

Computational Complexity

Lecture 10: Approximation Algorithms

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- Probabilistic algorithms
- Complexity classes BPP, RP, coRP, ZPP

What will we do today?

- Approximation algorithms
- Limits of approximation algorithms

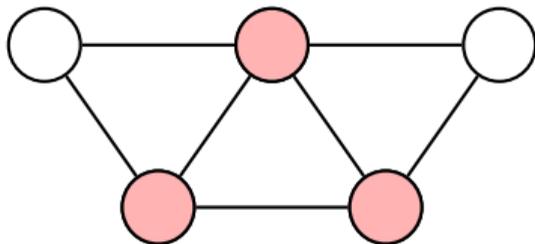
Approximation algorithms

The main idea

- Many NP-complete problems are decision problems asking for an exact/optimal solutions
- *Idea behind approximation:*
perhaps less than optimal solutions are enough, and easier to compute

Example: Vertex Cover

- Let $G = (V, E)$ be an undirected graph. A subset $C \subseteq V$ is a *vertex cover* of G if each edge in E has at least one endpoint in C .
- Decision problem **dec-VC**:
given G and $k \in \mathbb{N}$, does G have a vertex cover of size k ?
- We can find the size k_{\min} of the smallest vertex cover—and a smallest vertex cover—by calling an algorithm for **dec-VC** a linear number of times.



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- For approximation algorithms, we consider the following problem (say, **opt-VC**):
Input: an undirected graph $G = (V, E)$
Output: a vertex cover $C \subseteq V$ of G
where we measure the quality of vertex covers C by their size
(the closer to k_{\min} , the better)

Definition (Approximation algorithms for VC)

Let $\rho < 1$. A ρ -approximation algorithm for vertex cover is an algorithm that, when given a graph $G = (V, E)$ as input, outputs a vertex cover C of G of size at most $1/\rho$ of the minimum size of any vertex cover of G .

- (Sometimes these are called $1/\rho$ -approximation algorithms.)

- For example, a polynomial-time $1/2$ -approximation algorithm for vertex cover:

$C := \emptyset$; $G' := G$;

while G' has edges **do**

 | take some (arbitrary) edge $e = \{v_1, v_2\}$ of G' ;

 | add v_1, v_2 to C and remove all edges containing v_1 or v_2 from G' ;

end

return C ;

- Every edge in G has an endpoint in C , so C is a vertex cover
- The edges e_1, \dots, e_m used to construct C are pairwise disjoint, and $|C| = 2m$
- Every vertex cover of G must hit each of e_1, \dots, e_m , so must have size $\geq m$

Limits of approximation algorithms

- For vertex cover, we have a polynomial-time $1/2$ -approximation algorithm. Can we get a polynomial-time $2/3$ -approximation algorithm, or even one for each $\rho < 1$?
- The Cook-Levin Theorem turns out to be not strong enough to rule this out.

Definition ($\text{val}(\varphi)$)

Let φ be a propositional formula in CNF. Then $\text{val}(\varphi)$ is the maximum ratio of clauses of φ that can be satisfied simultaneously by any truth assignment.

Thus, if φ is satisfiable, then $\text{val}(\varphi) = 1$, and if φ is not satisfiable, then $\text{val}(\varphi) < 1$.

Definition (Approximation algorithms for MAX3SAT)

Let $\rho < 1$. A ρ -approximation algorithm for MAX3SAT is an algorithm that, when given a 3CNF formula φ as input, outputs a truth assignment α that satisfies at least a $\rho \cdot \text{val}(\varphi)$ fraction of clauses of φ .

