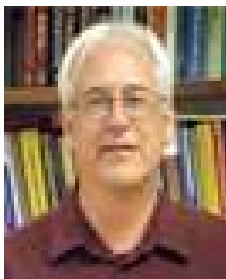


On domain algebras

Achim Jung
University of Birmingham

July 10, 2009

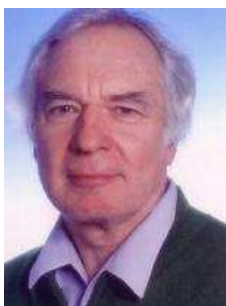
Collaborators



M. Andrew Moshier
Chapman University



Steven Vickers
University of Birmingham



Klaus Keimel
Darmstadt University



Jimmie Lawson
Louisiana State University

I. Motivation and Examples

II. The Main Results

III. Applications

IV. Technical Details

V. The Topological View and Generalisation

VI. Open Problems

Presentations

- Groups: $G = \{a, b, c\}$, $a^2 = b^2 = e$, $ab = ba$

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Question: Can the same be done for dcpos?

Two problems: “Directed supremum” has unbounded arity and is partial.

Free complete lattices

Theorem. [Hales, 1964]

*The free complete lattice on three generators does **not** exist.*

Theorem. [Gaifman, Hales, 1964]

*The free complete Boolean algebra on a countable infinite set of generators does **not** exist.*

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Theorem. [Bénabou, 1958]

The free frame on any set of generators exists.

Theorem. [Johnstone, 1972]

*Any presentation by generators and relations (a **site**) defines a frame.*

Free complete lattices — cont'd

Note that

$$V = V^{\uparrow} V$$

Free complete lattices — cont'd

Note that

$$V = V^\uparrow \vee$$

So instead of describing the frame operations as

$$\vee, \wedge$$

we will see them as

$$V^\uparrow, \vee, \wedge$$

Free complete lattices — cont'd

Note that

$$\bigvee = \bigvee^\uparrow \bigvee$$

So instead of describing the frame operations as

$$\bigvee, \bigwedge$$

we will see them as

$$\bigvee^\uparrow, \bigvee, \bigwedge$$

and we will argue that free frames exist because they can be constructed as **dcpo completions of free lattices**.

The bitopological view of Stone Duality

A careful analysis of the various classical Stone dualities shows that the **logical operations**

$$\vee , \wedge , tt , ff$$

are **orthogonal** to the approximation of predicates by **partial predicates**:

$$\perp , \sqcup^\uparrow$$

(Jung and Moshier, 2006)

Denotational Semantics

Since Dana Scott's seminal 1969 paper, *A type-theoretical alternative to CUCH, ISWIM, OWHY*, denotational semantics of programming languages has employed *directed-complete partial orders (dcpos)* to construct meanings for languages with iteration and recursion.

In order to model other *constructions* of programming languages, one has to augment dcpos with *algebraic operations*.

Example (Hennessy and Plotkin, 1979): Powerdomains are free dcpo algebras with respect to an operation $+$ subject to equations

$$\begin{array}{ll} x + x = x & [[x + y \sqsubseteq x]] \\ x + (y + z) = (x + y) + z & [[x + y \sqsupseteq x]] \\ x + y = y + x & \end{array}$$

Domain and dcpo algebras

Definition. A *domain* is a continuous dcpo, i.e., a dcpo in which for all x ,

$$x = \bigsqcup^{\uparrow} \downarrow x$$

Theorem. [Jung and Abramsky, 1994]

Free *domain algebras* over domains exist for all finitary signatures and systems of inequalities.

Proof. A nice concrete (but non-trivial) construction via *abstract bases*.

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Theorem. Free *dcpo algebras* over domains exist for all finitary signatures and systems of inequalities.

Proof. An application of *Freyd's Adjoint Functor Theorem*.

Order vs. topology

Every T_0 -topological space (X, τ) carries an order, called **specialization order**, defined by

$$x \leq_{\tau} y \quad :\Leftrightarrow \quad x \in \text{cl}\{y\}$$

On any ordered set (P, \leq) one can define the **Scott topology** σ_{\leq} : $A \subseteq P$ is closed if $A = \downarrow A$ and A is closed under existing directed suprema.

Proposition.

- For any **sober** topological space (X, τ) , $\tau \subseteq \sigma_{\leq_{\tau}}$
- For any ordered set (P, \leq) , $\leq = \leq_{\sigma_{\leq}}$
- For any dcpo, $\sigma = \sigma_{\leq_{\sigma}}$

Dcpo cones

(Lawson, Saheb-Djahromi, Jones, Plotkin, Kirch, Tix, Keimel, Heckmann, and many others)

Borel measures on dcpos can be replaced (usefully and meaningfully) by **valuations**, and in many cases a one-to-one correspondence can be shown.

Valuations can be presented as algebras with respect to addition and scalar multiplication with **non-negative** scalars, subject to a suitable adaptation of vector space axioms.

In addition, the set of valuations carries a **dcpo order**. Together, this is an example of a **dcpo-ordered cone**.

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DCPO presentations

Definition. A dcpo presentation consists of

- a set P of generators
- a preorder \sqsubseteq on P
- a subset C of $P \times \mathcal{P}P$, whose elements are called covers and written $[a \triangleleft U]$, subject to the requirement that U is directed with respect to \sqsubseteq

Write this as a triple $(P; \sqsubseteq, C)$.

