

# HOW THE DEONTIC ISSUE IN THE MINERS' PUZZLE DEPENDS ON AN EPISTEMIC ISSUE

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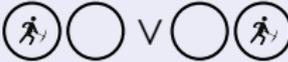
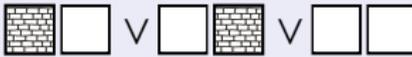
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<https://www.ilc.uva.nl/inquisitivesemantics/>



# KOLODNY AND MACFARLANE (2010)

## THE FACTS

- Miners are in one of two mine shafts. 
- We can block either shaft. 
- Blocking the **correct** mine shaft **saves all** miners.
- Blocking the **wrong** mine shaft **kills all** miners.
- Blocking **neither** mine shaft **kills one** miner.

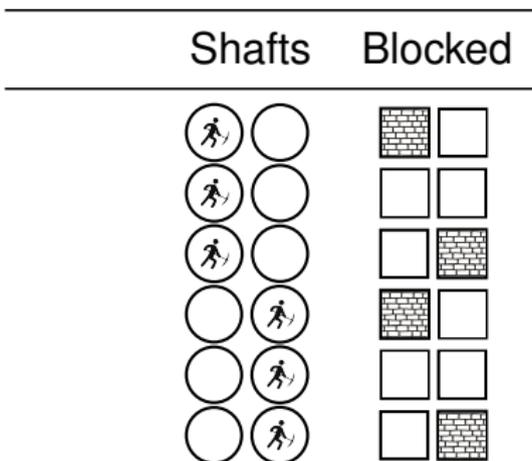
## DEONTIC QUESTION

(1) Ought shaft A, shaft B, or neither be blocked?

$$?(\Box p' \vee \Box q' \vee (\Box \neg p' \wedge \Box \neg q'))$$

Notation:  $\Diamond, \Box$  are deontic,  $\diamond, \square$  epistemic modalities.

# ONTIC SITUATION





# DEONTIC SITUATION

Aim: least dead

Dead	Shafts	Blocked
None	 	 
Some	 	 
All	 	 
All	 	 
Some	 	 
None	 	 

(2) Either shaft A or shaft B ought to be blocked.  $\Box p' \vee \Box q'$

# THE PUZZLE

- (3)
- a. The miners are in shaft A or shaft B.  
 $p \vee q$
  - b. If the miners are in shaft A, we ought to block it.  
 $p \rightarrow \boxed{\forall} p'$
  - c. If the miners are in shaft B, we ought to block it.  
 $q \rightarrow \boxed{\forall} q'$
  - d. Hence, either shaft A or shaft B ought to be blocked.  
 $\boxed{\forall} p' \vee \boxed{\forall} q'$

# CONSIDER THE INFORMATION OF THE RESCUERS

**AIM:** least dead

Dead	Shafts	Blocked
None	 	 
Some	 	 
All	 	 
All	 	 
Some	 	 
None	 	 

Might kill all miners

(4) Either shaft ought to be blocked.  $\Box p' \vee \Box q'$

Intuition: neither ought to be blocked.  $\Box \neg p' \wedge \Box \neg q'$

# DEONTIC SITUATION: MINERS' LOCATION UNKNOWN

Dead	Shafts	Blocked
None	 	 
Some	 	 
All	 	 
All	 	 
Some	 	 
None	 	 

ANSWER TO THE DEONTIC QUESTION IN THIS EPISTEMIC STATE:

(5) Neither shaft ought to be blocked.  $\Box \neg p' \wedge \Box \neg q'$

# DEONTIC SITUATION: MINERS' LOCATION KNOWN

Rescuers learn that the miners are in shaft A.

Dead	Shafts	Blocked
None	 	 
Some	 	 
All	 	 

ANSWER TO THE DEONTIC QUESTION IN THIS EPISTEMIC STATE:

(6) Shaft A ought to be blocked.

p'

# DEONTIC QUESTION DEPENDS ON THE EPISTEMIC ONE

## DEONTIC QUESTION

- (7) Ought shaft A be blocked, or shaft B, or neither?  
 $?( \Box p' \vee \Box q' \vee (\Box \neg p' \wedge \Box \neg q') )$

## EPISTEMIC QUESTION

- (8) Is it the case that the miners might be in shaft A and they might be in B?  $?( \Diamond p \wedge \Diamond q )$
- a. Yes, they might be in shaft A and they might be in shaft B.  $\Diamond p \wedge \Diamond q$
- b. No, they must be in shaft A.  $\Box p$
- c. No, they must be in shaft B.  $\Box q$

# DEONTIC QUESTION DEPENDS ON THE EPISTEMIC ONE

## DEONTIC QUESTION

- (7) Ought shaft A be blocked, or shaft B, or neither?  
 $?( \Box p' \vee \Box q' \vee (\Box \neg p' \wedge \Box \neg q') )$

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- (8) Is it the case that the miners might be in shaft A and they might be in B?  $?( \Diamond p \wedge \Diamond q )$
- a. Yes, they might be in shaft A and they might be in shaft B.  $\Diamond p \wedge \Diamond q$
- b. No, they must be in shaft A.  $\Box p$
- c. No, they must be in shaft B.  $\Box q$

# DEONTIC QUESTION DEPENDS ON THE EPISTEMIC ONE

IF THE EPISTEMIC QUESTION IS RESOLVED, THE DEONTIC ONE IS TOO.

