# Introduction to Logic in Computer Science: Autumn 2007

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Implementing a Tableau Prover

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## Logic, Prolog and Tableaux

Previously, we have discussed the *logical foundations of Prolog*.

Today we will apply Prolog to logic and see how to implement a tableau-based theorem prover in Prolog. This also involves:

- Translation into negation normal form
- Skolemisation
- Sound unification (as opposed to Prolog's matching)

Along the way, we will also see a few additional Prolog features (assert/1 and retract/1, copy\_term/2, if-then-else, ...).

#### LeanTAP: Lean Tableau-based Deduction

The abstract of a 1995 paper in the Journal of Automated Reasoning:

```
"prove((E,F),A,B,C,D) :- !, prove(E,[F|A],B,C,D).
prove((E;F),A,B,C,D) :- !, prove(E,A,B,C,D), prove(F,A,B,C,D).
prove(all(H,I),A,B,C,D) :- !,
    \+ length(C,D), copy_term((H,I,C),(G,F,C)),
    append(A,[all(H,I)],E), prove(F,E,B,[G|C],D).
prove(A,_,[C|D],_,_) :-
    ((A=-(B); -(A)=B)) -> (unify(B,C); prove(A,[],D,_,_)).
prove(A,[E|F],B,C,D) :- prove(E,F,[A|B],C,D)."
```

implements a first-order theorem prover based on free-variable semantic tableaux. It is complete, sound, and efficient.

B. Beckert and J. Posegga. LeanTAP: Lean Tableau-based Deduction. *Journal of Automated Reasoning* 15:339–358, 1995.

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# Implementing Tableaux

So how does this work then?

This lecture is largely based on the original paper by Beckert and Posegga (1995) and the article by Posegga and Schmitt (1999).

I've changed the programs a little bit though, in particular the preprocessing bits. The original is more compact and should be a little faster. My version should be easier to understand (... but please note that it has not been tested very carefully).

- B. Beckert and J. Posegga. LeanTAP: Lean Tableau-based Deduction. *Journal of Automated Reasoning* 15:339–358, 1995.
- J. Posegga and P.H. Schmitt. Implementing Semantic Tableaux. Handbook of Tableau Methods, Kluwer, 1999.

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#### Representing Formulas

The authors of LeanTAP reuse existing Prolog operators (comma and semicolon) to represent formulas.

We'll use nice home-made operators instead:

```
:- op(100, fy, neg),
  op(200, yfx, and),
  op(300, yfx, or),
  op(400, yfx, implies),
  op(500, yfx, iff).
```

Quantified formulas will be represented as follows:

- all(X,Fml)
- ex(X.Fml)

Variables will be represented using actual Prolog variables.

```
Example: all(X, all(Y, r(X,Y) implies r(Y,X) )
```

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## **Negation Normal Form**

The main program of LeanTAP assumes that the input is provided in *negation normal form* (NNF). That is, the only propositional connectives used are negation, conjunction, and disjunction, and negation only occurs right in front of atoms.

This makes the main program shorter, as we have to consider fewer tableau rules. Unlike for CNF, for instance, computing the NNF of a formula only takes linear time (so that's ok).

For ease of presentation (it's slightly less efficient), we split computing the NNF of a given formula into two subtasks:

- (1) Eliminate any occurrences of implies and iff.
- (2) Push negation inside for the resulting formulas.

So the overall program will have the following form:

```
nnf(Fml, NNF) :- eliminate(Fml, X), push(X, NNF).
```

# **Prolog: Term Decomposition**

Given a term T, the predicate =.../2 (which is defined as an infix operator) can be used to generate a list, the head of which is the functor of T and the tail of which is the list of arguments of T:

```
?- loves(john,mary) = .. List.
List = [loves, john, mary]
Yes

You can also use = ../2 to compose new terms:
    ?- member(X, [f,g]), Y = .. [X,a,b].
    X = f
    Y = f(a, b);
    X = g
    Y = g(a, b);
No
```

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## **Eliminating Non-NNF Operators**

```
eliminate(neg A, neg Formula) :- !, eliminate(A, Formula).
eliminate(A implies B, Formula) :- !,
  eliminate(neg A or B, Formula).
eliminate(A iff B, Formula) :- !,
  eliminate((A implies B) and (B implies A), Formula).
eliminate(Formula, NewFormula) :-
  Formula =.. [Op,A,B], member(Op, [and,or]), !,
  eliminate(A, NewA), eliminate(B, NewB),
  NewFormula =.. [Op,NewA,NewB].
eliminate(Formula, NewFormula) :-
  Formula =.. [Op,X,A], member(Op, [all,ex]), !,
  eliminate(A, NewA), NewFormula =.. [Op,X,NewA].
eliminate(Atom, Atom).
```