The category DCPO-pres of DCPO presentations

objects are dcpo presentations

morphisms $f: (P; \sqsubseteq, C) \rightarrow (P'; \sqsubseteq', C')$ are

monotone maps from $(P; \sqsubseteq)$ to $(P'; \sqsubseteq')$ such that

$$[a \triangleleft U] \in C \quad \Rightarrow \quad [fa \triangleleft fU] \in C'$$

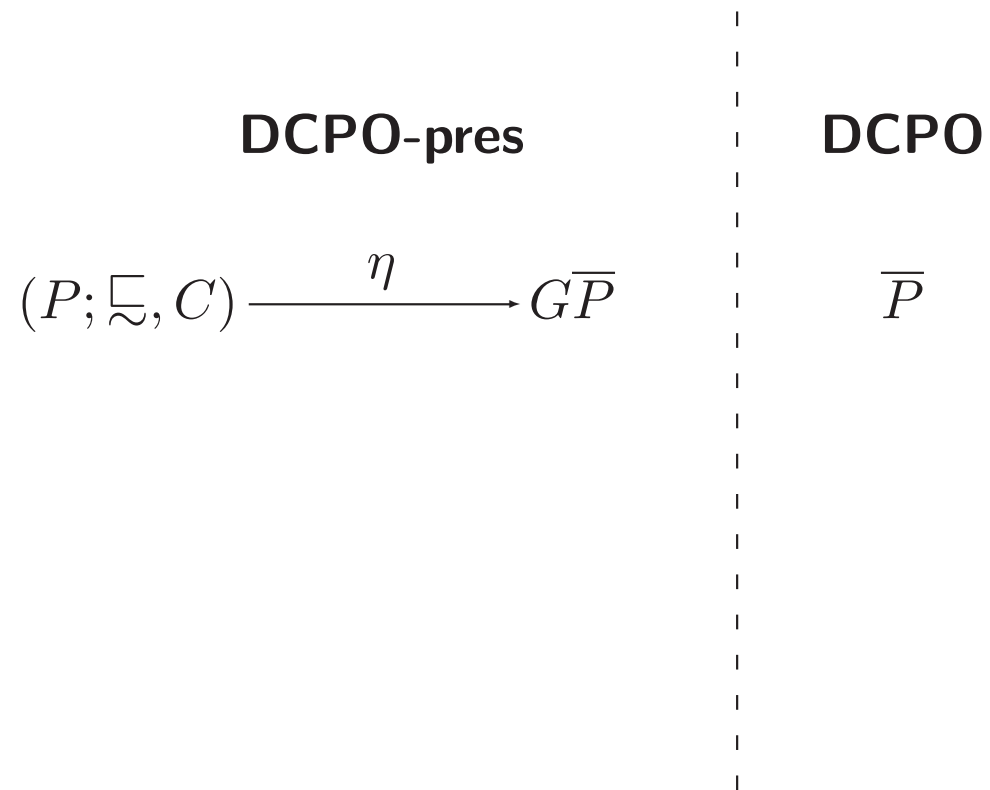
From DCPO to DCPO presentations

For every dcpo D we can define a canonical presentation by setting

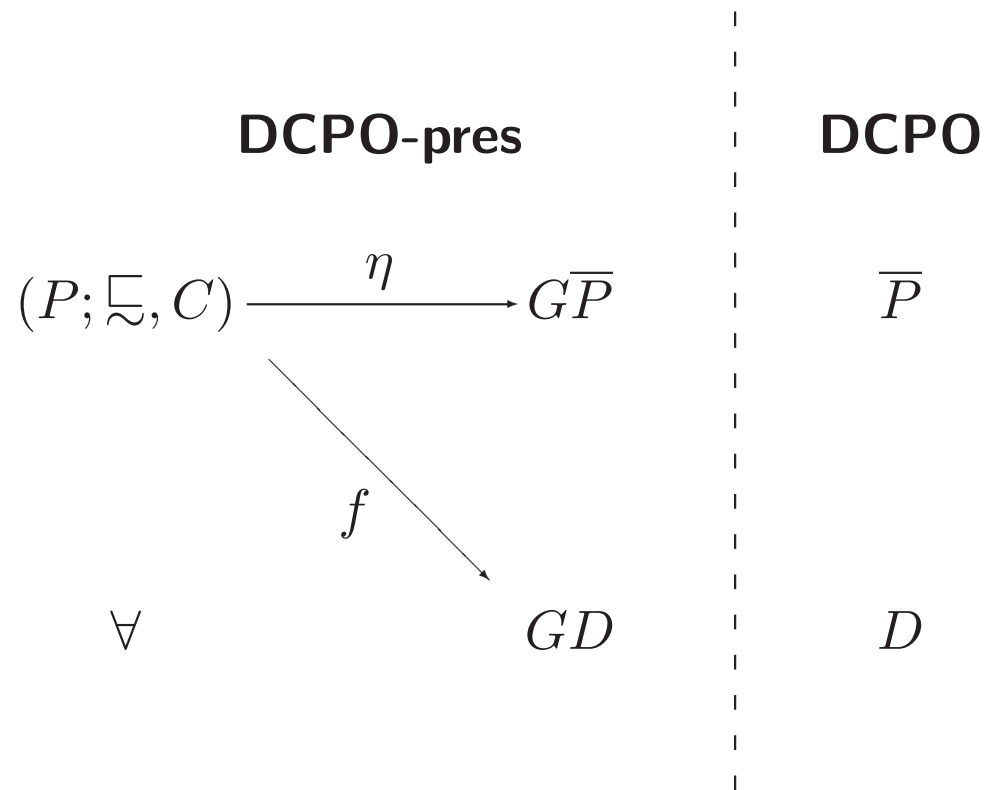
- generators: D
- preorder: \sqsubseteq
- covers: all $[a \triangleleft U]$ where $a \sqsubseteq \bigsqcup^\uparrow U$