- If the miners might be in shaft  $A$  and they might be in shaft  $B$ , then neither shaft ought to be blocked.

$$(\diamond p \wedge \diamond q) \rightarrow (\Box \neg p' \wedge \Box \neg q')$$

- If the miners must be shaft  $A$ , shaft  $A$  ought to be blocked.

$$\Box p \rightarrow \Box p'$$

- If the miners must be shaft  $B$ , shaft  $B$  ought to be blocked.

$$\Box q \rightarrow \Box q'$$

## CONCLUSION:

Full picture of the deontic information should distinguish epistemic possibilities.

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$$(\diamond p \wedge \diamond q) \rightarrow (\Box \neg p' \wedge \Box \neg q')$$

- If the miners must be shaft  $A$ , shaft  $A$  ought to be blocked.

$$\Box p \rightarrow \Box p'$$

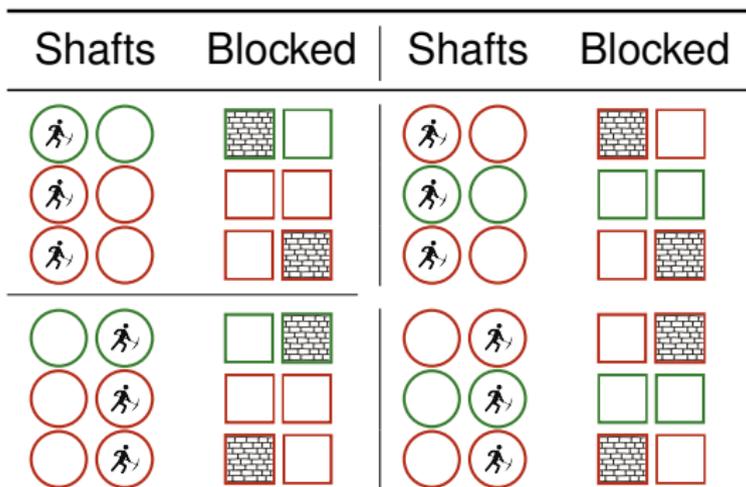
- If the miners must be shaft  $B$ , shaft  $B$  ought to be blocked.

$$\Box q \rightarrow \Box q'$$

## CONCLUSION:

Full picture of the deontic information should distinguish epistemic possibilities.

# PICTURE OF THE QUESTION DEPENDENCY



ANSWER DOESN'T DEPEND ONLY ON THE ONTIC INFORMATION

(9) If the miners are in shaft A, shaft A ought to be blocked.

$$p \rightarrow \Box p'^a$$

<sup>a</sup>See von Fintel 2012 for discussion.

# PICTURE OF THE QUESTION DEPENDENCY

Shafts		Blocked		Shafts		Blocked	

ANSWER DOESN'T DEPEND ONLY ON THE ONTIC INFORMATION

(9) If the miners are in shaft A, shaft A ought to be blocked.

$$p \rightarrow \boxed{\forall} p'^a$$

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# EPISTEMIC VERSUS ONTIC

ANSWER DOESN'T DEPEND ONLY ON THE ONTIC INFORMATION

(10) If the miners are in shaft A, shaft A ought to be blocked.

$$p \rightarrow \boxed{\forall} p'$$

CONCLUSION:

The **antecedent** is taken to be the prejacent of a **covert epistemic necessity operator**, that **contextually relates** to the information of the person amenable to the obligation.

# DEONTIC INFORMATION MODELS<sup>1</sup>

## INGREDIENTS OF A DEONTIC INFORMATION MODEL $M$

- **worlds** is a non empty set
- **states** powerset of the set of worlds
- **facts** maps atoms to  $\{0, 1\}$  in each world
- **e-state** assigns an (information) state to each world
- **v-state** assign a (violation) state to each world

### **e-state**( $w$ )

the information state in  $w$  of a contextually given agent.

### **v-state**( $w$ )

the set of worlds where a rule that holds in  $w$  is violated.

<sup>1</sup>We're drawing on Aher and Groenendijk 2015 and Ciardelli and Roelofsen 2015.

