

# Computational Complexity

## Lecture 13: Meta Complexity

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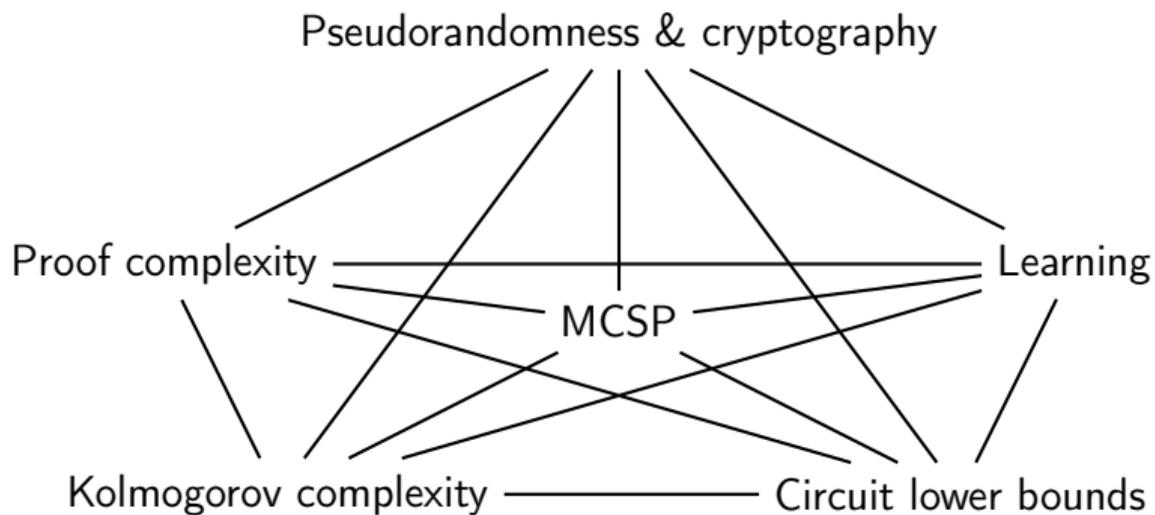
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## What is meta complexity?

- **Meta complexity** is an informal term referring to the computational complexity study of problems that have a 'complexity flavor'
- So in a sense, meta complexity studies the complexity of complexity problems (hence the phrase 'meta')
- This turns out to be fruitful for studying various notions related to computational complexity, learning, cryptography, etc.





# The Minimum Circuit Size Problem

# The Minimum Circuit Size Problem (MCSP)

- MCSP:
  - *Input*: a Boolean function  $F$  over  $n$  variables given by its truth table (containing  $2^n$  entries), and a positive integer  $s \in \mathbb{N}$  (given in binary).
  - *Question*: does there exist a Boolean circuit  $C$  of size  $s$  that expresses the function  $F$ ?
  
- MCSP[s], for a function  $s : \mathbb{N} \rightarrow \mathbb{N}$ :
  - *Input*: a Boolean function  $F$  over  $n$  variables given by its truth table (containing  $2^n$  entries).
  - *Question*: does there exist a Boolean circuit  $C$  of size  $s(2^n)$  that expresses the function  $F$ ?

- Intuitively, MCSP is a **black-box problem**:
  - We are given the input-output behavior of a function  $F$
  - The task is to see if this function  $F$  has small circuits
- Compare this to **white-box problems** such as SAT, where we are given an explicit way to compute the Boolean function  $F$  about which we are answering a question—namely, by means of a formula or circuit

- MCSP is in NP
- Might seem odd at first:
  - Circuits to consider are exponentially large in the size of (the binary encoding of)  $s$
- Main idea:
  - There is always a circuit for  $F$  of size  $O(2^n)$
  - We are given the truth table of  $F$  as input, which is of size  $2^n$
  - So we can guess a circuit  $C$  of size at most  $O(2^n)$  in polynomial time
  - And check if  $C$  expresses  $F$  by iterating over all rows  $\alpha$  in the truth table, and checking if  $C(\alpha) = F(\alpha)$

- One main open research question:

**Is MCSP NP-complete?**

- MCSP is not in P assuming OWFs exist.
  - *(Connection via natural properties, which we will see later..)*