This defines a functor G from **DCPO** to **DCPO-pres.**

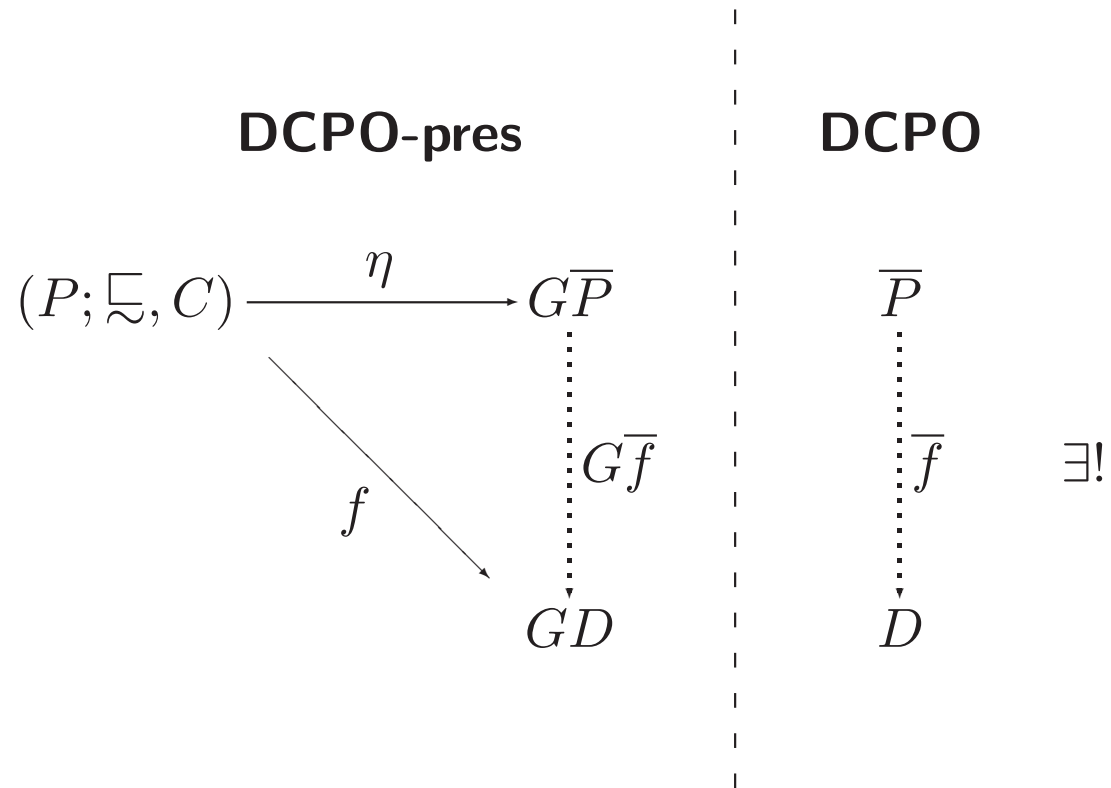
The universal property



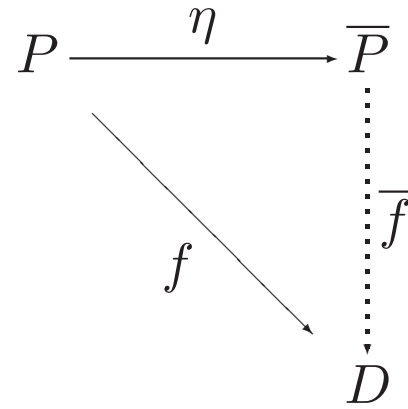
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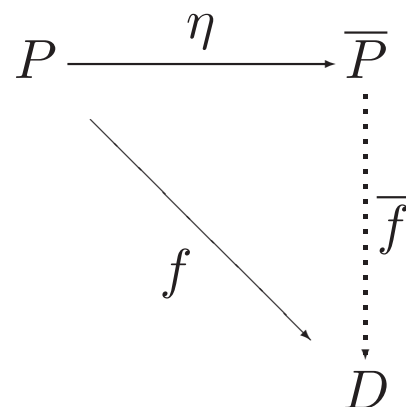
The universal property



The universal property — simplified



The universal property — simplified



The maps η and f convert covers to joins in the sense that for every $[a \triangleleft U]$ in C

$$\eta a \sqsubseteq \bigsqcup^{\uparrow} \eta U \quad \text{and} \quad f a \sqsubseteq \bigsqcup^{\uparrow} f U$$

Theorem 1

DCPO presentations present.

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or

The functor G has a left adjoint.