STANDARD CONSTRAINTS ON **e-STATE**:

- $\forall w, v \in \mathbf{worlds}$ : if  $v \in \mathbf{e-state}(w)$ , then  
 $\mathbf{e-state}(v) = \mathbf{e-state}(w)$  (Introspection)
- $\forall w \in \mathbf{worlds}$ :  $w \in \mathbf{e-state}(w)$ . (Trust)
- Introspection and Trust guarantee that **e-state** induces a partition on **worlds**.

CONSTRAINT ON **v-STATE**:

- $\forall w, v \in \mathbf{worlds}$ :  $\mathbf{v-state}(w) = \mathbf{v-state}(v)$  (Indisputability)
- Indisputability guarantees that **v-state** rigidly characterizes a set of worlds:  
 $\mathbf{bad} = \{v \in \mathbf{worlds} \mid \exists w: v \in \mathbf{v-state}(w)\}$

STANDARD CONSTRAINTS ON **E-STATE**:

- $\forall w, v \in \mathbf{worlds}$ : if  $v \in \mathbf{e-state}(w)$ , then  
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- $\forall w \in \mathbf{worlds}$ :  $w \in \mathbf{e-state}(w)$ . (Trust)
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CONSTRAINT ON **V-STATE**:

- $\forall w, v \in \mathbf{worlds}$ :  $\mathbf{v-state}(w) = \mathbf{v-state}(v)$  (Indisputability)
- Indisputability guarantees that **v-state** rigidly characterizes a set of worlds:  
 $\mathbf{bad} = \{v \in \mathbf{worlds} \mid \exists w: v \in \mathbf{v-state}(w)\}$

# PICTURE OF THE MODEL FOR THE MINERS' PUZZLE

	$pq$	$p'q'$		$pq$	$p'q'$
$w_1$	10	10	$w_7$	10	10
$w_2$	10	00	$w_8$	10	00
$w_3$	10	01	$w_9$	10	01
$w_4$	01	01	$w_{10}$	01	01
$w_5$	01	00	$w_{11}$	01	00
$w_6$	01	10	$w_{12}$	01	10

SEMANTICS<sup>2</sup>

- The semantics is information-based (not truth-based).
- We define by simultaneous recursion:
  - State  $\sigma$  in  $M$  **supports**  $\varphi$   $M, \sigma \models^+ \varphi$
  - State  $\sigma$  **rejects**  $\varphi$   $M, \sigma \models^- \varphi$
  - State  $\sigma$  in  $M$  **dismisses a supposition** of  $\varphi$   $M, \sigma \models^\circ \varphi$
- We only present those elements of the semantic clauses that are immediately relevant here.

# SUPPORT AND SUPPOSITIONALITY

## DISMISSAL IN THE INCONSISTENT STATE.

- Basic feature concerning dismissal:
  - The inconsistent state,  $\emptyset$ , does not support or reject any sentence, it suppositionally dismisses every sentence.

## SUPPORT IN A MODEL.

- Notation convention:
  - $M \models^+ \varphi := M, \mathbf{worlds}_M \models^+ \varphi$
- A model  $M$  supports  $\varphi$  if the state consisting of all worlds in  $M$  supports  $\varphi$ .

# ALTERNATIVES AND INQUISITIVENESS.

## ALTERNATIVES

- The (support) alternatives for  $\varphi$ ,  $\text{alt}^+(\varphi)$  is the set of maximal states that support  $\varphi$ .
- The rejection alternatives for  $\varphi$ ,  $\text{alt}^-(\varphi)$  is the set of maximal states that reject  $\varphi$ .

## INQUISITIVENESS

- $\varphi$  is inquisitive if there is more than one (support) alternative for  $\varphi$ .
- If there's only one (support) alternative for  $\varphi$  we denote it by  $|\varphi|$ .
- Inquisitiveness plays a role with phrasing the issues facing the rescuers.

# ATOMIC SENTENCES

## NOTATIONAL CONVENTION:

- $\forall\exists$  represents universal quantification with existential import.

## CLAUSES FOR ATOMIC SENTENCES:

- $M, \sigma \models^+ p$  iff  $\forall\exists w \in \sigma: w(p) = 1$ .
- $M, \sigma \models^- p$  iff  $\forall\exists w \in \sigma: w(p) = 0$ .
- $M, \sigma \models^{\circ} p$  iff  $\sigma = \emptyset$

SUPPORT ALTERNATIVES FOR  $p$  AND  $q'$ 

$pq p' q'$			$pq p' q'$		
$w_1$	10	10	$w_7$	10	10
$w_2$	10	00	$w_8$	10	00
$w_3$	10	01	$w_9$	10	01
$w_4$	01	01	$w_{10}$	01	01
$w_5$	01	00	$w_{11}$	01	00
$w_6$	01	10	$w_{12}$	01	10

 $\text{alt}^+(p), |p|$ 

$pq p' q'$			$pq p' q'$		
$w_1$	10	10	$w_7$	10	10
$w_2$	10	00	$w_8$	10	00
$w_3$	10	01	$w_9$	10	01
$w_4$	01	01	$w_{10}$	01	01
$w_5$	01	00	$w_{11}$	01	00
$w_6$	01	10	$w_{12}$	01	10

 $\text{alt}^+(q'), |q'|$ 

- (11) a. The miners are in shaft A.  
 b. Shaft B is blocked.