# Circuit lower bounds

- One approach to trying to show  $P \neq NP$  is by giving circuit lower bounds
- Circuit lower bounds for a class  $\mathcal{C}$  of circuits: showing that there is a function  $f$  that does not have small circuits within  $\mathcal{C}$
- For example: **Parity** (computing whether a string has an even number of 1's) is not in  $AC^0$  (the class of polynomial-size constant-depth circuits), but it is in  $AC^0[2]$
- The idea would be to do this for ever more expressive classes of circuits, leading to  $NP \not\subseteq P/poly$ , which implies  $P \neq NP$

- After some initial successes, this program stalled in the 1980s
- State of results:

$$AC^0 \subsetneq AC^0[p] \subsetneq ACC^0 \subseteq TC^0 \subseteq NC^1 \subseteq L \subseteq NL \subseteq AC^1 \subseteq NC^2 \subseteq P \subseteq NP.$$

- A 2011 paper by Ryan Williams that won the 2024 Gödel Prize showed:

$$NEXP \not\subseteq ACC^0.$$

## A connection between MCSP and circuit lower bounds

- The following two are equivalent:
  - Showing that  $\text{DTIME}(2^{O(n)})$  does not have Boolean circuits of size  $s(n)$
  - Efficiently (in polynomial time) constructing no-instances of  $\text{MCSP}[s']$ —where  $s' = s \circ \log$ —of size  $2^n$ , given  $2^n$  in unary.
- Main idea:
  - Suppose there is a problem  $L$  in  $\text{DTIME}(2^{O(n)})$  that has no circuits of size  $s(n)$ .  
Using this, we can compute in time  $2^{O(n)} = \text{poly}(2^n)$  the truth table of problem  $L$  on inputs of size  $n$ .  
This is a no-instance of  $\text{MCSP}[s']$  of size  $2^n$ .
  - Suppose you can efficiently construct no-instances of  $\text{MCSP}[s']$  of size  $2^n$ .  
Using this, for each input size, we can construct (in exponential time) a truth table of a Boolean function that has no circuits of size  $s(n)$ .  
This yields a problem in  $\text{DTIME}(2^{O(n)})$  that has no circuits of size  $s(n)$ .

## Natural proofs barrier

- Combinatorial property:  $\{P_n\}_{n \in \mathbb{N}}$  where  $P_n \subseteq F_n$  and where  $F_n = \{0, 1\}^{2^n}$  is the set of all Boolean functions on  $n$  variables
- A property  $\{P_n\}_{n \in \mathbb{N}}$  is a **natural property** if:
  - Given a  $2^n$ -size truth table for a function  $f$  on  $n$  variables, checking whether  $f \in P_n$  can be done in time  $2^{O(n)}$  – Constructiveness, or “easy to detect”
  - $\Pr_{f \sim \{0,1\}^{2^n}} [f \in P_n] \geq 1/\text{poly}(2^n)$  – Largeness, or “pretty common”
- A natural property  $\{P_n\}_{n \in \mathbb{N}}$  is **useful against P/poly** if for any family  $f = \{f_n\}_{n \in \mathbb{N}}$  of Boolean functions such that  $f_n \in P_n$  for all  $n$  it holds that the circuit size of  $f$  is super-polynomial.
- (These properties are a way of showing that functions  $f$  are not in P/poly)

- Result by Razborov and Rudich (1994) that was awarded the 2007 Gödel Prize:
  - If there exists a natural property useful against  $P/\text{poly}$ , then there exist no (subexponentially-secure) one-way functions.
- In other words, assuming OWFs exist, then one needs non-natural properties to show circuit lower bounds for  $P/\text{poly}$
- (Current proofs showing circuit lower bounds use natural properties)

# Kolmogorov Complexity

- One of the main roots of Kolmogorov complexity is the study of randomness
- Consider the strings 000000000000 and 011011110010, both of length 12.
  - Is one more 'random' than the other?
- How do we measure this? Perhaps considering a probability distribution over all strings of length 12 and considering the probability of the strings. The uniform distribution doesn't help to define randomness.
- Idea of Kolmogorov complexity: measure the amount to which strings can be compressed.

