

# Computational Complexity

Take-home exam

Hand in via Canvas before Friday June 2, 2023, at 23:59

<https://canvas.uva.nl/courses/36220/assignments/418954>

**Exercise 1** (4pt; a: 1pt, b: 2pt, c: 1pt).

(a) Prove that  $\text{BPP}^{\text{BPP}} = \text{BPP}$ .

(b) Prove that  $\text{NP}^{\text{BPP}} \subseteq \text{BPP}^{\text{NP}}$ .

– *Note:* this is a hard question.

(c) Prove that if  $\text{NP} \subseteq \text{BPP}$ , then  $\Sigma_2^{\text{P}} \subseteq \text{BPP}$ .

– *Note:* for (c), you may use the statements of (a) and (b), even if you didn't manage to prove these.

**Definition 1.** Variable forgetting in propositional logic is defined as follows. Let  $\varphi$  be a propositional logic formula over the propositional variables  $X$ , and let  $W \subseteq X$  be a subset of variables. The result of *forgetting*  $W$  in  $\varphi$  is a propositional logic formula  $\psi$  over the variables  $X \setminus W$  such that for all truth assignments  $\alpha : X \setminus W \rightarrow \{0, 1\}$  it holds that  $\alpha$  makes  $\psi$  true if and only if  $\alpha$  can be extended to a truth assignment  $\beta : X \rightarrow \{0, 1\}$  such that  $\beta$  makes  $\varphi$  true. Note that such a formula  $\psi$  is not unique—there are other formulas  $\psi'$  that are logically equivalent, and thus also express the result of forgetting  $W$  in  $\varphi$ .

For example, consider the propositional formula  $\varphi = (x_1 \rightarrow x_3) \wedge (x_2 \rightarrow \neg x_3)$  over the variables  $X = \{x_1, x_2, x_3\}$ , and let  $W = \{x_3\}$ . The formula  $\psi = (\neg x_1 \vee \neg x_2)$  expresses the result of forgetting  $W$  in  $\varphi$ .

We say that a function  $f$  implements forgetting for propositional logic if for each propositional formula  $\varphi$  and each  $W \subseteq \text{Vars}(\varphi)$  it holds that  $f(\varphi, W)$  is a propositional logic formula that expresses the result of forgetting  $W$  in  $\varphi$ .

**Exercise 2** (3pt; a: 1pt, b: 2pt).

(a) Prove that if there is a function  $f$  that implements forgetting for propositional logic and that can be computed in polynomial time, then  $\text{P} = \text{NP}$ .

(b) Prove that if there is a function  $f$  that implements forgetting for propositional logic and that is of polynomial-size, then the Polynomial Hierarchy collapses. A function  $f$  is of *polynomial-size* if there exists some polynomial  $p$  such that  $|f(x)| \leq p(|x|)$ —i.e., the size of the result is upper bounded by a polynomial of the size of the input, but there are no restrictions on the time needed to compute the function (or whether it is computable at all).

– *Hint:* use the fact that  $\text{NP} \subseteq \text{P/poly}$  implies that  $\text{PH} = \Sigma_2^{\text{P}}$ .

– *Hint:* for different values of  $\ell \in \mathbb{N}$ , consider the formula:

$$\varphi_\ell = \bigwedge_{1 \leq i \leq (2\ell)^3} (y_i \rightarrow c_i),$$

where  $c_1, \dots, c_{(2\ell)^3}$  is an enumeration of all possible clauses of size 3 over the variables  $x_1, \dots, x_\ell$ .

**Definition 2.** The decision problem 2-IN-5-SAT is defined as follows. The input is a propositional logic formula  $\varphi$  in CNF, over  $n$  variables and containing  $m$  clauses, where each clause consists of exactly 5 literals. A truth assignment  $\alpha$  *exactly-2-in-5-satisfies* a clause  $c$  if there are exactly two literals in  $c$  that are made true by  $\alpha$  (and thus three literals in  $c$  are made false by  $\alpha$ ). The question is to decide if there exists a truth assignment  $\alpha$  that exactly-2-in-5-satisfies all clauses of  $\varphi$ .

**Exercise 3** (3pt; a: 1pt, b: 1pt, c: 1pt).

- (a) Prove that 2-IN-5-SAT is not solvable in polynomial time, assuming  $P \neq NP$ .
- (b) Let  $\rho < 1$ . A  $\rho$ -approximation algorithm for 2-IN-5-SAT takes an input for 2-IN-5-SAT and outputs a truth assignment  $\alpha$  that exactly-2-in-5-satisfies at least  $\rho \cdot u_\varphi$  clauses, where  $u_\varphi$  is the maximum number of clauses of  $\varphi$  that can be simultaneously exactly-2-in-5-satisfied.

Prove that there exists some  $\rho < 1$  such that there is no polynomial-time  $\rho$ -approximation algorithm for 2-IN-5-SAT, assuming  $P \neq NP$ .

- (c) Prove that 2-IN-5-SAT is not solvable in time  $2^{o(n)} \cdot m^{O(1)}$ , assuming the ETH.

*Hint:*

- The problem 1-IN-3-SAT is defined similarly—i.e., the input contains clauses of size three, a truth assignment  $\alpha$  exactly-1-in-3-satisfies a clause  $c$  if it makes exactly one literal in  $c$  true, and the problem is to decide if there exists a truth assignment that exactly-1-in-3-satisfies all clauses.
- Consider the following reduction from 3SAT to 1-IN-3-SAT—which we state here without proving its correctness. (To be precise, this reduction assumes that all clauses are of size exactly three.)
  - Let  $\varphi = c_1 \wedge \dots \wedge c_m$  be an input for 3SAT. We will construct an input  $\psi$  for 1-IN-3-SAT by replacing each clause  $c_i$  by three new clauses  $d_{i,1}, d_{i,2}, d_{i,3}$ , as follows.
  - Let  $c_i = (\ell_{i,1} \vee \ell_{i,2} \vee \ell_{i,3})$ . Then  $d_{i,1} = (\neg \ell_{i,1} \vee a_i \vee b_i)$ ,  $d_{i,2} = (\ell_{i,2} \vee b_i \vee c_i)$  and  $d_{i,3} = (\neg \ell_{i,3} \vee c_i \vee d_i)$ , where  $a_i, b_i, c_i$  and  $d_i$  are fresh variables.
- Build forth on this reduction to construct a reduction from 3-SAT to 2-IN-5-SAT, and use this reduction to answer (a), (b) and (c).