

# Computational Semantics and Pragmatics

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Autumn 2016

# Overview of topics

- *timing* coordination – turn taking
- *meaning* coordination – dialogue acts and grounding
- *style* coordination - alignment and adaptation
- language *acquisition* in interaction

# Linguistic coordination

Speakers in dialogue tend to *adapt to each other* at different levels:

- phonetic production (Babel 2012, Kim et al., 2011)
- lexical choice (Brennan and Clark, 1996)
- syntactic constructions (Pickering and Ferreira, 2008)
- gestures (Furuyama et al., 2005) postural sway (Shockley et al., 2007)

[Terminology: alignment, entrainment, coordination, convergence, adaptation]

Our interest here is in *linguistic alignment*: adaptation to aspects of our conversational partner's language

- Alteration in likelihood of particular language behaviour
- May be dynamic adjustment to partner's most recent contribution
- or gradual alignment during (and beyond) interaction
- Found in both experimental and natural interactions of many kinds, in many languages

# Linguistic coordination

- Empirical *evidence* of alignment / coordination
- What *causes* this adaptation is a matter of debate:
  - ▶ the need for mutual understanding (Clark, 1996)
  - ▶ priming (Pickering & Garrod, 2004)
  - ▶ negotiating social distance (Giles, 2008)

# Alignment at different linguistic levels

*Phonology/phonetics*: speech rate, response latencies, vocal intensity, pronunciation, pausing patterns

*Lexicon (word choice)*: shoe vs. pennyloafer



*Syntax*: If your partner uses a syntactic structure, you are more likely to use it too.

*The nun is giving a book to the clown (V NP PP) vs.*

*The nun is giving the clown a book (V NP NP)*

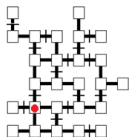


*The cowboy is giving the banana to the burglar vs.*

*The cowboy is giving the burglar the banana*

# Alignment at different linguistic levels

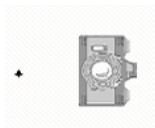
*Semantics*: dialogue partners converge on semantic conceptualisations



Description schemas:

*I'm at B5 vs.*

*I'm at second column, second row from the bottom*



Reference frames:

*The dot is below the camera vs.*

*The dot is to the left of the camera*

# Alignment in human-computer interaction

Humans also align with artificial dialogue partners.

- Alignment of lexical choice in route-finding task (Koulouri et al, 2014)

Robot: I am at the junction by the bridge,  
facing the **bendy road**.

User: Go into the **bendy road**.

- Children modify their speech in response to animated characters (Coulston et al. 2002)
  - ▶ greater amplitude with louder 'extrovert' character
  - ▶ smaller with quieter 'introvert' character

# Exploiting alignment in HCI

*User's alignment with the system:* Alignment reduces the space of possible user behaviours. This can help HCI by:

- implicitly shaping the user's input in a way that the system can understand: eliciting specific behaviour (word choice, grammatical structures, speech rate, amplitude. . .)
- predicting user input

*System's alignment with the user:* generating more naturalistic output

- Users expect that the conversational partner will align
- Increasing user satisfaction



# Why do people align language?

So, there is evidence of alignment, but... what triggers this type of coordination?

Three different approaches to explaining alignment:

- driven by *communicative* goals and the need for mutual understanding
- consequence of our *cognitive* architecture, triggered by priming mechanisms
- driven by *social* goals, to negotiate social distance

# Alignment is driven by communicative goals

Speakers align to maximise *mutual understanding*.

- Appeal to common ground (joint action model by Clark et al.)
- Audience design: *what is my interlocutor likely to understand?*
  - ▶ driven by the desire to be understood, to reach mutual understanding
  - ▶ leads to more successful communication

Alignment is goal-directed. Goal: *communicative success*

- it requires a model of the dialogue partner as communicative agent

- Partner-specific conceptual pacts
- Referential task (lexical choice)

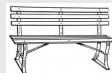
< 15% chance to use 'seat' in null context

If partner uses 'seat':

- 83% alignment when thinking partner is a computer
- 44% alignment when thinking partner is a human
- 80% alignment when thinking partner is an basic computer
- 42% alignment when thinking partner is an advanced computer

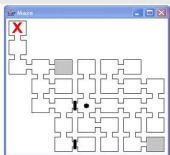
More lexical alignment with 'less capable' partner

(Branigan et al. 2011)



Communicative beliefs affect lexical alignment.