- Pick some universal Turing machine  $\mathbb{U}$ .
- The Kolmogorov complexity  $C(x)$  of a string  $x$  is defined as:

$$C(x) = \min\{ |p| : \mathbb{U}(p) = x \}.$$

- In other words, the Kolmogorov complexity  $C(x)$  of  $x$  is the size of the smallest program  $p$  that, when executed by  $\mathbb{U}$ , yields  $x$  as output.

## Kolmogorov complexity is uncomputable

- The problem of computing the Kolmogorov complexity  $C(x)$  of a string  $x$  is uncomputable.
  - Main idea: an incompressibility argument.
  - Suppose, to derive a contradiction, that  $C$  is computable.
  - Consider the following algorithm  $\mathbb{A}_M$ , whose description will be of length  $P + \log M$ :
    - Iterate over all strings  $x \in \{0, 1\}^*$ , from shortest to longer.
    - For each string  $x$ , compute  $C(x)$ . If  $C(x) \geq M$ , return  $x$ .
    - (In other words,  $\mathbb{A}_M$  returns the first string  $x$  with  $C(x) \geq M$ .)
  - Now select  $M$  such that  $M > P + \log M$ .
  - Let  $x$  be the string that  $\mathbb{A}_M$  returns. So  $C(x) \leq P + \log M < M$ . This contradicts that  $C(x) \geq M$ .

- Resource-bounded variants of Kolmogorov complexity have been considered.

- Let  $t : \mathbb{N} \rightarrow \mathbb{N}$ .

- Then:

$$C^t(x) = \min\{ |p| : \mathbb{U}(p) = x \text{ in time } t(|x|) \}.$$

- Observation: for each  $x$  and each  $t$ , it holds that  $C(x) \leq C^t(x)$ .

- Levin's Kt complexity is another variant that is based on time bounds.
- It is defined as follows:

$$Kt(x) = \min\{ |p| + \log t : \mathbb{U}(p) = x \text{ in time } t \}.$$

- Observation: for each  $x$ , it holds that  $C(x) \leq Kt(x)$ .

- MINKT: given a string  $x$  and  $s, t \in \mathbb{N}$  in unary, decide whether there is a program  $p$  of size  $\leq s$  such that  $\mathbb{U}(p) = x$  in time  $t$ .
  - in NP
- $MK^tP$ : given a string  $x$  and  $s \in \mathbb{N}$  in unary, decide whether there is a program  $p$  of size  $\leq s$  such that  $\mathbb{U}(p) = x$  in time  $t(|x|)$ .
  - in NP
- MKtP: given a string  $x$  and  $s \in \mathbb{N}$  in unary, decide whether  $Kt(x) \leq s$ .
  - in EXP



Hardness vs. randomness

## Definition

Let  $G : \{0, 1\}^* \rightarrow \{0, 1\}^*$  be a polynomial-time computable function, and let  $\ell : \mathbb{N} \rightarrow \mathbb{N}$  be such that  $\ell(n) > n$  for each  $n$ . Then  $G$  is a *secure pseudorandom generator (PRG) of stretch  $\ell(n)$* , if  $|G(x)| = \ell(|x|)$  for every  $x \in \{0, 1\}^*$  and for every probabilistic polynomial-time  $A$  there exists a negligible function  $\epsilon$  such that for each  $n$ :

$$|\Pr[A(G(U_n)) = 1] - \Pr[A(U_{\ell(n)}) = 1]| < \epsilon(n).$$

## Proposition

*If OWFs exist, then for each  $c$  there exists a secure PRG with stretch  $\ell(n) = n^c$ .*

- PRGs are useful building blocks for cryptographic schemes.

## Definition

A distribution  $R$  over  $\{0, 1\}^m$  is  $(S, \epsilon)$ -pseudorandom if for every circuit  $C$  of size at most  $S$ :

$$|\Pr[C(R) = 1] - \Pr[C(U_m) = 1]| < \epsilon.$$

Let  $S : \mathbb{N} \rightarrow \mathbb{N}$  be some function. A  $2^n$ -time computable function  $G : \{0, 1\}^* \rightarrow \{0, 1\}^*$  is an  $S(\ell)$ -pseudorandom generator if  $|G(z)| = S(|z|)$  for every  $z \in \{0, 1\}^*$  and for every  $\ell \in \mathbb{N}$  the distribution  $G(U_\ell)$  is  $(S(\ell)^3, 1/10)$ -pseudorandom.