 $p$   
 $q'$

# NEGATION

## CLAUSES FOR NEGATION

- $M, \sigma \models^+ \neg \varphi$  iff  $M, \sigma \models^- \varphi$ .
- $M, \sigma \models^- \neg \varphi$  iff  $M, \sigma \models^+ \varphi$ .
- $M, \sigma \models^0 \neg \varphi$  iff  $M, \sigma \models^0 \varphi$

## FACT (DOUBLE NEGATION)

$$\neg \neg \varphi \equiv \varphi$$

## FACT (REJECTION = SUPPORT OF NEGATION)

$$\text{alt}^-(\varphi) = \text{alt}^+(\neg \varphi)$$

# SUPPORT OF $q'$ = REJECTION OF $\neg q'$

	$pq$	$p'q'$		$pq$	$p'q'$	
$w_1$	10	10		$w_7$	10	10
$w_2$	10	00		$w_8$	10	00
$w_3$	10	01		$w_9$	10	01
$w_4$	01	01		$w_{10}$	01	01
$w_5$	01	00		$w_{11}$	01	00
$w_6$	01	10		$w_{12}$	01	10

$\text{alt}^+(q')$

	$pq$	$p'q'$		$pq$	$p'q'$	
$w_1$	10	10		$w_7$	10	10
$w_2$	10	00		$w_8$	10	00
$w_3$	10	01		$w_9$	10	01
$w_4$	01	01		$w_{10}$	01	01
$w_5$	01	00		$w_{11}$	01	00
$w_6$	01	10		$w_{12}$	01	10

$\text{alt}^-(\neg q')$

$$\text{alt}^+(q') = \text{alt}^-(\neg q')$$

# SUPPOSABILITY IN SUPPOSITIONAL INQUISITIVE SEMANTICS

## SUPPOSABILITY

Let  $\alpha \in \text{alt}(\varphi)$ ,  $\alpha$  is supposable in  $\sigma$ ,  $\sigma \triangleleft \alpha$  iff for all  $\tau$  in between  $\alpha$  and  $\sigma \cap \alpha$ :  $\tau \models^+ \varphi$

IN ALL FOLLOWING EXAMPLES, SUPPOSABILITY BOILS DOWN TO CONSISTENCY:

$\sigma \triangleleft \alpha$  iff  $\sigma \cap \alpha \neq \emptyset$

# CONTEXTUAL EPISTEMIC POSSIBILITY<sup>3</sup>

## SUPPORT CLAUSE

$M, \sigma \models^+ \diamond \varphi$  iff  $\forall \exists w \in \sigma: \exists^a \alpha \in \text{alt}^+(\varphi): \mathbf{e\text{-state}}(w) \triangleleft \alpha$ .

In every e-state compatible with  $\sigma$  some support-alternative for  $\varphi$  is supposable.

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<sup>a</sup>Universal quantification over alternatives semantically captures free choice effects but then necessity no longer follows as a natural dual.

## RELEVANT EXAMPLE:

(12) The miners might be in shaft A.  $\diamond p$

Support of (12) boils down to:

$M, \sigma \models^+ \diamond p$  iff  $\forall \exists w: \mathbf{e\text{-state}}(w) \cap |p| \neq \emptyset$ .

<sup>3</sup>See Aher and Groenendijk 2015.

# CONTEXTUAL EPISTEMIC POSSIBILITY IN THE MODEL

$pq \ p'q'$			$pq \ p'q'$			$pq \ p'q'$			$pq \ p'q'$		
$w_1$	10	10	$w_7$	10	10	$w_1$	10	10	$w_7$	10	10
$w_2$	10	00	$w_8$	10	00	$w_2$	10	00	$w_8$	10	00
$w_3$	10	01	$w_9$	10	01	$w_3$	10	01	$w_9$	10	01
$w_4$	01	01	$w_{10}$	01	01	$w_4$	01	01	$w_{10}$	01	01
$w_5$	01	00	$w_{11}$	01	00	$w_5$	01	00	$w_{11}$	01	00
$w_6$	01	10	$w_{12}$	01	10	$w_6$	01	10	$w_{12}$	01	10
$ p $						$\text{alt}^+(\diamond p)$					

$M \models^+ \diamond p$  iff  $\forall w: \mathbf{e\text{-state}}(w) \cap |p| \neq \emptyset$ .