Algebras

- Ω : a set of operation symbols
- $\alpha: \Omega \rightarrow \mathbb{N}$: an arity function (i.e., each operation is of finite arity)
- A **preordered algebra** w.r.t. Ω is given by
 - a preorder P as the carrier, and
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- A **dcpo algebra** w.r.t. Ω is given by
 - a dcpo D as the carrier, and
 - Scott-continuous operations $\omega_D: D^{\alpha(\omega)} \rightarrow D$

Completing preordered algebras

Definition. A *dcpo algebra presentation* consists of:

- a set P of generators
- a preorder \sqsubseteq on P
- an order-preserving operation ω_P for each $\omega \in \Omega$
- a set C of covers, subject to the *stability* condition

$$[a \triangleleft U] \in C \quad \Rightarrow \quad [\omega_P(-, a, -) \triangleleft \omega_P(-, U, -)] \in C$$

Theorem 2

Given a dcpo algebra presentation $(P; \Omega_P, \sqsubseteq, C)$ let \bar{P} be the dcpo presented by the **reduct** $(P; \sqsubseteq, C)$ (according to Theorem 1).

Then \bar{P} carries Scott-continuous operations $\bar{\omega}$ for each $\omega \in \Omega$, i.e., it is an **dcpo Ω algebra**. Furthermore, $P \rightarrow \bar{P}$ is **universal**.

Algebras: inequations

- An **inequation** is given by a pair of Ω terms over a set X of variables, written as $t_1 \sqsubseteq t_2$.
- An inequation $t_1 \sqsubseteq t_2$ is **valid** in a preordered algebra D if the associated term functions satisfy $f_{t_1} \sqsubseteq f_{t_2}$.

Theorem 3

Given a dcpo algebra presentation $(P; \Omega_P, \sqsubseteq, C)$, the free algebra $(\bar{P}; \Omega_{\bar{P}}, \sqsubseteq)$ constructed in Theorem 2 satisfies all the inequations satisfied by P .

Summary

Theorem 1.

Every dcpo presentation can be “completed” to a dcpo in a universal way.

Theorem 2.

Algebraic operations “lift” to the completion.

Theorem 3.

The lifting of operations preserves all (in)equations.

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and

$\approx :=$ the equivalence relation generated by \sim

but

E/\approx is not a dcpo, in fact, not even preordered

Colimits in DCPO — cont'd

The problem is resolved by using a suitable dcpo presentation:

generators: elements of E

covers: all pairs $(fx, \{gx\})$ and $(gx, \{fx\})$

all pairs (a, U) where $a \sqsubseteq \bigsqcup^\uparrow U$ in E .

Free dcpo algebras

We know by *Freyd's Adjoint Functor Theorem* that these exist.

Free dcpo algebras

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Our results give an alternative (and more concrete) construction:

- Construct the free *preordered algebra* over the given dcpo considered as a preordered set. (This is easy: Take the term algebra and construct the smallest congruence-preorder that contains the order on the generators and all instances of inequations.)
- Then complete the preorder using the technique from Theorem 1, encoding the directed suprema of the given dcpo in the covers.

Free frames

Given a set G of generators and a set E of frame inequations, we construct the corresponding frame in three stages:

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Stage 2: Normalize the given frame inequalities into the form

$$s \leq \bigvee^{\uparrow} t_i$$

where s, t_i are elements of $D(G)$.

This uses frame distributivity and the split of \bigvee into \bigvee^{\uparrow} and \bigvee .

Free frames — cont'd

Stage 3: Set up the dcpo algebra presentation

$$(D(G); \leq, \{\vee, \wedge, 0, 1\}, C)$$

where C contains the cover $[s \triangleleft \{t_i \mid i \in I\}]$ for each inequation $s \leq \bigvee^{\uparrow} t_i$.

The resulting dcpo algebra is the desired frame, because...

Free frames — cont'd

The extended lattice operations are still **distributive**, by Theorem 3. They also distribute over \bigvee^\uparrow because they are Scott-continuous by Theorem 2. Together this amounts to **frame distributivity**.

The dcpo order **coincides** with the order derived from the extended lattice operations; show that \wedge computes the largest lower bound:

