

# Computational Complexity

## Lecture 1: Introduction

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- TA: Simon Rey ([S.Rey@uva.nl](mailto:S.Rey@uva.nl))
- Course web page: <https://staff.science.uva.nl/r.dehaan/complexity2021/>
- Canvas page: <https://canvas.uva.nl/courses/21489>
- Discourse: <https://talk.computational-complexity.nl/>
- Book: *Computational Complexity: A Modern Approach* (Arora & Barak, 2009)

## What will we do today?

- Getting to know each other a bit
- Some explanations about the course and the topic
- Practical things about the course
  
- Fundamentals of computational complexity:  
*Turing machines, big O notation, decision problems, the complexity class P*

- Let me ask you a question (*poll*)
  
- Get to know some of the other students a bit (*breakout rooms*):
  - 1 (a) Your name  
(b) Which programme you're in (Logic, AI, CLS, Math, PhD, other)  
(c) Anything else you want to say about yourself
  
  - 2 One thing that you expect or want to learn in this course

# What is Computational Complexity?

- The study of what you can compute with **limited resources**
  - E.g.: time, memory space, random bits  
but also: nondeterminism, oracles
- *Computability theory* studies what can be computed **in principle**
- *Computational complexity theory* studies what can be computed **realistically**

## What is Computational Complexity? (ct'd)

- Main methodology: distinguish different degrees of difficulty (**complexity classes**)
  - There is an entire 'zoo' of complexity classes:  
<https://www.complexityzoo.net/>  
(currently listing 545 classes)
  
- One central question: the **P versus NP problem**  
(one of the \$1M *Millennium Prize Problems*)

## Relation to other fields

*(Or in other words: a bit of marketing)*

- Computation plays a role in many areas of society and science
- Therefore, computational complexity is relevant for many areas, e.g.:
  - Computer science, cryptography
  - Economics, game theory
  - Artificial intelligence
  - Biology
  - etc.

## Some courses at the UvA that are related

- Recursion Theory (*block 1*)
- Kolmogorov Complexity (*block 5*)
- Knowledge Representation and Reasoning (*block 6*)
- Quantum Computing (*blocks 4+5*)
- Machine Learning Theory (*blocks 4+5*)
- Computational Social Choice (*block 5*)



- We'll use an online discussion board (using the *Discourse* system):  
<https://talk.computational-complexity.nl/>
  - Questions about the material  
(If you know the answer to someone else's question, feel free to answer)
  - Reflecting on the material
  - Summarizing the material together
  
- Feel free to start discussion topics on any of these

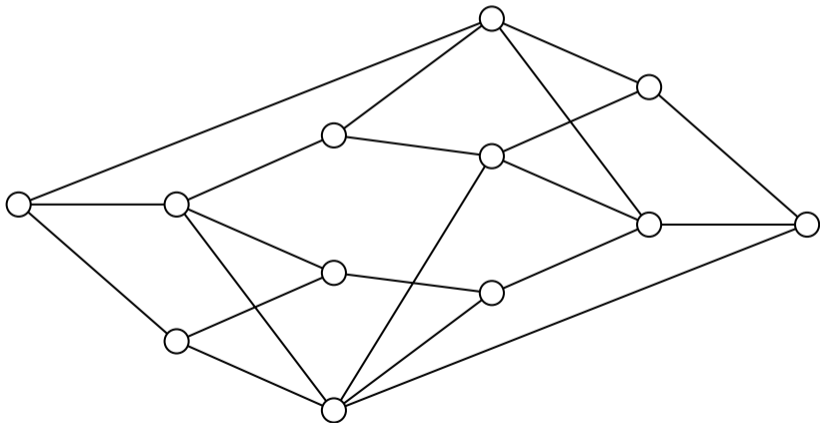
- During the course:
  - Please give your ideas for improvement, e.g., anonymously on Discourse
  - Especially about logistics (related to online activities)
- After the course:
  - Please fill in the course evaluation questionnaire (for the OC and lecturer)
- *Example of change w.r.t. last year:*  
design homework assignments so that they involve as little as possible “tedious details”, which might distract from the main purpose of the homework

- Lectures:
  - Online, twice 45 minutes, with 15 minute break in between, **not recorded**
  - Volunteer 'lecture vigilans': alert the lecturer when (i) a break is overdue or when (ii) there are unanswered questions in the chat
- Exercise sessions:
  - Online, discuss previous homework assignments, practice with material
- Homework assignments (50% of grade):
  - Five assignments, best four grades count, hand in via Canvas
- Take-home exam (50% of grade):
  - At the end, open book, one week time to complete exam
- Online discussions, question answering

- You are given an undirected graph
- The task is to color each node with one of  $k$  colors so that **no two connected nodes have the same color**
- *Example application:* nodes are regions with their own radio station, colors are radio frequencies, and two nodes are connected if the regions border each other; assign radio frequencies without conflict (in the border areas)

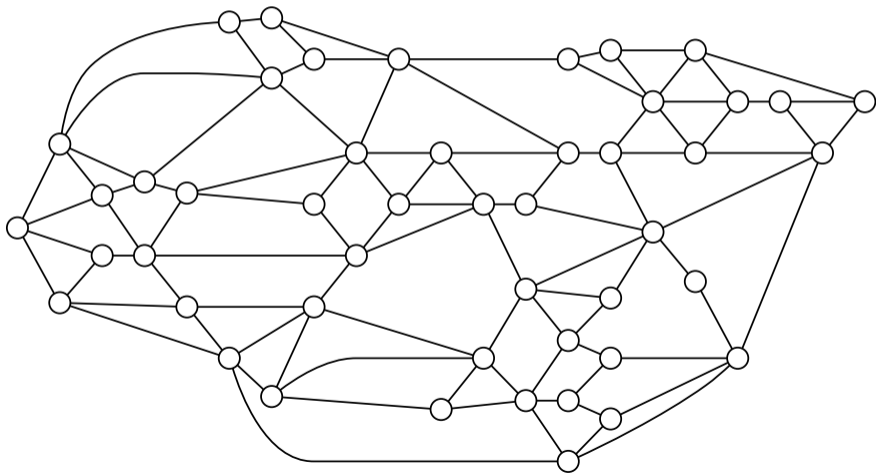
Color this graph with 2 colors

<https://tiny.cc/2col>



Color this graph with 3 colors

<https://tiny.cc/3col>



On your mark, get set, go!