$M \not\models^+ \diamond p$

# CONJUNCTION

SUPPORT CLAUSE:

$M, \sigma \models^+ \varphi \wedge \psi$  iff  $M, \sigma \models^+ \varphi$  and  $M, \sigma \models^+ \psi$

# CONJUNCTION OF POSSIBLE MINER LOCATIONS

$pq$ $p'q'$											
$w_1$	10	10	$w_7$	10	10	$w_1$	10	10	$w_7$	10	10
$w_2$	10	00	$w_8$	10	00	$w_2$	10	00	$w_8$	10	00
$w_3$	10	01	$w_9$	10	01	$w_3$	10	01	$w_9$	10	01
$w_4$	01	01	$w_{10}$	01	01	$w_4$	01	01	$w_{10}$	01	01
$w_5$	01	00	$w_{11}$	01	00	$w_5$	01	00	$w_{11}$	01	00
$w_6$	01	10	$w_{12}$	01	10	$w_6$	01	10	$w_{12}$	01	10

$\text{alt}^+(\diamond q)$ 
 $\text{alt}^+(\diamond p \wedge \diamond q)$

$M \models^+ \diamond q$  iff  $\forall w: \mathbf{e\text{-state}}(w) \cap |q| \neq \emptyset$ .

$M \not\models^+ \diamond p \wedge \diamond q$

OBLIGATION<sup>4</sup>

## SUPPORT CLAUSE

$M, \sigma \models^+ \Box \varphi$  iff  $\forall \exists \alpha \in \text{alt}^-(\varphi): \sigma \triangleleft \alpha$  and  $\sigma \cap \alpha \subseteq \mathbf{bad}$ .

Every reject alternative for  $\varphi$  is supposable in  $\sigma$  and when we suppose it, **all remaining worlds are violation worlds**

(13) Shaft B ought not to be blocked.  $\Box \neg q'$

Support for (13) in the whole model boils down to:

$M \models^+ \Box \neg q'$  iff  $|q'| \neq \emptyset$  and  $|q'| \subseteq \mathbf{bad}$

<sup>4</sup>The definition follows Aher 2013 and Aher and Groenendijk 2015.

# THE OBLIGATION NOT TO BLOCK SHAFT B IN THE MODEL

$pq \ p'q'$			$pq \ p'q'$			$pq \ p'q'$			$pq \ p'q'$		
$w_1$	10	10	$w_7$	10	10	$w_1$	10	10	$w_7$	10	10
$w_2$	10	00	$w_8$	10	00	$w_2$	10	00	$w_8$	10	00
$w_3$	10	01	$w_9$	10	01	$w_3$	10	01	$w_9$	10	01
$w_4$	01	01	$w_{10}$	01	01	$w_4$	01	01	$w_{10}$	01	01
$w_5$	01	00	$w_{11}$	01	00	$w_5$	01	00	$w_{11}$	01	00
$w_6$	01	10	$w_{12}$	01	10	$w_6$	01	10	$w_{12}$	01	10
$ q' $						$\text{alt}^+(\Box \neg q')$					

$M \models^+ \Box \neg q'$  iff  $|q'| \neq \emptyset$  and  $|q'| \subseteq \mathbf{bad}$

$M \not\models^+ \Box \neg q'$

# OBLIGATION TO BLOCK NEITHER

$pq \ p'q'$											
$w_1$	10	10	$w_7$	10	10	$w_1$	10	10	$w_7$	10	10
$w_2$	10	00	$w_8$	10	00	$w_2$	10	00	$w_8$	10	00
$w_3$	10	01	$w_9$	10	01	$w_3$	10	01	$w_9$	10	01
$w_4$	01	01	$w_{10}$	01	01	$w_4$	01	01	$w_{10}$	01	01
$w_5$	01	00	$w_{11}$	01	00	$w_5$	01	00	$w_{11}$	01	00
$w_6$	01	10	$w_{12}$	01	10	$w_6$	01	10	$w_{12}$	01	10

$$\text{alt}^+(\Box \neg p')$$

$$M \not\models^+ \Box \neg p'$$

$$M \not\models^+ \Box \neg p' \wedge \Box \neg q'$$

$$\text{alt}^+(\Box \neg p' \wedge \Box \neg q')$$

# IMPLICATION

## SUPPORT CLAUSE:

$M, \sigma \models^+ \varphi \rightarrow \psi$  iff  $\forall \exists \alpha \in \text{alt}^+(\varphi): \sigma \triangleleft \alpha$  and  $M, \sigma \cap \alpha \models^+ \psi$ .