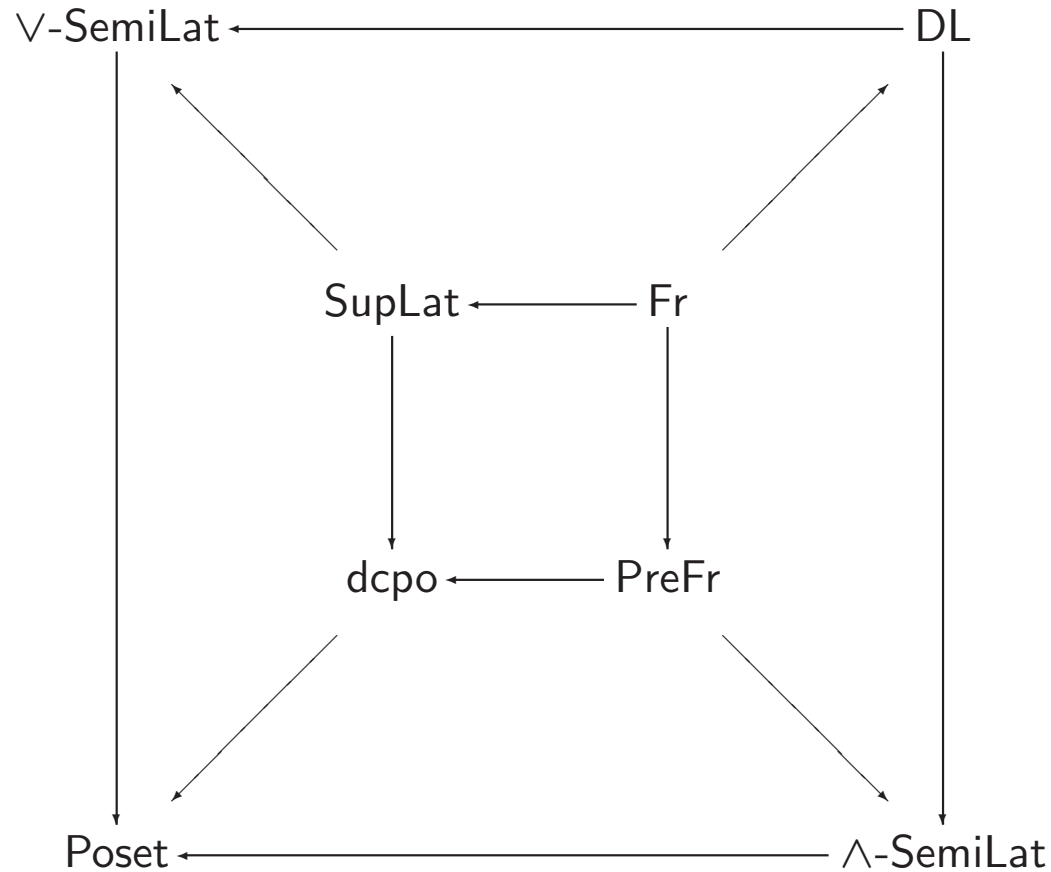
$$x \wedge y \sqsubseteq x$$

holds because inequations remain true. If z is a lower bound for x, y , then

$$z = z \wedge z \sqsubseteq x \wedge y$$

because inequations remain true and the extended operations are order-preserving.

Steve's "coverage theorems"



Every arrow denotes a forgetful functor.

Each of these has a left adjoint.

The left adjoints to the four "diagonal" functors are all constructed as dcpo completions of ordered algebras.

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Proof of Theorem 1

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Given a DCPO presentation $(P; \sqsubseteq, C)$, a *C-ideal* is a lower set I of P such that

$$a \in I \quad \text{whenever} \quad U \sqsubseteq I$$

for all covers $[a \triangleleft U]$ in C .

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for all covers $[a \triangleleft U]$ in C .

This defines a closure system on $\mathcal{P}P$ and so the set of C -ideals forms a **complete lattice $C\text{-Idl}(P)$** . The supremum in $C\text{-Idl}(P)$ is union followed by C -ideal closure.

Proof of Theorem 1 — cont'd

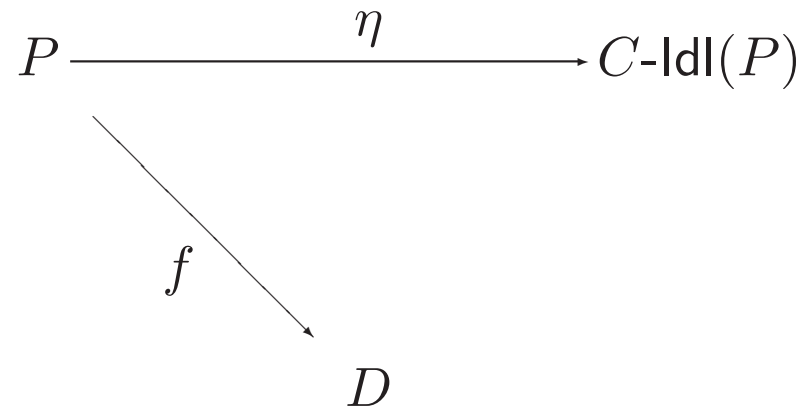
Proof of Theorem 1 — cont'd

$$P \xrightarrow{\eta} C\text{-Idl}(P)$$

$\eta(x) := \langle x \rangle$ the C -ideal closure of $\{x\}$

Note that η converts covers to joins in $C\text{-Idl}(P)$.

Proof of Theorem 1 — cont'd



Unfortunately, D is only a dcpo.

Proof of Theorem 1 — cont'd

$$\begin{array}{ccc} P & \xrightarrow{\eta} & C\text{-Idl}(P) \\ & \searrow f & \\ & & D \subset \xrightarrow{\downarrow} \Sigma(D) \end{array}$$

$\Sigma(D)$ is the complete lattice of Scott-closed subsets of D , and \downarrow maps elements to their principal ideal $\downarrow x$.

Proof of Theorem 1 — cont'd

$$\begin{array}{ccc} P & \xrightarrow{\eta} & C\text{-Idl}(P) \\ & \searrow f & \vdots f' \\ & & D \subset \longrightarrow \Sigma(D) \end{array}$$

The diagram shows a commutative square. The top-left node is P , the top-right node is $C\text{-Idl}(P)$, the bottom-left node is $D \subset$, and the bottom-right node is $\Sigma(D)$. A solid arrow labeled η points from P to $C\text{-Idl}(P)$. A solid arrow labeled f points from P to $D \subset$. A solid arrow points from $D \subset$ to $\Sigma(D)$, with a small downward arrow above it. A dashed arrow labeled f' points from $C\text{-Idl}(P)$ to $\Sigma(D)$.

Lemma. $C\text{-Idl}(P)$ is the free sup-lattice generated by $(P; \sqsubseteq, C)$.

Proof of Theorem 1 — cont'd

$$\begin{array}{ccccc} P & \xrightarrow{\eta} & \overline{P} \subset & \longrightarrow & C\text{-Idl}(P) \\ & \searrow f & & & \downarrow f' \\ & & D \subset & \xrightarrow{\downarrow} & \Sigma(D) \end{array}$$

\overline{P} is the smallest sub-dcpo of $C\text{-Idl}(P)$ containing ηP .