- Coloring in breakout rooms (5 minutes)
- After that, we'll discuss your successes, frustrations, etc. ;-)

## Quadratic vs. Exponential

- Important difference between algorithms that run in time, say,  $n^2$  vs. algorithms that run in time, say,  $2^n$
- Illustration (time needed for  $10^{10}$  steps per second):

$n$	$n^2$ steps	$2^n$ steps
2	0.00000002 msec	0.00000002 msec
5	0.00000015 msec	0.00000019 msec
10	0.00001 msec	0.0001 msec
20	0.00004 msec	0.10 msec
50	0.00025 msec	31.3 hours
100	0.001 msec	$9.4 \times 10^{11}$ years
1000	0.100 msec	$7.9 \times 10^{282}$ years

- # of atoms in universe  $\approx 10^{80}$



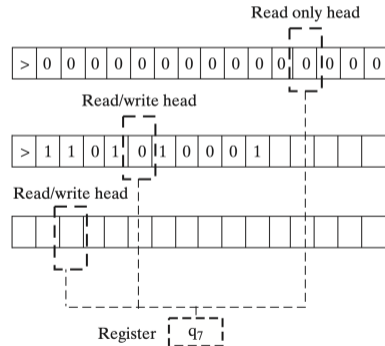
# Model of computation

## Turing machines

### Definition (Turing machines; TMs)

A *Turing machine*  $\mathbb{M}$  is a tuple  $(\Gamma, Q, \delta)$ , where:

- $\Gamma$  is the *alphabet*: a finite set of symbols, including 0, 1,  $\square$  (the blank symbol), and  $\triangleright$  (the start symbol)
- $Q$  is a finite set of *states*, including a designated start state  $q_{\text{start}}$  and a designated halting state  $q_{\text{halt}}$
- $\delta : Q \times \Gamma^k \rightarrow Q \times \Gamma^{k-1} \times \{L, R, S\}^k$  is a *transition function*, for some  $k \geq 2$  (the number of tapes of the machine)



### Definition (TM computing a function)

A TM  $\mathbb{M}$  computes the following (partial) function  $f$ , where for each  $x \in \Sigma^*$ :

- $f(x) = y$  if  $\mathbb{M}$  halts on input  $x$  with output  $y$ ,
- $f(x) = \text{undefined}$  if  $\mathbb{M}$  does not halt on input  $x$

### Definition (running time)

Let  $\mathbb{M}$  be a TM and  $g : \mathbb{N} \rightarrow \mathbb{N}$  be a function. Then  $\mathbb{M}$  runs in time  $g(n)$  if for each input  $x \in \Sigma^n$  of length  $n$ , the machine  $\mathbb{M}$  halts after (at most)  $g(n)$  steps.

- **Note:** we will switch (often implicitly) between the conceptual level (“algorithms”) and the fully formal level (“Turing machines”)

# Asymptotic analysis

## Big O notation

- Typically, we are interested in how (roughly) the running time scales, not in all the details
- We use what is called **asymptotic analysis**

### Definition (Big O)

Let  $f, g : \mathbb{N} \rightarrow \mathbb{N}$ . We say that  $f$  is  $O(g)$  if there exists a constant  $c \in \mathbb{N}$  and an  $n_0 \in \mathbb{N}$  such that  $f(n) \leq c \cdot g(n)$  for all  $n \geq n_0$ .

- **Note:** in addition to “ $f$  is  $O(g)$ ”, the following are also used: “ $f = O(g)$ ”, “ $f \in O(g)$ ”, “ $f(n)$  is  $O(g(n))$ ”, etc.

For example,  
 $4n^2 + 3n + 10$  is  $O(n^2)$

Take  $c = 8$   
and  $n_0 = 4$

- To simplify the theory, we restrict our attention to yes/no questions

### Definition (Decision problems)

A *decision problem* is a function  $f : \Sigma^* \rightarrow \{0, 1\}$  where for each input  $x \in \Sigma^*$  the correct output  $f(x)$  is either 0 or 1.

Alternatively: a formal language  $L \subseteq \Sigma^*$  where  $x \in L$  if and only if  $f(x) = 1$ .

- For decision problems, we typically look at TMs that have two halting states:  
 $q_{\text{acc}}$  (for *accept*:  $f(x) = 1$ )  
and  $q_{\text{rej}}$  (for *reject*:  $f(x) = 0$ )

### Definition (polynomial-time computability)

A function  $f : \Sigma^* \rightarrow \Sigma^*$  is *polynomial-time computable* (or *computable in polynomial time*)

if there exist a TM  $M$  and a constant  $c \in \mathbb{N}$  such that:

- $M$  computes  $f$
- $M$  runs in time  $O(|x|^c)$

### Definition (the complexity class P)

P is the class (set) consisting of all decision problems  $L \subseteq \Sigma^*$  that are computable in polynomial time.

- 2-coloring vs. 3-coloring
- $n^2$  vs.  $2^n$
- Turing machines
- Decision problems
- Polynomial time and the class P

- The universal Turing machine
- Nondeterministic Turing machines
- More complexity classes: NP and coNP
- Polynomial-time reductions