Every support alternative  $\alpha$  for  $\varphi$  is supposable in  $\sigma$ , and when we suppose it, then  $\psi$  is supported.

## RELEVANT EXAMPLE

(14) If the miners might be in shaft  $A$  and they might be in shaft  $B$ , then neither shaft ought to be blocked.  
 $(\diamond p \wedge \diamond q) \rightarrow (\Box \neg p' \wedge \Box \neg q')$

## FOR THIS EXAMPLE THE CLAUSE BOILS DOWN TO:

$M \models^+ (\diamond p \wedge \diamond q) \rightarrow (\Box \neg p' \wedge \Box \neg q')$   
 iff  $|\diamond p \wedge \diamond q| \cap |p'| \cap |q'| \neq \emptyset$  and  $|\diamond p \wedge \diamond q| \cap |p'| \cap |q'| \subseteq \text{bad}$ .

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iff  $|\diamond p \wedge \diamond q| \cap |p'| \cap |q'| \neq \emptyset$  and  $|\diamond p \wedge \diamond q| \cap |p'| \cap |q'| \subseteq \mathbf{bad}$ .

# IMPLYING AN OBLIGATION TO BLOCK NEITHER

$pq \ p'q'$											
$w_1$	10	10	$w_7$	10	10	$w_1$	10	10	$w_7$	10	10
$w_2$	10	00	$w_8$	10	00	$w_2$	10	00	$w_8$	10	00
$w_3$	10	01	$w_9$	10	01	$w_3$	10	01	$w_9$	10	01
$w_4$	01	01	$w_{10}$	01	01	$w_4$	01	01	$w_{10}$	01	01
$w_5$	01	00	$w_{11}$	01	00	$w_5$	01	00	$w_{11}$	01	00
$w_6$	01	10	$w_{12}$	01	10	$w_6$	01	10	$w_{12}$	01	10

$$\text{alt}^+(\diamond p \wedge \diamond q)$$

$$|\diamond p \wedge \diamond q| \cap |p'| \cap |q'| = \{w_7, w_9, w_{10}, w_{12}\}$$

$$M \models^+ (\diamond p \wedge \diamond q) \rightarrow (\Box \neg p' \wedge \Box \neg q')$$

$$\text{alt}^+(\Box \neg p' \wedge \Box \neg q')$$

# CONTEXTUAL EPISTEMIC NECESSITY<sup>5</sup>

## SUPPORT CLAUSE:

$$M, \sigma \models^+ \Box \varphi \text{ iff } \exists \alpha \in \text{alt}^+(\varphi) : \exists w \in \sigma : \mathbf{e\text{-state}}(w) \triangleleft \alpha;$$

$$\forall \beta \in \text{alt}^-(\varphi) : \forall w \in \sigma : \mathbf{e\text{-state}}(w) \not\triangleleft \beta.$$

Some support-alternative for  $\varphi$  is supposable in some e-state compatible with  $\sigma$ ; and

no rejection-alternative for  $\varphi$  is supposable in any e-state compatible with  $\sigma$ .

<sup>5</sup>See Aher and Groenendijk 2015.

# CONTEXTUAL EPISTEMIC NECESSITY

## RELEVANT EXAMPLE:

(15) The miners must be in shaft A.  $\Box p$

## SUPPORT IN THE MODEL FOR $\Box p$ BOILS DOWN TO:

$M \models^+ \Box p$  iff  $\exists w: \mathbf{e-state}(w) \cap |p| \neq \emptyset$ ; and  
 $\forall w: \mathbf{e-state}(w) \cap |\neg p| = \emptyset$ .

## NECESSITY OF MINERS BEING IN A SHAFT

$pq \ p'q'$											
$w_1$	10	10	$w_7$	10	10	$w_1$	10	10	$w_7$	10	10
$w_2$	10	00	$w_8$	10	00	$w_2$	10	00	$w_8$	10	00
$w_3$	10	01	$w_9$	10	01	$w_3$	10	01	$w_9$	10	01
$w_4$	01	01	$w_{10}$	01	01	$w_4$	01	01	$w_{10}$	01	01
$w_5$	01	00	$w_{11}$	01	00	$w_5$	01	00	$w_{11}$	01	00
$w_6$	01	10	$w_{12}$	01	10	$w_6$	01	10	$w_{12}$	01	10

 $|p|, |\neg p|$  $|\Box p|$ 

$M \models^+ \Box p$  iff  $\exists w: \mathbf{e}\text{-state}(w) \cap |p| \neq \emptyset$ ; and  
 $\forall w: \mathbf{e}\text{-state}(w) \cap |\neg p| = \emptyset$ .