The directed sups in \overline{P} are the sups of $C\text{-Idl}(P)$.

Proof of Theorem 1 — cont'd

$$\begin{array}{ccccc} P & \xrightarrow{\eta} & \overline{P} \subset & \longrightarrow & C\text{-Idl}(P) \\ & \searrow f & & \searrow f'' & \downarrow f' \\ & & D \subset & \longrightarrow & \Sigma(D) \end{array}$$

f'' is just the restriction of f' to \overline{P} .

It is Scott-continuous.

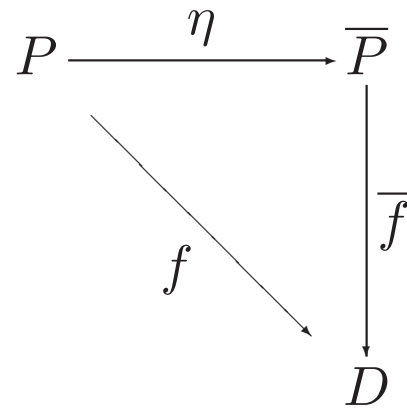
Proof of Theorem 1 — cont'd

$$\begin{array}{ccccc}
 P & \xrightarrow{\eta} & \overline{P} \subset & & C\text{-Idl}(P) \\
 & \searrow f & \downarrow \overline{f} & \searrow f'' & \downarrow \text{dotted } f' \\
 & & D \subset & \xrightarrow{\downarrow} & \Sigma(D)
 \end{array}$$

Using

- $\text{im } \downarrow$ is a sub-dcpo of $\Sigma(D)$
- $f'(\text{im } \eta) \subseteq \text{im } \downarrow$, hence $\text{im } f'' \subseteq \text{im } \downarrow$
- \downarrow is a Scott-continuous injection

Proof of Theorem 1 — cont'd



...which is the required universal property.

Proof of Theorem 1 — cont'd

$$\begin{array}{ccc} P & \xrightarrow{\eta} & \overline{P} \\ & \searrow f & \downarrow \overline{f} \\ & & D \end{array}$$

NB: This is essentially the same construction as in
Johnstone, Vickers: *Preframe presentations present*, 1991.

(But their proof wasn't animated...)

An analysis of the presented dcpo

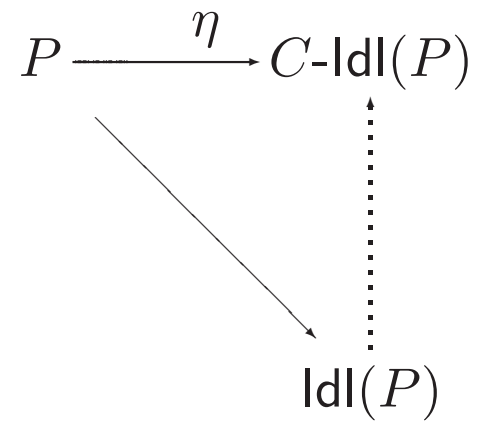
$\overline{P} \subseteq C\text{-Idl}(P) =$ the set of C -ideals

Question. Which C -ideals belong to \overline{P} ?

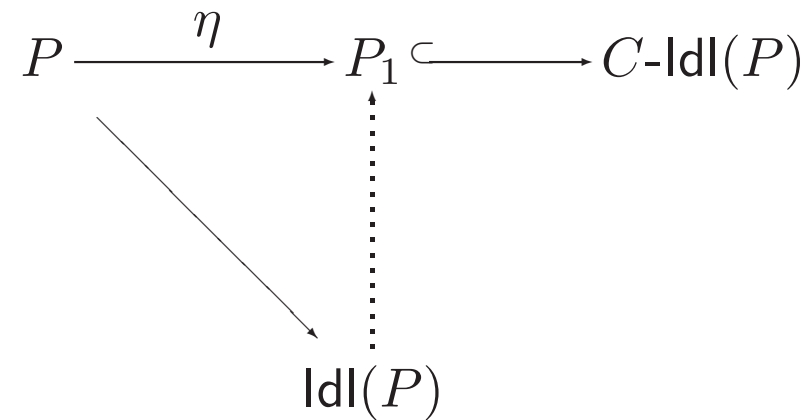
(Transfinitely) iterated ideal completion