Limits of approximation algorithms

- To rule out ρ -approximation algorithms, we would need something like:
 - If $\varphi \in 3\text{SAT}$, then $\text{val}(\varphi) = 1$
 - If $\varphi \notin 3\text{SAT}$, then $\text{val}(\varphi) < \rho$
- What the Cook-Levin Theorem gives us is a reduction R with:
 - If $x \in L$, then $\text{val}(R(x)) = 1$
 - If $x \notin L$, then $1 - 1/|x| \leq \text{val}(R(x)) < 1$ – you can satisfy all clauses except for one
- So we cannot take any fixed ρ and rule out ρ -approximation algorithms

Definition (PCP verifier)

Let $L \subseteq \{0, 1\}^*$ and let $q, r : \mathbb{N} \rightarrow \mathbb{N}$ be functions. We say that L has an $(r(n), q(n))$ -PCP verifier if there is a polynomial-time probabilistic algorithm V with:

- (*Efficiency*) When given as input $x \in \{0, 1\}^n$ and when given random access to a string $\pi \in \{0, 1\}^*$ of length at most $q(n)2^{r(n)}$ (the *proof*), V uses at most $r(n)$ random coin flips and makes at most $q(n)$ nonadaptive queries to locations of π .
 - Random access: V can query an oracle that gives the i -th bit of π .
 - Nonadaptive queries: the queries do not depend on the answers for previous queries.
- V always outputs either 0 or 1.
- (*Completeness*) If $x \in L$, then there exists a proof $\pi \in \{0, 1\}^*$ of length at most $q(n)2^{r(n)}$ such that $\mathbb{P}[V^\pi(x) = 1] = 1$.
- (*Soundness*) If $x \notin L$, then for every proof $\pi \in \{0, 1\}^*$ of length at most $q(n)2^{r(n)}$, it holds that $\mathbb{P}[V^\pi(x) = 1] \leq 1/2$.

Definition ($\text{PCP}(r(n), q(n))$)

Let $q, r : \mathbb{N} \rightarrow \mathbb{N}$ be functions. The class $\text{PCP}(r(n), q(n))$ consists of all decision problems $L \subseteq \{0, 1\}^*$ for which there exist constants $c, d > 0$ such that L has a $(c \cdot r(n), d \cdot q(n))$ -PCP verifier.

Theorem (PCP)

$\text{NP} = \text{PCP}(\log n, 1)$.

- $q(n) = O(1)$, $r(n) = O(\log n)$, so the length $q(n)2^{r(n)}$ of proofs is polynomial
- A constant number $q(n) = O(1)$ of random queries to the proof

- The PCP Theorem is equivalent to the following statement:

Theorem (PCP; the approximation view)

There exists some $\rho < 1$ such that for all $L \in \text{NP}$ there is a polynomial-time reduction R from L to 3SAT where for all $x \in \{0, 1\}^$:*

- *if $x \in L$ then $\text{val}(R(x)) = 1$;*
- *if $x \notin L$ then $\text{val}(R(x)) < \rho$.*

- For example: there exists some $\rho < 1$ such that if there exists a polynomial-time ρ -approximation algorithm for MAX3SAT, then $P = \text{NP}$.

Ruling out polynomial-time ρ -approximation for MAX3SAT for some ρ

- **Statement:** there exists some $\rho < 1$ such that if there exists a polynomial-time ρ -approximation algorithm for MAX3SAT, then $P = NP$.
 - Let $L = 3SAT$. Then there exists some $\rho < 1$ such that there is a polynomial-time reduction R from 3SAT to 3SAT where, for all $x \in \{0, 1\}^*$:
 - if $\varphi \in 3SAT$ then $\text{val}(R(\varphi)) = 1$;
 - if $\varphi \notin 3SAT$ then $\text{val}(R(\varphi)) < \rho$.
 - Suppose that there exists a polynomial-time ρ -approx. algorithm A for MAX3SAT.
 - We can then solve 3SAT in polynomial time as follows:
 - Take an arbitrary input φ for 3SAT.
 - Produce $\psi = R(\varphi)$ in polynomial time
 - Run A on ψ and count the fraction δ of clauses that are satisfied
 - If $\delta \geq \rho$, then $\varphi \in 3SAT$; if $\delta < \rho$, then $\varphi \notin 3SAT$.

- Approximation algorithms
- Limits of approximation algorithms
- PCP Theorem

- Subexponential-time algorithms
- The Exponential Time Hypothesis (ETH)