$M \not\models^+ \Box p$

# CONTEXTUAL AND NON-CONTEXTUAL NECESSITY

	$pq$	$p'q'$		$pq$	$p'q'$
$w_1$	10	10	$w_7$	10	10
$w_2$	10	00	$w_8$	10	00
$w_3$	10	01	$w_9$	10	01
$w_4$	01	01	$w_{10}$	01	01
$w_5$	01	00	$w_{11}$	01	00
$w_6$	01	10	$w_{12}$	01	10

Non-contextual  $\Box p$  is  $|p|$

	$pq$	$p'q'$		$pq$	$p'q'$
$w_1$	10	10	$w_7$	10	10
$w_2$	10	00	$w_8$	10	00
$w_3$	10	01	$w_9$	10	01
$w_4$	01	01	$w_{10}$	01	01
$w_5$	01	00	$w_{11}$	01	00
$w_6$	01	10	$w_{12}$	01	10

Contextual  $\Box p$

One consequence of adopting contextual necessity:

$M \not\models^+ p \rightarrow \Box p'$  but  $M \models^+ \Box p \rightarrow \Box p'$

# OBLIGATION CONTINGENT ON P

## EXAMPLE

(16) If the miners are in shaft A, then it ought to be blocked.

$$p \rightarrow \boxed{\forall} p'$$

SUPPORT FOR THE FORMULA (16) IN THE MODEL BOILS DOWN TO:

$$M \models^+ p \rightarrow \boxed{\forall} p' \text{ iff } |p| \cap |\neg p'| \neq \emptyset \text{ and } |p| \cap |\neg p'| \subseteq \mathbf{bad}.$$

## OBLIGATION CONTINGENT ON P

$pq \ p'q'$											
$w_1$	10	10	$w_7$	10	10	$w_1$	10	10	$w_7$	10	10
$w_2$	10	00	$w_8$	10	00	$w_2$	10	00	$w_8$	10	00
$w_3$	10	01	$w_9$	10	01	$w_3$	10	01	$w_9$	10	01
$w_4$	01	01	$w_{10}$	01	01	$w_4$	01	01	$w_{10}$	01	01
$w_5$	01	00	$w_{11}$	01	00	$w_5$	01	00	$w_{11}$	01	00
$w_6$	01	10	$w_{12}$	01	10	$w_6$	01	10	$w_{12}$	01	10

 $|p|$  $|\neg p'|$ 

$$M \models^+ p \rightarrow \Box p' \text{ iff } |p| \cap |\neg p'| \neq \emptyset \text{ and}$$

$$|p| \cap |\neg p'| \subseteq \mathbf{bad}.$$

$$\text{As } |p| \cap |\neg p'| = \{w_2, w_3, w_8, w_9\}, M \not\models^+ p \rightarrow \Box p'$$

# OBLIGATION CONTINGENT ON EPISTEMIC NECESSITY

## EXAMPLE

- (17) If the miners must be in shaft A, then it ought to be blocked.  $\Box p \rightarrow \Box p'$

SUPPORT FOR THE FORMULA (17) IN THE MODEL BOILS DOWN TO:

$$M \models^+ \Box p \rightarrow \Box p' \text{ iff } |\Box p| \cap |\neg p'| \neq \emptyset \text{ and } |\Box p| \cap |\neg p'| \subseteq \mathbf{bad}.$$

## OBLIGATION CONTINGENT ON EPISTEMIC NECESSITY

$pq \ p'q'$											
$w_1$	10	10	$w_7$	10	10	$w_1$	10	10	$w_7$	10	10
$w_2$	10	00	$w_8$	10	00	$w_2$	10	00	$w_8$	10	00
$w_3$	10	01	$w_9$	10	01	$w_3$	10	01	$w_9$	10	01
$w_4$	01	01	$w_{10}$	01	01	$w_4$	01	01	$w_{10}$	01	01
$w_5$	01	00	$w_{11}$	01	00	$w_5$	01	00	$w_{11}$	01	00
$w_6$	01	10	$w_{12}$	01	10	$w_6$	01	10	$w_{12}$	01	10

 $|\Box p|$  $|\neg p'|$ 

$$M \models^+ \Box p \rightarrow \Box p' \text{ iff } |\Box p| \cap |\neg p'| \neq \emptyset \text{ and}$$

$$|\Box p| \cap |\neg p'| \subseteq \mathbf{bad}.$$

$$\text{As } |\Box p| \cap |\neg p'| = \{w_2, w_3\}, M \models^+ \Box p \rightarrow \Box p'$$

# DEONTIC QUESTION DEPENDS ON THE EPISTEMIC ONE

## DEONTIC QUESTION

- (18) Ought shaft A be blocked, or shaft B, or neither?  
 $?( \Box p' \vee \Box q; \vee (\Box \neg p' \wedge \Box \neg q') )$

## EPISTEMIC QUESTION

- (19) Is it the case that the miners might be in shaft A and they might be in B?  
 $?( \Diamond p \wedge \Diamond q )$

(18) depends on (19), so when (19) is resolved, (18) is too

# INQUISITIVE DISJUNCTION AND QUESTIONS

## SUPPORT CLAUSE:

$$M, \sigma \models^+ \varphi \vee \psi \text{ iff } M, \sigma \models^+ \varphi \text{ or } M, \sigma \models^+ \psi$$

There can be more than one alternative for disjunction, so a disjunction can be **inquisitive**.