$$P \xrightarrow{\eta} C\text{-Idl}(P)$$

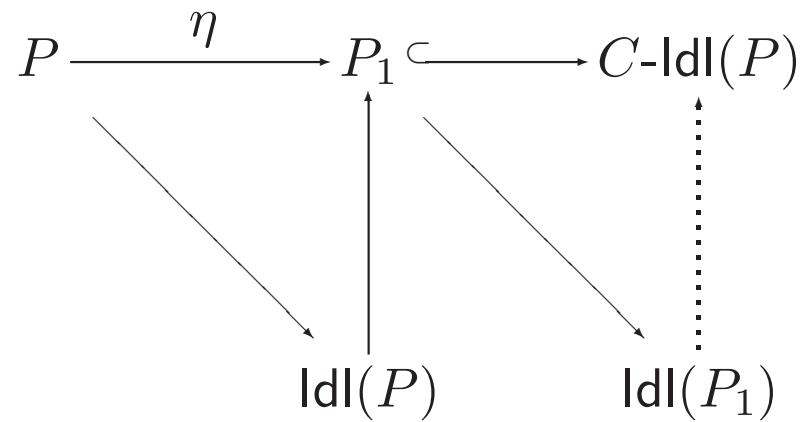
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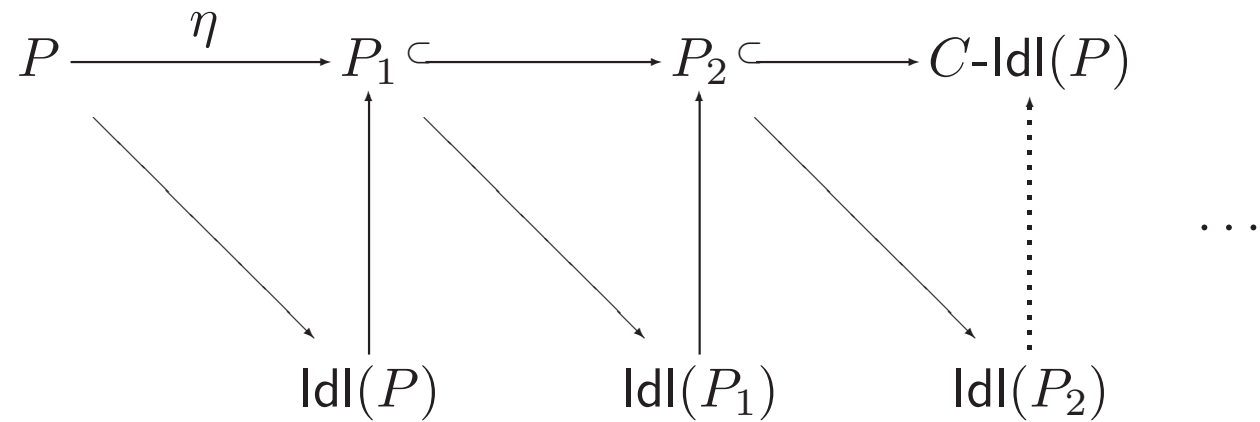
(Transfinitely) iterated ideal completion



(Transfinitely) iterated ideal completion



(Transfinitely) iterated ideal completion



The algebra part

The essential core of Theorems 2 and 3 is the following:

Proposition. *The left adjoint functor from **DCPO-pres** to **DCPO** preserves finite products.*

Proof. Induction over the number of factors.

Note. For this to be meaningful, we need to extend the notion of morphism in **DCPO-pres**. Never mind.

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Nota bene

All results in this part are due to Klaus Keimel and Jimmie Lawson:

- K. Keimel and J. D. Lawson. D -completions and the d -topology. *Annals of Pure and Applied Logic*, 159:292–306, 2008
- K. Keimel and J. D. Lawson. Extending algebraic operations to D -completions. In S. Abramsky, M. Mislove, and C. Palamidessi, editors, *Proceedings of the 25th Annual Conference on Mathematical Foundations of Programming Semantics*, volume ?? of *Electronic Notes in Theoretical Computer Science*. Elsevier Science Publishers B.V., 2009. To appear

From dcpo presentations to topological spaces

Every dcpo presentation $(P; \sqsubseteq, C)$ gives rise to a (non- T_0) topological space $(P; \tau)$ whose closed sets are exactly the C -ideals.

This uses the fact that finite unions of C -ideals are again C -ideals, which follows from the restriction to **directed sets** U in our definition of covers $[a \triangleleft U]$.

From dcpo algebra presentations to semitopological algebras

Every dcpo algebra presentation $(P; \Omega_P, \sqsubseteq, C)$ gives rise to a semitopological algebra, meaning that the operations in Ω_P are continuous in each argument separately with respect to the topology of C -ideals.

Completing semitopological algebras — first attempt

Every topological space $(P; \tau)$ is topologically embedded in the lattice Γ of its closed subsets:

$$\eta: x \mapsto \text{cl}(x) = \downarrow x$$

where Γ is equipped with the topology \mathcal{T} of opens

$$\diamond O := \{A \in \Gamma \mid O \cap A \neq \emptyset\}, \quad O \in \tau$$

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In our setting,

$$\begin{aligned} \Gamma &= C\text{-Idl}(P) \\ \eta &= \eta \end{aligned}$$

Completing semitopological algebras — first attempt

Theorem. *The operations of a semitopological algebra P can be extended uniquely to its lattice Γ of closed subsets such that they preserve suprema in each argument:*

$$\omega(A_1, \dots, A_n) := \text{cl}\{\omega(a_1, \dots, a_n) \mid a_i \in A_i\}$$

Completing semitopological algebras — first attempt

Theorem. *The operations of a semitopological algebra P can be extended uniquely to its lattice Γ of closed subsets such that they preserve suprema in each argument:*

$$\omega(A_1, \dots, A_n) := \text{cl}\{\omega(a_1, \dots, a_n) \mid a_i \in A_i\}$$

However:

Theorem. Γ satisfies only the *linear* inequations valid in P .

$$x + (y + z) = (x + y) + z \text{ is linear}$$

$$x + x = x \text{ is not linear}$$

Completing semitopological algebras — second attempt

The **sobrification** of a topological space is known to produce a dcpo in the specialization order. There is a **standard way** to construct the sobrification as a subspace of Γ :

Definition. [Grothendieck and Dieudonné, 1971]

*The **strong topology** on a topological space (X, τ) is given as the join of τ and the lower Alexandroff topology wrt the order of specialization.*

(generic open: $O \cap \downarrow x$)

If $(X; \tau)$ is T_0 then the strong topology is Hausdorff and indeed totally disconnected because $\downarrow x = \text{cl}\{x\}$ is closed and open for all $x \in X$.