- Differences with cryptographic PRGs:
  - Running time may be  $2^n$  (instead of polynomial)
  - We will look at stretch  $S(\ell) = 2^{O(\ell)}$ , vs. e.g., stretch  $\ell(n) = n + 1$ .
  - The adversaries are circuits rather than probabilistic algorithms.

- Result by Nisan and Wigderson (1988):
  - If there is some  $f \in E = \text{DTIME}(2^{O(n)})$  that is *average-case hard* for subexponential-size circuits, then there exists a complexity-theoretic PRG with exponential stretch, and as a result  $P = \text{BPP}$ .
- Result by Impagliazzo and Wigderson (1997):
  - If there is some  $f \in E = \text{DTIME}(2^{O(n)})$  that is *worst-case hard* for subexponential-size circuits, then there exists a complexity-theoretic PRG with exponential stretch, and as a result  $P = \text{BPP}$ .



# Learning

- Probably Approximately Correct (PAC) learning works as follows
- Take an instance space  $X$ . A concept  $c \subseteq X$  is a subset of instances. A concept class  $C$  is a set of concepts.
- An algorithm  $A$  PAC-learns  $C$  if the following holds, for any (unknown) probability distribution  $D$  over the instances, and for any (unknown) correct concept  $c_0 \in C$ .
  - The algorithm takes as input  $0 < \epsilon, \delta < 1$ . It runs in time polynomial in  $1/\epsilon$  and  $1/\delta$ .
  - It may (probabilistically) sample instances  $x$  according to the distribution  $D$ , and it receives the correct answer for  $x$  (i.e., whether  $x \in c_0$ ).
  - With probability at least  $1 - \delta$  it outputs a concept  $h \in C$  such that the average error of  $h$  w.r.t.  $c_0$  (according to the distribution  $D$ ) is at most  $\epsilon$ .

- An algorithm PAC-learns a class  $\mathcal{C}$  of Boolean functions if for any function  $f \in \mathcal{C}$  on  $n$  variables the following holds:
  - The algorithm takes as input  $0 < \epsilon, \delta < 1$ .  
The running time does not depend more than polynomially on  $1/\epsilon$  and  $1/\delta$ .
  - It may call  $f$  as an oracle—i.e., for strings  $x \in \{0, 1\}^n$ , the oracle returns the value of  $f(x)$ .
  - With probability at least  $1 - \delta$  it outputs a circuit  $C$  that agrees with  $f$  on all but an  $\epsilon$  fraction of strings  $x \in \{0, 1\}^n$ .

- Carmosino, Impagliazzo, Kabanets and Kolokolova (2016) showed a connection between natural properties and learning.
- In simplified and imprecise form, the result states that:
  - If there is a natural property that is useful against circuits in class  $\mathcal{C}$ ,
  - then using this property, one can construct a randomized algorithm that PAC-learns  $\mathcal{C}$ .
  - (The running time of the learning algorithm depends on the strength of the natural property: the stronger the natural property, the faster the learning algorithm.)
  - (The proof of this result uses the connection between circuit lower bounds and PRGs.)



Recent directions and results

- Worst-case to average-case reductions: ruling out Heuristica
  - See, e.g., a survey paper by Hirahara (2022; [link](#))
- Evidence that MCSP is NP-complete:
  - Partial MCSP is NP-complete (2022; [link](#))
  - Reducing SAT to variants of MCSP (2023; [link](#))
- Connections to Kolmogorov complexity:
  - OWFs exist if and only if time-bounded Kolmogorov complexity is hard on average (2020; [link](#))
  - OWFs exist if and only if an NP-complete problem related to Kolmogorov complexity is hard on average (2020; [link](#))
- Replacing SAT oracles by MCSP oracles in complexity-theoretic results (2018; [link](#))