## Grounding problems affect alignment.



### Pattern of semantic shift:

- 0 mins: The piece of the maze sticking out
- 2 mins: The left hand corner of the maze
- 5 mins: The northernmost box
- 10 mins: Leftmost square of the row on top
- 15 mins: 3rd column middle square
- 20 mins: 3rd column first square
- 25 mins: 6th row longest column
- 30 mins: 6th row 1st column
- 40 mins: 6 r, 1 c
- 45 mins: 6, 1

### Reversion to figurative model after clarification:

- A: I'm in the 4th row 5th square.
- B: Where's that?
- A: The end bit.
- B: I'm on the end bit right at the top.

## Participants systematically favour Figural and Path descriptions when encountering problematic dialogue

Garrod and Doherty (1994) Conversation, co-ordination and convention: an empirical investigation of how groups establish linguistic conventions. *Cognition*, 53:181-215.

Mills and Healey (2008) Semantic negotiation in dialogue: mechanisms of alignment, in *Proceedings of SIGdial*.

# Alignment is due to our cognitive architecture

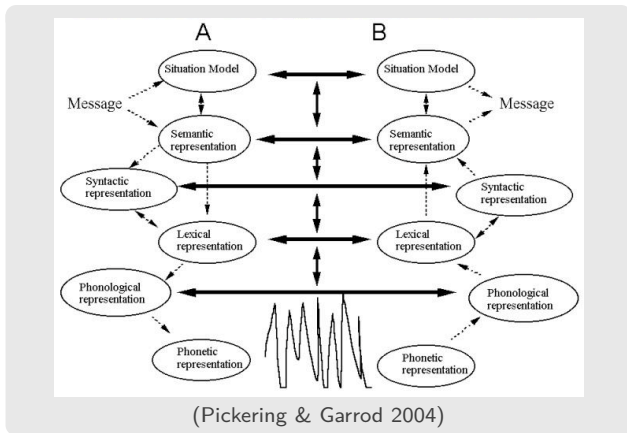
Alignment is a natural consequence of the architecture of *our cognitive system*.

- Interactive alignment model (Pickering & Garrod 2004)
  - ▶ alignment driven by activated linguistic representations – priming (stimulus, response)
  - ▶ leads to reduction of cognitive load, and indirectly to successful communication

Pickering & Garrod, Toward a mechanistic psychology of dialogue, *Behavioral and Brain Sciences*, 27(2):169–190, 2004.

Pickering & Garrod, The interactive-alignment model: Developments and refinements, *Behavioral and Brain Sciences*, 27(2):212–225, 2004.

# Interactive alignment model



- Priming operates on representations at every level
- Alignment at one level enhances alignment at other levels  
e.g., syntactic alignment is enhanced by lexical / semantic overlap
- Alignment of situation models leads to successful communication

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Alignment is *not goal directed*.

- implicit and automatic (triggered by linguistic features)
- no representation of partner required

- Syntactic alignment
- Syntactic alignment with lexical boost

nun **giving** a book to a clown (V NP PP rather than “nun giving a clown a book”)  
→ “sailor **showing** a hat to a girl”; more priming with “sailor **giving** a hat to the girl”  
the **sheep** that’s red (Relative Clause rather than “the red sheep”)  
→ “the **book** that’s red”; more priming with “the **goat** that’s red”

- Same level of syntactic alignment under differing beliefs – believing partner is human (66%) vs computer (64%)

Bergmann, K., Branigan, H., & Kopp, S. (2015). Exploring the alignment space: lexical and gestural alignment with real and virtual humans. *Frontiers in ICT*, 2(7), 1–11



# Mirror Neurons

So called *mirror neurons* fire during both action and perceiving an action (Di Pellegrino et al. 1992).

New Pickering & Garrod model:

- Production and comprehension are tightly interwoven – this underlies people's ability to predict themselves and each other.
- Based on *covert imitation* and *forward modelling*: recreating behaviour and predicting the perceptual outcomes of an action

M. Pickering & S. Garrod (2013) An integrated theory of language production and comprehension. *Behavioural and Brain Sciences*.