Completing semitopological algebras — second attempt

Theorem. [Grothendieck and Dieudonné, 1971]

*The closure $\overline{\overline{P}}$ of $\eta(P)$ in Γ wrt the *strong topology* is the sobrification of $(P; \tau)$.*

Completing semitopological algebras — second attempt

Theorem. [Grothendieck and Dieudonné, 1971]

*The closure $\overline{\overline{P}}$ of $\eta(P)$ in Γ wrt the *strong topology* is the sobrification of $(P; \tau)$.*

Proposition. *The (extended) algebraic operations on Γ can be restricted to $\overline{\overline{P}}$.*

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Theorem. [Grothendieck and Dieudonné, 1971]

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Proposition. *The (extended) algebraic operations on Γ can be restricted to $\overline{\overline{P}}$.*

However:

Theorem.

$\overline{\overline{P}}$ still only satisfies the *linear* inequations valid in P .

Completing semitopological algebras — third attempt

Definition. A topological space $(P; \leq, \tau)$ is called a *monotone convergence space* if the specialization order is directed-complete and directed sets *converge* to their suprema wrt τ .

(Alternatively, if τ is contained in the Scott topology σ_{\leq} .)

Proposition. The forgetful functor from **MCS** to **Top** has a left adjoint F .

We call the image $F(X)$ of a topological space X its *D-completion*.

Completing semitopological algebras — third attempt

Again, there is a **standard way** to construct this left adjoint as a subspace of Γ :

Definition. [Wyler, 1981]

*The closed sets of the **d -topology** on a dcpo are the sub-dcpo's.
(Alternatively, the “admissible predicates”.)*

The d -topology is always Hausdorff and indeed totally disconnected because $\downarrow x$ is always clopen.

Completing semitopological algebras — third attempt

Theorem. [Wyler, 1981, Keimel & Lawson, 2008]

The closure \overline{P} of $\eta(P)$ in Γ wrt the d -topology is the D -completion of $(P; \tau)$.

Completing semitopological algebras — third attempt

Theorem. [Wyler, 1981, Keimel & Lawson, 2008]

The closure \overline{P} of $\eta(P)$ in Γ wrt the d -topology is the D -completion of $(P; \tau)$.

Proposition. *The (extended) algebraic operations on Γ can be restricted to \overline{P} .*

Completing semitopological algebras — third attempt

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Finally:

Theorem.

*\overline{P} satisfies **all** inequations valid in P .*

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Theorem. [Wyler, 1981, Keimel & Lawson, 2008]

The closure \overline{P} of $\eta(P)$ in Γ wrt the d -topology is the D -completion of $(P; \tau)$.

Proposition. *The (extended) algebraic operations on Γ can be restricted to \overline{P} .*

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Theorem.

*\overline{P} satisfies **all** inequations valid in P .*

Aside: The proof uses Scott continuity wrt the specialization order.

Comparison

$C\text{-Idl}(P)$	(Γ, \mathcal{T})	linear inequations
\cup	\cup	
$\overline{\overline{P}}$	sobrification	linear inequations
\cup	\cup	
\overline{P}	D -completion	all inequations
\cup	\cup	
$(P; \underline{\xi}, C)$	$(P; \tau)$	

I. Motivation and Examples

II. The Main Results

III. Applications

IV. Technical Details

V. The Topological View and Generalisation

VI. Open Problems

Beyond inequations

Are there other logical formulae that are preserved in the completion process?

Beyond Universal Algebra

Under which conditions can these techniques be extended to more general notions of “algebra”?

C -spaces and domain algebras

Observation. [Ershov, Ern e]

Abstract bases can be equivalently described as C -spaces: every point has a neighbourhood basis of sets of the form $\uparrow x$.

Proposition. *The D -completion, the sobrification, and the round ideal completion of a C -space all coincide.*

C -spaces and domain algebras

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Abstract bases can be equivalently described as C -spaces: every point has a neighbourhood basis of sets of the form $\uparrow x$.

Proposition. *The D -completion, the sobrification, and the round ideal completion of a C -space all coincide.*

Is there a simple argument that shows that free algebras exist for C -spaces?

(Replacing the construction of Jung & Abramsky 1994.)

Bicompletions

Can we give a similar analysis for **canonical extensions**?

Papers

- A. Jung, M. A. Moshier, and S. J. Vickers. Presenting dcpos and dcpo algebras. In A. Bauer and M. Mislove, editors, *Proceedings of the 24th Conference on the Mathematical Foundations of Programming Semantics*, volume 218 of *Electronic Notes in Theoretical Computer Science*, pages 209–229. Elsevier Science Publishers B.V., 2008
- K. Keimel and J. D. Lawson. D -completions and the d -topology. *Annals of Pure and Applied Logic*, 159:292–306, 2008
- K. Keimel and J. D. Lawson. Extending algebraic operations to D -completions. In S. Abramsky, M. Mislove, and C. Palamidessi, editors, *Proceedings of the 25th Annual Conference on Mathematical Foundations of Programming Semantics*, volume ?? of *Electronic Notes in Theoretical Computer Science*. Elsevier Science Publishers B.V., 2009. To appear