

# Computational Complexity

## Homework Sheet 5

Hand in via Canvas before March 16 at 17:00

**Exercise 1** (5pt). The problem MAX2SAT consists of all tuples  $(\varphi, k)$  where  $\varphi$  is a 2CNF formula and  $k \in \mathbb{N}$  such that there exists a truth assignment  $\alpha : \text{var}(\varphi) \rightarrow \{0, 1\}$  such that  $\alpha$  satisfies at least  $k$  clauses of  $\varphi$ . (Note: here we define a 2CNF formula as a CNF formula where each clause contains **at most** 2 literals. Note also:  $\varphi$  might contain several copies of the same clause.)

For every  $\rho < 1$ , an algorithm  $A$  is called a  $\rho$ -approximation algorithm for MAX2SAT if for every 2CNF formula  $\psi$  with  $m$  clauses,  $A(\psi)$  outputs a truth assignment satisfying at least  $\rho \cdot \mu_\psi$  of  $\psi$ 's clauses, where  $\mu_\psi$  is the maximum number of clauses of  $\psi$  satisfied by any truth assignment.

Consider the following polynomial-time reduction  $f$  from 3SAT to MAX2SAT:

Let  $\varphi = c_1 \wedge \dots \wedge c_m$  be a 3CNF formula with clauses  $c_1, \dots, c_m$  and containing the propositional variables  $p_1, \dots, p_u$ . Then  $f(\varphi) = (\psi, k)$  is defined as follows.

- The formula  $\psi$  will contain the propositional variables  $p_1, \dots, p_u$ , as well as the new variables  $q_1, \dots, q_m$ .
- For each clause  $c_j = l_{j,1} \vee l_{j,2} \vee l_{j,3}$  of  $\varphi$ , we add the following 10 clauses to  $\psi$ :

$$\begin{aligned} & (l_{j,1}), (l_{j,2}), (l_{j,3}), (q_j), \\ & (\neg l_{j,1} \vee \neg l_{j,2}), (\neg l_{j,1} \vee \neg l_{j,3}), (\neg l_{j,2} \vee \neg l_{j,3}), \\ & (l_{j,1} \vee \neg q_j), (l_{j,2} \vee \neg q_j), (l_{j,3} \vee \neg q_j). \end{aligned}$$

That is  $\psi$  consists of the conjunction of the  $10m$  resulting clauses.

- We let  $k = 7m$ .

(a) Show that this reduction is correct—i.e., that  $\varphi \in 3\text{SAT}$  if and only if  $(\psi, k) \in \text{MAX2SAT}$ .

(b) Show that if there is a polynomial-time  $\rho$ -approximation algorithm for MAX2SAT for each  $\rho < 1$ , then  $\text{P} = \text{NP}$ .

– *Hint*: use the PCP Theorem and the function  $f$  described above.

(c) Give a polynomial-time  $\frac{1}{2}$ -approximation algorithm for MAX2SAT.

**Exercise 2** (4pt). Consider the following polynomial-time reduction  $f$  from 3SAT to 3SAT. Let  $\varphi$  be a 3CNF formula with clauses  $c_1, \dots, c_m$  and containing the propositional variables  $p_1, \dots, p_n$ . Then  $f(\varphi)$  is defined as the following 3CNF formula:

$$f(\varphi) = \varphi \wedge \left( \bigwedge_{j=1}^m q_j \right) \wedge \left( \bigwedge_{j=1}^m \bigwedge_{j'=1}^m (q_j \vee q_{j'}) \right),$$

where each of the variables  $q_j$  is a fresh variable that does not occur in  $\varphi$ . (Note: here we define a 3CNF formula as a CNF formula where each clause contains **at most** 3 literals.)

Let  $F$  be the following set of 3CNF formulas:

$$F = \{ f(\varphi) \mid \varphi \text{ is a 3CNF formula} \},$$

and let FUNNY-3SAT be the following decision problem:

$$\text{FUNNY-3SAT} = F \cap 3\text{SAT}.$$

- (a) Show that FUNNY-3SAT is solvable in time  $2^{O(\sqrt{|x|})}$ , where  $|x|$  denotes the input size.
- (b) Show that FUNNY-3SAT is not solvable in time  $2^{o(\sqrt{|x|})}$ , where  $|x|$  denotes the input size, assuming that the ETH is true.

**Exercise 3** (1pt). Give an example of a decision problem that is not solvable in polynomial time (assuming  $P \neq NP$ ), yet that is solvable in time  $2^{o(|x|)}$ , where  $|x|$  denotes the input size.