# Audience design vs. priming

- A lot of evidence is consistent with the two models.
- No single account explains the full range of evidence.
  - ▶ different linguistic levels sensible to different mechanisms?
- Most research does not seek to contrast accounts: different tasks, different contexts, different partner behaviour.

Some evidence that speakers fail to adapt to partners in the early moments of processing (Keysar, Barr, and Horton, 1998)

- early processing is *egocentric*
- maintaining and updating a model of the partner is computationally expensive, so is done only when necessary (Pickering & Garrod, 2004)

But this has been countered by Brennan & Hanna (2009):

*“early moments of language processing can be flexible, nimble, and responsive to such attributions, rather than reflexive, egocentric, and ‘dumb.’”*

Brennan, S. E. & Hanna, J. E. (2009). Partner-specific adaptation in dialogue. *Topics in Cognitive Science*.

# Alignment is driven by social goals

Speakers align to socially index and achieve rapport with conversational partners.

- Communication accommodation theory (Giles et al.)

Alignment:

- driven by affiliation, desired to be liked, need for social approval
- leads to more likeable perception, more acceptance/compliance

Goal: *enhancement of social relations*

- it requires a model of the dialogue partner as social agent

- Speech rate alignment implicitly increases compliance with requests (Buller & Aune 1992)
- Repetition increases waiters' tips (Van Baaren et al. 2003)
- Matching of functions words predicts relationship initiation and stability in speed dating conversations (Ireland et al., 2011)
- More alignment towards high-powered partners

# Coordination and status-based power

C. Danescu-Niculescu-Mizil, L. Lee, B. Pang and J. Kleinberg (2012). Echoes of power: Language effects and power differences in social interaction, *Proceedings of WWW*.

We need a reasonably *large corpus* with *social asymmetries* amongst interacting agents

↪ Turn to *online communities*

- community of Wikipedia editors
- some of them are *administrators*
- they interact via “talk pages”



## User talk:Mackensen

From Wikipedia, the free encyclopedia

### Canadian folk singer talk pages [\[edit\]](#)

....are being recreated. Would you mind deleting them again and salting them? Thank you, [JNW \(talk\)](#) 01:00, 14 June 2014 (UTC)

- Done. I've left the IP a friendly note. [Mackensen \(talk\)](#) 01:13, 14 June 2014 (UTC)
  - Much appreciated. I noticed some of those talk pages had been deleted a half dozen times since 2012. Maybe a sneaky way of reintroducing deleted articles? [JNW \(talk\)](#) 01:16, 14 June 2014 (UTC)

# Style Coordination

*How* things are said as opposed to *what* is said

↪ *function words* are topic-independent (Pennebaker et al, 2007)  
*pronouns, articles, quantifiers, prepositions, conjunctions, ...*

Editor<sub>a</sub>: Corrected. Please check. **Any** more outstanding problems?

Editor<sub>b</sub>: **Everything** is fine. Thanks a lot.

Coordination of  $b$  towards  $a$  for a class of function words  $m$ ,  
for all pairs of utterances  $(u_a, u_b)$  where  $b$  directly replies to  $a$ :

$$C^m(b, a) = P(u_b \text{ uses } m \mid u_a \text{ used } m) - P(u_b \text{ uses } m)$$

# Summary

Coordination / adaptation of style (broadly understood) in dialogue. Three perspectives:

- driven by communicative, partner-specific goals
- mechanistic consequence of our cognitive architecture
- driven by social goals

## *To read for discussion on Tuesday:*

C. Danescu-Niculescu-Mizil, L. Lee, B. Pang and J. Kleinberg (2012). Echoes of power: Language effects and power differences in social interaction, *Proceedings of WWW*.

D. Reitter and J. Moore (2007). Predicting Success in Dialogue, *Proc. ACL*.

↔ More up-to-date longer version: Reitter & Moore (2014)

Alignment and task success in spoken dialogue, *Journal of Memory and Language*

*Final projects:* start to think about it!

- you are strongly encouraged to collaborate in pairs
- project proposal due on Monday 10 Oct.