## NOTATION CONVENTION FOR QUESTIONS:

$$?\varphi := \varphi \vee \neg\varphi$$

## QUESTIONS IN INQUISITIVE SEMANTICS

In inquisitive semantics,  $p \vee \neg p$  i.e.,  $?p$ , isn't a tautology. It isn't informative, it's inquisitive. For example,  $M \not\models^+ p \vee \neg p$ .

# EQUIVALENCE FACT ABOUT DEONTIC AND EPISTEMIC QUESTIONS IN THE MODEL

## DEONTIC QUESTION

$$?(\Box p' \vee \Box q; \vee(\Box \neg p' \wedge \Box \neg q')) \equiv_M \Box p' \vee \Box q' \vee (\Box \neg p' \wedge \Box \neg q')$$

## EPISTEMIC QUESTION

$$?(\Diamond p \wedge \Diamond q) \equiv_M \Box p \vee \Box q \vee (\Diamond p \wedge \Diamond q)$$

# EQUIVALENCE FACT ABOUT DEONTIC AND EPISTEMIC QUESTIONS IN THE MODEL

## DEONTIC QUESTION

$$?(\Box p' \vee \Box q; \vee(\Box \neg p' \wedge \Box \neg q')) \equiv_M \Box p' \vee \Box q' \vee (\Box \neg p' \wedge \Box \neg q')$$

## EPISTEMIC QUESTION

$$?(\Diamond p \wedge \Diamond q) \equiv_M \Box p \vee \Box q \vee (\Diamond p \wedge \Diamond q)$$

# QUESTION DEPENDENCY AND ENTAILMENT<sup>6</sup>

DEFINITION OF ENTAILMENT FOLLOWS IMPLICATION:

$\varphi \models_M \psi$  iff  $\forall \exists \alpha \in \text{alt}^+(\varphi): M, \alpha \models^+ \psi$

QUESTION DEPENDENCE AND ENTAILMENT

A question depends on another if the latter entails the former.

DOES THE DEONTIC QUESTION DEPEND ON THE EPISTEMIC QUESTION?

$\Box p \vee \Box q \vee (\Diamond p \wedge \Diamond q) \models_M \Box p' \vee \Box q' \vee (\Box \neg p' \wedge \Box \neg q')$

<sup>6</sup>On question dependency and entailment see Ciardelli 2014.

# QUESTION DEPENDENCY AND ENTAILMENT<sup>6</sup>

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<sup>6</sup>On question dependency and entailment see Ciardelli 2014.

# DEONTIC QUESTION DEPENDS ON THE EPISTEMIC ONE

$$M, |\Box p| \models^+ \Box p'$$

$$M, |\Box q| \models^+ \Box q'$$

$$M, |\Diamond p \wedge \Diamond q| \models^+ \Box(\neg p' \wedge \neg q')$$

$$M \models^+ \Box p \rightarrow \Box p'$$

$$M \models^+ \Box q \rightarrow \Box q'$$

$$M \models^+ (\Diamond p \wedge \Diamond q) \rightarrow (\Box \neg p' \wedge \Box \neg q')$$

	$pq$	$p'q'$		$pq$	$p'q'$
$w_1$	10	10		$w_7$	10 10
$w_2$	10	00		$w_8$	10 00
$w_3$	10	01		$w_9$	10 01
$w_4$	01	01		$w_{10}$	01 01
$w_5$	01	00		$w_{11}$	01 00
$w_6$	01	10		$w_{12}$	01 10

$$\text{alt}^+(\Box p \vee \Box q \vee (\Diamond p \wedge \Diamond q))$$

$$\varphi \models_M \psi \text{ iff } \forall \exists \alpha \in \text{alt}^+(\varphi): M, \alpha \models^+ \psi$$

$$\Box p \vee \Box q \vee (\Diamond p \wedge \Diamond q) \models_M \Box p' \vee \Box q'; \vee(\Box \neg p' \wedge \Box \neg q')$$

# THE END (OR IS IT?)

Thank you for listening

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<https://www.illc.uva.nl/inquisitivesemantics/>

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