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#### **Pushing Negation Inside**

```
push(neg neg A, NNF) :- !, push(A, NNF).

push(neg(A and B), NNF) :- !, push((neg A) or (neg B), NNF).

push(neg(A or B), NNF) :- !, push((neg A) and (neg B), NNF).

push(neg all(X,A), NNF) :- !, push(ex(X,neg A), NNF).

push(neg ex(X,A), NNF) :- !, push(all(X,neg A), NNF).

push(Formula, NNF) :-

Formula =.. [Op,A,B], member(Op, [and,or]), !,
 push(A, NNF1), push(B, NNF2), NNF =.. [Op,NNF1,NNF2].

push(Formula, NNF) :-

Formula =.. [Op,X,A], member(Op, [all,ex]), !,
 push(A, NNF1), NNF =.. [Op,X,NNF1].
```

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#### Skolemisation

The main program of LeanTAP does not implement a *delta rule* (for existentially quantified formulas). So all existential quantification needs to be eliminated during preprocessing by means of *Skolemisation*.

An outline of the Skolemisation algorithm (for formulas in NNF):

- Step through the formula from the outside to the inside, and collect any universally quantified variables in a list Vars.
- Whenever you encounter an existentially quantified formula of the form ex(X,Fml):
  - Generate a new Skolem function symbol sk<sub>i</sub>.
  - Replace any occurrence of X within Fml by " $sk_i$  (Vars)" to obtain Fml' and continue with Fml' in place of ex(X,Fml).

## **Prolog: Assert and Retract**

Prolog evaluates queries with respect to a knowledge base (your program + definitions of built-in predicates). It is possible to dynamically add clauses to this knowledge base.

- Executing a goal of the form assert(+Clause) will add the clause Clause to the Prolog knowledge base.
- Executing retract(+Clause) will remove that clause again.
- Using retractall(+Clause) will remove *all* the clauses matching Clause.

A typical application would be to dynamically create and manipulate a database. In that case the Clauses will usually be simple facts. Be careful when using assert/1 and retract/1; they can make programs a lot more difficult to understand (and check).

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## Generating Skolem Function Symbols

Code to generate Skolem function symbols:

```
get_new_symbol(Symbol) :-
   step_counter(Num), atom_concat(sk, Num, Symbol).
set_counter(Num) :-
   retractall(counter(_)), assert(counter(Num)).
step_counter(Num) :-
   counter(Num), Num1 is Num + 1, set_counter(Num1).
:- set_counter(1).
```

If you run this, you will get a new symbol each time:

#### **Prolog: Copying Terms**

Prolog comes with a built-in predicate copy\_term/2 that can be used to make a copy of a given term, whilst replacing all the variables in that term with new unbound variables.

It works as if it had been implemented like this:

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Yes

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#### Substitution

We'll have to be able to carry out a substitution Var/Term in a given expression. A very simple implementation uses copy\_term/2. As copy\_term/2 will rename all variables by default, we need to state explicitly which ones *cannot* be renamed.

```
substitute(Var/Term, Vars, Expression, Result) :-
copy_term(Var:Vars:Expression, Term:Vars:Result).
```

#### Examples:

```
?- substitute(X/f(Y), [], p(X), Formula).

Formula = p(f(Y))

Yes

?- substitute(X/f(Y), [Z], p(X,Z,U), Formula).

Formula = p(f(Y), Z, _G356)

Yes
```

Skolemisation

```
skolem(NNF, SNNF) :- skolem(NNF, [], SNNF).
skolem(all(X,NNF), Vars, all(X,SNNF)) :- !,
    skolem(NNF, [X|Vars], SNNF).

skolem(ex(X,NNF), Vars, SNNF) :- !,
    get_new_symbol(F), SkolemTerm =.. [F|Vars],
    substitute(X/SkolemTerm, Vars, NNF, Fml).
    skolem(Fml, Vars, SNNF).

skolem(NNF1 and NNF2, Vars, SNNF1 and SNNF2) :- !,
    skolem(NNF1, Vars, SNNF1), skolem(NNF2, Vars, SNNF2).

skolem(NNF1 or NNF2, Vars, SNNF1 or SNNF2) :- !,
    skolem(NNF1, Vars, SNNF1), skolem(NNF2, Vars, SNNF2).

skolem(Literal, _, Literal).

Note: Variables need to be named apart for this to work correctly.
```

