  $\mathcal{N}^\theta$  is a coherent  $\mathbf{V}$ -network of grade  $r$ ;
- (5)  $\exists$  has a winning strategy of degree  $r$  in  $G_0(\mathcal{N}^\theta, \mathcal{A}, \mathbf{V})$ .

Inductively, assume the claim for some  $i < \omega$ . Then the following are equivalent:

- (1)  $\mathcal{A} \models \psi_{i+1}^r(\mathcal{N})[\theta]$ ;
- (2)  $\mathcal{A} \models \alpha_{i+1}^r(\mathcal{N}) \wedge \beta_{i+1}^r(\mathcal{N}) \wedge \gamma_{i+1}^r(\mathcal{N}) \wedge \delta_{i+1}^r(\mathcal{N})[\theta]$ ;
- (3 – by definition of the formulas involved) for any move that  $\forall$  makes in the starting round of the game  $G_{i+1}(\mathcal{N}^\theta, \mathcal{A}, \mathbf{V})$ ,  $\exists$  can respond with a network which is of the form  $(\mathcal{N}')^{\theta'}$ , where  $\mathcal{N}'$  is a term network and  $\theta' \supseteq \theta$  an assignment, such that  $\mathcal{A} \models \psi_i^r(\mathcal{N}')[\theta']$ ;
- (4 – by the inductive hypothesis) for any move that  $\forall$  makes in the starting round of  $G_{i+1}(\mathcal{N}^\theta, \mathcal{A}, \mathbf{V})$ ,  $\exists$  can respond legally with a network  $\mathcal{N}^+$  such that she has a winning strategy of degree  $r$  in  $G_{i+1}(\mathcal{N}^+, \mathcal{A}, \mathbf{V})$ ;
- (5)  $\exists$  has a winning strategy of degree  $r$  in  $G_{i+1}(\mathcal{N}^\theta, \mathcal{A}, \mathbf{V})$ .

Thus, the claim holds for  $i + 1$ . This completes the induction, and proves the claim.

By the claim and the definition of  $\varphi_i = \psi_i^i(\mathcal{N}_\emptyset)$ , we have  $\mathcal{A} \models \varphi_i$  if and only if  $\exists$  has a winning strategy of degree  $i$  in  $G_i(\mathcal{N}_\emptyset, \mathcal{A}, \mathbf{V})$  — put simply, she has a winning strategy in this game. Since  $\Phi(\mathbf{V}) = \{\varphi_i \mid i < \omega\}$ , the proof is complete. QED

As we mentioned in the introduction to this section, we have now gathered sufficient material to prove the main result of the paper.

**Theorem 5.6** *Let  $\mathcal{A}$  be a Boolean algebra with  $\Sigma$ -operators, and let  $\mathbf{V}$  be a variety of  $\Sigma$ -algebras. Then the following are equivalent:*

1.  $\mathcal{A}$  belongs to the class  $\text{SCmV}$ ,
2.  $\exists$  has a winning strategy in the game  $G_\omega(\mathcal{N}_\emptyset, \mathcal{A}, \mathbf{V})$ ,
3.  $\exists$  has a winning strategy in the game  $G_n(\mathcal{N}_\emptyset, \mathcal{A}, \mathbf{V})$ , for any  $n < \omega$ ,
4.  $\mathcal{A} \models \Phi(\mathbf{V})$ .

PROOF. The implications  $1 \Rightarrow 2$ ,  $2 \Rightarrow 3$  and  $3 \Rightarrow 4$  follow from Proposition 4.2, Theorem 5.1 and Proposition 5.5, respectively.

For the other implication  $4 \Rightarrow 1$ , we first consider the countable case. Hence, let  $\mathcal{A}$  be a countable Boolean algebra with  $\Sigma$ -operators and assume that  $\mathcal{A} \models \Phi(\mathbf{V})$ . It follows from Proposition 5.5 and Theorem 5.1 that  $\exists$  can win the game  $G_\omega(\mathcal{N}_\emptyset, \mathcal{A}, \mathbf{V})$ . As  $\mathcal{A}$  is countable, Proposition 4.3 yields that  $\mathcal{A}$  must be representable over  $\mathbf{V}$ .

Now suppose that  $\mathcal{A}$  is an *arbitrary* (not necessarily countable) Boolean algebra with  $\Sigma$ -operators satisfying  $\Phi(\mathbf{V})$ . Since the  $\varphi_i$  are evidently universal sentences,  $\Phi(\mathbf{V})$  is valid in every subalgebra of  $\mathcal{A}$ . But then it follows by the previous argument that every countable subalgebra of  $\mathcal{A}$  belongs to  $\text{SCmV}$ . Now  $\text{SCmV}$  is an elementary class by Theorem 2.1, and  $\Sigma_{BA}$  is countable, so a simple Löwenheim–Skolem argument shows that  $\mathcal{A}$  itself must be representable over  $\mathbf{V}$  as well. QED

Finally, Theorem 1.1 easily follows from Theorem 5.6 and from Remark 5.4 on the syntactic shape of the  $\varphi_i$  axioms. As we just said, it is easily checked that the  $\varphi_i$  are all universal.

**Remark 5.7** A completely analogous result can be obtained for the class  $\text{SPCmV}$  by considering a version of the game where  $\forall$  is only allowed to make a type  $\delta$  move in the first round of the game. The assumption that the similarity type is finite can be eliminated in favour of any recursive similarity type  $\{\nabla_0, \nabla_1, \dots\}$ , by arranging that in the recursive axiomatisation  $\{\varepsilon_i : i < \omega\}$  of  $\mathbf{V}$ , the function symbols in  $\varepsilon_i$  (for each  $i < \omega$ ) are all in  $\{\nabla_j : j \leq i\}$ , and by redefining the game  $G_r(\mathcal{N}_\emptyset, \mathcal{A}, \mathbf{V})$  for  $r \leq \omega$  (Definition 3.6) so that in moves of types  $\beta$  and  $\gamma$ ,  $\forall$  may only choose function symbols from  $\{\nabla_i : i < r\}$ . The corresponding changes in proofs, for example in Theorem 5.1 and Remark 5.4, are straightforward.

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