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## Examples

```
?- skolem(ex(X,p(X)), Result).
Result = p(sk4)
Yes
?- skolem(all(X,all(Y,ex(Z,q(X,Y,Z)) or ex(U,p(U)))), Fml).
Fml = all(X, all(Y, q(X, Y, sk7(Y, X))or p(sk8(Y, X))))
Yes
?- nnf(all(X, p(X) implies ex(Y, r(X,Y))), NNF),
    skolem(NNF, SNNF).
NNF = all(X, neg p(X) or ex(Y, r(X, Y)))
SNNF = all(X, neg p(X) or r(X, sk12(X)))
Yes
```

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#### LeanTAP: Overview

LeanTAP checks, for a given list of formulas in SNNF, whether the resulting tableau will close (i.e. whether the list is unsatisfiable).

Predicate: prove(+Fml, +UnExp, +Lits, +FreeV, +VarLim)

- Fml: the formula to which we want to apply a rule next
- UnExp: the rest of the current branch (unexpanded formulas)
- Lits: the literals encountered so far on the current branch
- FreeV: the list of free variables on the current branch
- VarLim: max. no. of free variables per branch (gamma rule)

<u>Initialisation:</u> Fml is the head of the input list; UnExp is the tail. Lits and FreeVars are []. VarLim is specified by the user.

The predicate succeeds when all branches can be closed.

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## LeanTAP: Alpha and Beta Rules

Because we assume the input to be in NNF, we have to consider only a single alpha and a single beta rule ...

**Alpha rule:** proceed with the first conjunct and store the second in the list of unexpanded formulas.

```
prove(A and B, UnExp, Lits, FreeV, VarLim) :-!,
prove(A, [B|UnExp], Lits, FreeV, VarLim).
```

Beta rule: first check that the left branch will close; then check the right branch.

```
prove(A or B, UnExp, Lits, FreeV, VarLim) :-!,
  prove(A, UnExp, Lits, FreeV, VarLim),
  prove(B, UnExp, Lits, FreeV, VarLim).
```

Observe that variable instantiations made on one branch will carry over to the other ( $\rightsquigarrow$  closure by unification).

#### LeanTAP: Gamma Rule

The gamma rule will only be applied if the list of free variables (FreeV) has not yet reached the maximum length (VarLim):

```
prove(all(X,Fml), UnExp, Lits, FreeV, VarLim) :- !,
    \+ length(FreeV, VarLim),
    copy_term(X:Fml:FreeV, X1:Fml1:FreeV),
    append(UnExp, [all(X,Fml)], UnExp1),
    prove(Fml1, UnExp1, Lits, [X1|FreeV], VarLim).
```

The copy\_term/2-line makes a copy of the matrix of the gamma formula, replacing X by X1 and making sure all the free variables stay intact (we could have used our substitute/4 instead).

The gamma formula is moved to the end of the list of unexpanded formulas (fairness); and we proceed with the new formula.

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## **Prolog: Sound Unification**

Prolog's matching algorithm does not implement the *occurs-check*. This is a good thing, because Prolog would be very inefficient otherwise, but special care is required if in the rare cases where sound unification is important.

This is how SWI-Prolog reacts to a query where this matters:

```
?- X = f(X).

X = f(**)

Yes
```

It used to be something like this, which makes things clearer:

```
?- X = f(X).

X = f(f(f(f(f(f(f(f(f(f(...))))))))))

Yes
```

But note that this is still wrong, if you want real unification . . .

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#### Prolog: Sound Unification (cont.)

Fortunately, SWI-Prolog comes with a built-in predicate for sound unification that we can use. Examples:

```
?- unify_with_occurs_check(X, f(X)).
No
?- unify_with_occurs_check(X, f(Y)).
X = f(Y)
Yes
```

An alternative would be to implement this ourselves, using Robinson's algorithm ... but for now, we just abbreviate:

```
unify(X, Y) :- unify_with_occurs_check(X, Y).
```

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# Prolog: If-Then-Else

To satisfy a goal of the form If -> Then; Else, Prolog will search for the *first* solution to the goal If and succeed if Then succeeds without backtracking into If. If If fails, then Else *must* succeed for the overall goal to succeed.

If -> Then is short for If -> Then; fail.

If-then-else works as if (part of); /2 had been defined like this:

```
(If -> Then); _ :- If, !, Then.
( -> ): Else:-!. Else.
```

Without the else-part, it works as if implemented like this:

```
If -> Then :- If, !, Then.
```

This is not easy to get your head around, and I recommend to use this construct sparingly. In a nutshell, think of it as a "local cut".

#### LeanTAP: Literals

If none of the previous rules applied, then the formula in focus must be a literal. Succeed if its complement unifies with the head of the list of literals; otherwise recurse . . .

```
prove(Lit, _, [L|Lits], _, _) :-
  (Lit = neg Neg ; neg Lit = Neg) ->
  (unify(Neg, L) ; prove(Lit, [], Lits, _, _)).
```

Note the rather nifty use of [] to ensure that the head of the next rule below will never match this call to prove/5. That is, prove/5 is "abused" for checking unification for all members of Lits.

If the above fails (eventually), then we store the literal in focus in Lits and proceed with the next unexpanded formula:

```
prove(Lit, [Next|UnExp], Lits, FreeV, VarLim) :-
prove(Next, UnExp, [Lit|Lits], FreeV, VarLim).
```

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#### One more time ...

```
prove(A and B, UnExp, Lits, FreeV, VarLim) :-!,
   prove(A, [B|UnExp], Lits, FreeV, VarLim) :-!,
   prove(A or B, UnExp, Lits, FreeV, VarLim) :-!,
   prove(A, UnExp, Lits, FreeV, VarLim),
   prove(B, UnExp, Lits, FreeV, VarLim).

prove(all(X,Fml), UnExp, Lits, FreeV, VarLim) :- !,
   \+ length(FreeV, VarLim),
   copy_term(X:Fml:FreeV, X1:Fml1:FreeV),
   append(UnExp, [all(X,Fml)], UnExp1),
   prove(Fml1, UnExp1, Lits, [X1|FreeV], VarLim).

prove(Lit, _, [L|Lits], _, _) :-
   (Lit = neg Neg; neg Lit = Neg) ->
   (unify(Neg, L); prove(Lit, [], Lits, _, _)).

prove(Lit, [Next|UnExp], Lits, FreeV, VarLim):-
   prove(Next, UnExp, [Lit|Lits], FreeV, VarLim).
```

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#### Using LeanTAP

The following code makes using the program more convenient ...

The predicate prove/2 takes care of translating the list of input formulas into Skolem NNF and initalises prove/5 correctly.

Recall that the second argument (VarLim) specifies the maximum number of applications of the gamma rule on each branch.

```
prove(Input, VarLim) :-
  preprocess(Input, [Fml|Fmls]),
  prove(Fml, Fmls, [], [], VarLim).
```

Recursive application of the normalisation predicates:

```
preprocess([], []).
preprocess([Fml|Fmls], [SNNF|SNNFs]) :-
nnf(Fml, NNF), skolem(NNF, SNNF),
preprocess(Fmls, SNNFs).
```

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## Examples

Answers are instant for examples such as these:

```
?- prove([neg (all(X, (p or q(X))) iff (p or all(Y,q(Y))))], 5).
Yes
?- prove([all(X, p(X) and q(X)), neg all(X,p(X))], 0).
No
?- prove([all(X, p(X) and q(X)), neg all(X,p(X))], 1).
Yes
?- prove([p or q, neg p or q, p or neg q, neg p or neg q], 0).
Yes
```

#### **Advanced Prolog**

In this short crash course, we have only discussed the very core features of the Prolog programming language. However, these should be sufficient for 95% of all tasks you are ever likely to face.

For the rest, your best source of information is usual the reference manual of your Prolog system.

A few "advanced features" (some of which were already mentioned today) are also discussed in the slides for my undergraduate class ("Lecture 6", available from the usual place):

- Decomposing terms with = .../2
- Collecting answers: findall/3 etc.
- Dynamic predicates: assert/1 and retract/1
- Input/output and file handling

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## Summary

- We have discussed the implementation of the LeanTAP prover in detail, including the translation into Skolem NNF.
- LeanTAP is a great example showing that Prolog allows you to write powerful programs in a simple and elegant manner.
- We have seen various new Prolog features along the way, namely =../2, assert/2 and retract/2, copy\_term/2, unify\_with\_occurs\_check/2, and if-then-else (->).

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